



Iowa Department of Human Services

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INFORMATIONAL LETTER NO.1179

DATE: October 5, 2012

TO: All Iowa Medicaid Providers

ISSUED BY: Iowa Department of Human Services, Iowa Medicaid Enterprise (IME)

RE: Medicaid Provider Screening and Enrollment Changes

EFFECTIVE: November 15, 2012

To improve the program integrity of the Medicare, Medicaid, and the Children's Health Insurance Program (CHIP) programs, the Patient Protection and Affordable Care Act (ACA) requires these programs to screen and enroll all providers associated with the program. For the IME, the new requirements are more extensive than the current screening procedures and include enrolling providers who were not previously required to enroll in Medicaid. The changes will allow Medicaid programs to more effectively monitor and restrict those individuals or entities who purposely defraud and abuse the Medicaid system.

The IME is working to become compliant with the ACA requirements. Full implementation cannot occur without authority from the Iowa Legislature, which will be requested in the 2013 legislative session. This Informational Letter details the changes that the IME is currently implementing. Additional information will be issued as the IME implements different phases of this project.

NEW SCREENING PROCEDURES: Per ACA requirements, Medicare, Medicaid and CHIP programs must screen newly enrolling and re-enrolling provider types according to the federally identified categorical risk level of their provider type (physician, durable medical equipment (DME) provider, etc.) The screening levels, limited, moderate and high, are based on national statistics of the provider type's risk of fraud, waste or abuse. The IME is currently screening all provider types according to the limited risk category requirements, including those providers who are renewing with the IME.

Effective November 15, 2012, all moderate risk provider types, who are newly enrolling and re-enrolling, will be screened according to the ACA moderate risk category requirements. Those provider types will be subject to pre and post enrollment site visits wherein the IME will verify that the information submitted by the provider is accurate and will determine compliance with federal and state enrollment requirements. The IME is not required to conduct site visits on those providers who have already been screened as a moderate risk provider type by Medicare or another State's Medicaid or CHIP program within the previous twelve months. Moderate risk provider types include the following:

- Ambulance Suppliers
- Community Mental Health Centers
- Comprehensive Outpatient Rehabilitation Facilities
- Hospice Organizations
- Independent Diagnostic Testing Facilities and Independent Clinical Laboratories
- Physical Therapy including Physical Therapy Groups
- Portable X-Ray Suppliers
- Home Health Agencies
- Suppliers of Durable Medical Equipment, Prosthetics, Orthotics and Supplies (DMEPOS).

New Screening Requirements

Pre-ACA Screening Requirements

<p>Limited Risk</p> <ul style="list-style-type: none"> • Provider license check • List of Excluded Individuals/Entities(LEIE) <ul style="list-style-type: none"> ○ Check at enrollment and monthly • System for Award Management (SAM) <ul style="list-style-type: none"> ○ Information on providers who have been debarred, suspended, excluded or disqualified ○ Check at enrollment and monthly • Social Security Administration (SSA) Death Master File <ul style="list-style-type: none"> ○ Nation-wide check • National Plan and Provider Enumeration Systems (NPPES) <ul style="list-style-type: none"> ○ To check National Provider Identifiers • Medicare Exclusion Database (MED) • Medicaid and Children’s Health Insurance Program State Information Sharing System (MCSIS) <ul style="list-style-type: none"> ○ List of ‘Terminated’ providers in all states • Provider Enrollment Chain and Ownership System (PECOS) <ul style="list-style-type: none"> ○ Providers enrolled in Medicare 	<ul style="list-style-type: none"> • Provider license check • LEIE <ul style="list-style-type: none"> ○ Check at enrollment and monthly • Excluded Parties List System (EPLS) <ul style="list-style-type: none"> ○ Check at enrollment • Iowa Department of Public Health Death Statistics File <ul style="list-style-type: none"> ○ Iowa check • Medicare Exclusion Database (MED)
<p>Moderate Risk</p> <ul style="list-style-type: none"> • All ‘Limited’ risk requirements • Conduct pre and post enrollment site visits 	<p>Not typically part of enrollment; if site visits are conducted, they are done on a case-by-case basis after enrollment.</p>

If you have any questions, please contact the IME Provider Services Unit at 1-800-338-7909 or locally in Des Moines at 515-256-4609 or by email imeproviderservices@dhs.state.ia.us.