

## PI - Identifying and Recouping Capitation Payments for Incarcerated Members

### Purpose:

- 1) Identifies Medicaid capitation claims that have been paid for Incarcerated members and initiates recoupment of the paid capitation claims as appropriate. Partial month incarcerations are excluded in the match process. The incarceration data in DWH originates from MMIS, which ultimately comes from the eligibility system. Thus, the incarceration data used in this analysis is considered *verified* as confirmed by Medicaid Eligibility Team. The IDOC data is one of the sources utilized in updating the eligibility system.
- 2) As MMIS maintains 3 years of paid claims, recoups are limited to claims paid within 3 years. For monitoring purpose, PI will continue identify claims paid within 5 years.

### Identification of Roles:

<b>RACI Definitions</b>	
<b>RACI</b> - RACI charts are a type of responsibility assignment matrices in project management. These simple spreadsheets or tables highlight the different states of responsibility a stakeholder has over a particular task or deliverable and denotes it with the letters R, A, C, or I.	
<b>(R)</b>	Responsible
<b>(A)</b>	Accountable
<b>(C)</b>	Consulted
<b>(I)</b>	Informed

Iowa Medicaid PI Data Analyst – Identifies capitation claims paid for incarcerated members and completes the recoupment process on identified claims. Identify, properly account for, and report the recovery of any overpayments discovered during the process. **(R)**

Iowa Medicaid PI Data Analytics Manager – Notified if there is an issue with the query. **(C)**, Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid Eligibility Team – Notified of duplicate or overlapping incarceration segments for a member. **(C)**

Iowa Medicaid PI Reporting & Oversight Officer – Upload monthly capitation recoupment files to MCP’s SFTPs. **(R)**, Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid PI Financial Analyst – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid PI Exclusions & Sanctions – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid PI Director – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid PI Account Manager – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid MCP Bureau Chief – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid MCP Managers/Analysts – Notified of monthly capitation recoupment completion. **(I)**

Iowa Medicaid Compliance and Program Integrity Contract Manager – Notified of monthly capitation recoupment completion. **(I)**

**Path of Business Procedure:**

Below are the steps used to complete this task:

- 1) Connect to the remote desktop 165.206.163.93. Open a new query in Microsoft SQL Server Management Studio.
- 2) Monthly Medicaid and Hawki mass-adjusted claims are to be excluded from the query results. The claim TCNs are retrieved from [\\dhsime\imeuniversal\Monthly Auto Mass Adjustments\](#) (Medicaid and Hawki) and imported as a table in the DWH; naming convention: MassAdjustmentTCNs\_ToBeRemovedFromDIP\_YYYYMM)
- 3) Run SQL script [Incarceration\\_SOP](#) to identify capitation claims paid with dates of service that overlap with a member's incarceration period of a full month.
- 4) If duplicate or overlapping incarceration segments for a member are identified in the analysis, share list of segments with Medicaid Eligibility Team for review/update.
- 5) Summarize the claims identified in Step 3 into an Excel file and submit a DIP for recoupment, as appropriate. Reference SOP – Updated CA Instructions OBCCR #5664 - DESIGN [dhsimeobcdp\CA Uploads\Template\Updated CA Instructions] (The script removes AmeriHealth and United Healthcare claims from the final claims list in the DIP before submitting.)
- 6) Once the adjustments are completed by Core for the submitted claims, generate a final realized recoupment summary file. Run SQL script [DOC\\_Incarcerated\\_Members\\_DIP\\_SubmissionProcessedCheck](#) to ensure claims were adjusted.
- 7) Save each MCP's recouped claim listing in the following location: [\\dhsime\PI\DATA\\_TEAM\Capitation\\_Recoupment\\_Claim\\_Files](#)
- 8) Email the Iowa Medicaid PI Reporting & Oversight Officer the location where the individual MCP capitation recoupment files are saved for upload to each MCP's SFTP site.
- 9) Once uploaded to the SFTP site, notify the following individuals that the monthly capitation recoupment is complete and that the listing of claims has been uploaded to each respective MCP's SFTP site:
  - a) Iowa Medicaid PI Director
  - b) Iowa Medicaid PI Account Manager
  - c) Iowa Medicaid MCP Bureau Chief

- d) Iowa Medicaid MCP Managers/Analysts
- e) Iowa Medicaid PI Financial Analyst
- f) Iowa Medicaid PI Data Analytics Manager
- g) Iowa Medicaid Compliance and Program Integrity Contract Manager

10) The Iowa Medicaid PI Financial Analyst copies the data from this realized recoupment summary file into a spreadsheet file which reflects the financial impact of the live credits in total state and federal dollars. This financial impact is countable toward the contractual financial performance of the Iowa Medicaid Program Integrity contractor.

**Forms/Reports:**

[\\dhsime\PI\DATA TEAM\DOC\\_Recoupments](\\dhsime\PI\DATA TEAM\DOC_Recoupments)

IME > PI > DATA TEAM > DOC\_Recoupments

**Interfaces:**

Iowa Medicaid Data Warehouse

<\\dhsime\imeuniversal\Monthly Auto Mass Adjustments>

[\\dhsime\PI\DATA TEAM\DOC\\_Recoupments](\\dhsime\PI\DATA TEAM\DOC_Recoupments)

[\\dhsime\PI\DATA TEAM\DOC\\_Recoupments\YYYY\MM-YYYY](\\dhsime\PI\DATA TEAM\DOC_Recoupments\YYYY\MM-YYYY)

[\\dhsime\PI\DATA TEAM\Capitation\\_Recoupment\\_Claim\\_Files](\\dhsime\PI\DATA TEAM\Capitation_Recoupment_Claim_Files)

IME > Monthly Auto Mass Adjustments

IME > PI > DATA TEAM > DOC\_Recoupments

IME > PI > DATA TEAM > DOC\_Recoupments > YYYY > MM-YYYY

IME > PI > DATA TEAM > Capitation\_Recoupment\_Claim\_Files

**SQL Code:**

[\\dhsime\PI\DATA TEAM\DOC\\_Recoupments\Incarceration\\_SOP.SQL](\\dhsime\PI\DATA TEAM\DOC_Recoupments\Incarceration_SOP.SQL)

<\\dhsime\PI\DATA>

[TEAM\DOC\\_Recoupments\DOC\\_Incarcerated\\_Members\\_DIP\\_SubmissionProcessedCheck.sql](TEAM\DOC_Recoupments\DOC_Incarcerated_Members_DIP_SubmissionProcessedCheck.sql)

IME > PI > DATA TEAM > DOC\_Recoupments > Incarceration\_SOP

IME > PI > DATA TEAM > DOC\_Recoupments >

DOC\_Incarcerated\_Members\_DIP\_SubmissionProcessedCheck

**Attachments:**

N/A