Member Name:

Medicaid #:

# Assessment Information

Assessment Date:

Previous Assessment Date:

Type of Assessment:  Initial  Annual  Change in status update

The following sources were used to gather and develop my comprehensive assessment and social history  
*(check all that are applicable)*:  Member  Caregiver  Guardian  Parent

Physician  Provider  Other

Assessment completed by:  Health Home Provider Name   MCO

Name, title, contact information for person completing this assessment:

Reason for referral:

|  |  |  |
| --- | --- | --- |
| **Assessment/Screening Type** | **Date** | **Score/Results/Tier** |
| Health Risk Screener |  |  |
| Risk Stratification |  |  |
| Other (list): |  |  |

# Personal Information

|  |  |
| --- | --- |
| Preferred Name |  |
| Preferred Pronouns |  |
| Date of Birth |  |
| Address (Street, City, State Zip) |  |
| Phone Number |  |
| Email |  |
| Parent Name (***if child)***/ Representative ***(if adult, applicable)*** |  |
| Parent’s Address (if different from the child’s) |  |
| Spouse Name ***(if married***) | I want my spouse to be contacted regarding my care:  Yes  No Comments: |
| Preferred method(s) of contact | Phone  Text  Email  Mail |
| My preferred spoken language |  |
| My preferred written language |  |
| I am a veteran | Yes  No  *If yes*, answer following questions:  Branch:  Years of service:  Honorable Discharge:  Yes  No |

For **Children Only**

|  |  |
| --- | --- |
| Child resides with, (*If in a facility, note name of facility and address)* |  |
| Parents’ Marital Status | Married  Divorced  Never Married |
| If parents are not living together, the following parent is the non-custodial parent | Name:  Address: |
| There are sibling(s) living in the home with the child | Yes  No |
| One or more siblings are receiving waiver/habilitation services | Yes  No If yes, describe: |

My Strengths are:

My Preferences are:

Preferences should also include personal preferences for how case management and services are delivered (i.e. where/with who to live, when to go to bed, when and what to eat, whom to involve in care planning, which services and service providers to use).

I am currently accessing long-term services and supports waiver:  Yes  No  Unsure

*If yes*, name of waiver:

I am on a waiting list for a long-term services and supports waiver:  Yes  No  Unsure

*If yes*, I am pending for:

# Communication & Language

I need support with reading and/or understanding written material (include guardian response if applicable)  
 Yes  No *If yes*, what support is needed:

I need support with understanding information about my condition, medicines, or doctor’s instructions (include guardian response if applicable)

Yes  No *If yes*, what support is needed:

I describe my understanding of my needs and challenges (insight) as (select the most appropriate)

|  |  |  |
| --- | --- | --- |
| I am knowledgeable about my needs and I am able to help direct planning to address them. | Yes | |
| I am knowledgeable about my needs and participate in planning to address them. | Yes | |
| I am somewhat knowledgeable about my needs. | Yes | |
| I would rather not participate in plans to address my needs. | Yes | |
| I do not think that I have needs or challenges that need to be addressed at this time. | Yes | |
| Comments: | |

## Awareness and Memory

I describe my awareness & memory (cognitive status)as (select the most appropriate):

|  |  |
| --- | --- |
| Fine with no concerns (alter and fully oriented) | Yes |
| Alert and oriented with daily fluctuations in mood | Yes |
| Generally oriented through use of assistive technologies (verbal prompts, schedules, uses of technology for reminders, etc.) | Yes |
| Difficulty with orientation (e.g. time/place, attention/concentration, perception, memory, reasoning) | Yes |
| Exhibits mental status changes consistent with psychiatric disorder | Yes |
| Comatose, but responsive | Yes |
| Comatose, but unresponsive | Yes |
| Other – Specify | Yes |

* I have the following awareness & memory needs

## Hearing

I describe my hearing as (select the most appropriate):

|  |  |
| --- | --- |
| Fine with no concerns | Yes |
| Fine with use of assistive devices (e.g. hearing aids) | Yes |
| Able to hear but not clearly | Yes |
| Difficulty hearing in noisy environments | Yes |
| Unable to hear | Yes |

* I have the following hearing needs

## Vision

I describe my vision as (select the most appropriate):

|  |  |
| --- | --- |
| Fine with no concerns | Yes |
| Impairment, but managed through assistive devices (i.e. glasses/contacts) | Yes |
| Vision is significantly impaired | Yes |

* I have the following vision needs

## Speech and Communication

I describe my **speech and/communication** as (select the most appropriate):

|  |  |
| --- | --- |
| Fine with no concerns | Yes |
| Communicates with difficulty but can be understood | Yes |
| Communicates with sign language, symbol board, written messages, gestures, and/or interpreter | Yes |

* I have the following speech and communication needs

# Social, Cultural & Spiritual Preferences

Describe family involvement, relationships, include past & current (*Describe the member's immediate family, involvement through member's life, relationships such as very close, never sees them, etc. and how they would describe growing up):*

|  |
| --- |
|  |

## Social

I communicate with friends, relatives and others (not paid helpers) as often as I want:  Yes  No

*If no,* explain:

*If child*, are there any people who the child is not to have contact with (list):

I am satisfied with my relationships:  Yes  No Support Needed:

I would like to have more of a support system:  Yes  No *If yes,* explain:

I feel that I lack companionship:  Yes  No *If yes,* explain:

My support system consists of (check all that apply):

Family Members  Friends  Co-Workers

Church  Support Groups  Other – Explain

I communicate with my support system by (check all that apply):

Visiting in person  Phone  Texting

Email  Other, explain

My support system is supportive and/or involved in my treatment?  Yes  No *If no*, explain:

I have access to mass media (i.e. television, newspaper) and technology (cell phone, internet):  Yes  No *If no,* explain:

Cultural

I identify myself as:

My family traditions/beliefs that I follow are:

I have the following cultural beliefs regarding healthcare or specific treatments:

I experience cultural stress regarding social norms, behaviors and attitudes (e.g. racism, negativity towards sexual orientation, gender identify and expression, and other forms of discrimination):  Yes  No

*If yes*, explain:

## Spiritual

My religious/spiritual preference is:

I choose to practice a religion/spiritual belief:  Yes  No

I attend religious/spiritual services, as I want:  Yes  No

I choose to participate in my religion/spiritual beliefs as much as I want:  Yes  No

I have the following religious/spiritual beliefs regarding receiving healthcare or specific treatments:

# Leisure Activities

These are my hobbies, activities and things I do for fun:

I enjoy spending time with the following people in my free time:

# Marital & Dating Status

My dating and marital status history is:

Is member able to understand consent:  Yes  No *If no,* additional information:

I am currently (*check all that apply*):

Never Married  Married  Single  Divorced

Legally Separated  Widowed  Dating  Unknown  NA- Minor

If not married, I would like to date:  Yes  No  NA

I am sexually active:  Yes  No  Prefer not to answer

I am taking the following precautions:

# Developmental Milestones (Children Only)

My birth parents are:

My child’s weight at birth:

|  |  |  |
| --- | --- | --- |
| Was the pregnancy full-term? | Yes  No  Unknown | *If no or unknown*, explain: |
| Were there any complications during or immediately following delivery? | Yes  No  Unknown | *If yes or unknown*, explain: |
| Was your child exposed to drugs or alcohol in utero? | Yes  No  Unknown | *If yes or unknown*, explain: |
| Did your child walk independently by 18 months? | Yes  No  Unknown | *If no or unknown*, explain: |
| Did your child use 2 to 4 word sentences by 24 months? | Yes  No  Unknown | *If no or unknown*, describe: |
| By age 4, was your child daytime toilet trained? | Yes  No  Unknown | *If no or unknown*, describe: |

I have the following concerns regarding my child’s development:

|  |  |  |
| --- | --- | --- |
| Gross motor (walking, running, physical activities) | Yes  No | *If yes*, explain: |
| Fine motor (use of pencil, manipulation of objects) | Yes  No | *If yes*, explain: |
| Independent functioning (eating, dressing self) | Yes  No | *If yes*, explain: |

Comments:

I have the following additional concerns regarding my child’s development:

Is the home childproof (e.g. hazards such as detergents or medications are kept out of child’s reach or are locked up; electrical outlets are covered, etc.):  Yes  No *If no*, describe:

# Medical & Mental Health History

I am currently diagnosed with the following conditions:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Condition** | **Active** | **Past** | **Physician & Credentials** | **Year Diagnosed** | **Family History**  (mark if yes) | **Family Member &**  **Age of Diagnosis**  (i.e. parents, siblings, children, grandparents) |
| Arthritis |  |  |  |  |  |  |
| Asthma |  |  |  |  |  |  |
| Back Pain |  |  |  |  |  |  |
| Behavioral Health  Diagnosis (Name and ICD-10 Code): |  |  |  |  |  |  |
| Cancer Type: |  |  |  |  |  |  |
| Chronic Kidney Disease |  |  |  |  |  |  |
| COPD/Emphysema |  |  |  |  |  |  |
| Diabetes Type 1  Last A1C date       & number: |  |  |  |  |  |  |
| Diabetes Type 2  Last A1C date       & number: |  |  |  |  |  |  |
| Pre-Diabetes  Last A1C date       & number: |  |  |  |  |  |  |
| Hepatitis |  |  |  |  |  |  |
| Heart Disease |  |  |  |  |  |  |
| High Blood Pressure |  |  |  |  |  |  |
| High Cholesterol |  |  |  |  |  |  |
| HIV |  |  |  |  |  |  |
| Learning Disability |  |  |  |  |  |  |
| Mental Health  Diagnosis (Name and ICD-10 Code): |  |  |  |  |  |  |
| Sickle Cell Disease (not trait) |  |  |  |  |  |  |
| Stroke |  |  |  |  |  |  |
| Transplant Type: |  |  |  |  |  |  |
| Any other chronic conditions: |  |  |  |  |  |  |

Summary of physical and mental health, including onset of diagnosis and symptoms:

I have the following physical and mental health concerns:

I have the following physical and mental health barriers to recovery:

## Surgeries/Major Procedures

I have had the following surgeries/major procedures:

|  |  |  |
| --- | --- | --- |
| **Hospital/Surgery Center** | **Surgery/Major Procedure** | **Dates Received** |
|  |  |  |
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## Significant Illnesses

I have had the following significant past illnesses:

|  |  |  |  |
| --- | --- | --- | --- |
| **Past Health Condition** | **Symptoms** | **Treatment History** | **Dates Received** |
|  |  |  |  |
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In the **past 12 months**,

* I needed to see a doctor but could not because of the cost or lack of resources.  Yes  No
* I went without health care because I didn’t have a way to get there.  Yes  No

Comments:

## Dental

I describe my dentalhygiene as

|  |  |
| --- | --- |
| Fine, no concerns | Yes  No |
| I have tooth pain | Yes  No |
| I have no teeth | Yes  No |
| I have dentures | Yes  No |
| Other | Yes  No |

* I have the following dental needs

## Fall History

I have a history of falls:  Yes  No *If yes,* my last fall was:

I have the following preventative measures in place to decrease my falls:

# Behavioral Health/Mental Health

I would rate my overall mental health as:  Excellent  Good  Fair  Poor

Comment:

My current stressors are:

**Today**,

|  |  |
| --- | --- |
| I have thoughts of harming myself or feelings of suicide | Yes  No |
| I have thoughts of wanting to harm others | Yes  No |

* *If yes*, provide more details:

In the **Past**,

|  |  |
| --- | --- |
| I have had thoughts to harm myself or feelings of suicide | Yes  No |
| I have had thoughts of wanting to harm others or have harmed others | Yes  No |

* *If yes,* provide more details:

In the **past 2 weeks**, I have been bothered by the following,

|  |  |
| --- | --- |
| Little interest or pleasure in doing things | Not at all  Several days  More than half the days  Nearly every day |
| Feeling down, depressed or hopeless | Not at all  Several days  More than half the days  Nearly every day |

In the **past 30 days**, I have

|  |  |
| --- | --- |
| Seen or heard things that are not really there (hallucinations) | Yes  No |
| Had feeling of paranoia | Yes  No |
| Had irrational thoughts that weren’t true (delusions) | Yes  No |

* *If yes,* provide more details:

# Hospitalization & Emergency Room Visit History

I am able to access emergency room assistance, as needed:  Yes  No

I need the following supports to access emergency room assistance:

In the **past year**,

|  |  |
| --- | --- |
| I have been hospitalized for mental health reasons | None  Once  2-4 times  5-7 times  8+ times |
| I have been hospitalized for medical reasons | None  Once  2-4 times  5-7 times  8+ times |
| I have been to the emergency room | None  Once  2-4 times  5-7 times  8+ times |

## Psychiatric and/or Alcohol/Substance Use Hospitalizations

I have had the following psychiatric and/or alcohol/substance use hospitalizations:

| **Provider Name & Address** | **Reason for Inpatient Stay/Facility Stay** | **Successful/Helpful** | **Dates Received** |
| --- | --- | --- | --- |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |

## Medical Hospitalizations

I have had the following medical hospitalizations:

|  |  |  |  |
| --- | --- | --- | --- |
| **Provider Name & Address** | **Reason for Inpatient Stay/Facility Stay** | **Successful/Helpful** | **Dates Received** |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |
|  |  | Yes  No |  |

## Emergency Room Visits

I have had the following emergency room visits current and past:

|  |  |  |
| --- | --- | --- |
| **Provider Name & Address** | **Reason for ED Visit** | **Dates Received** |
|  |  |  |
|  |  |  |
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|  |  |  |

# Preventative Visits

I have had the following health screenings

|  |  |  |  |
| --- | --- | --- | --- |
| **Preventative Measure** | **Completed** | **Date** | **Results** |
| Flu Shot | Yes  No |  |  |
| Blood Pressure (systolic/diastolic) | Yes  No |  |  |

For **Adults ONLY**

|  |  |  |  |
| --- | --- | --- | --- |
| **Preventative Measure** | **Completed** | **Date** | **Results** |
| Cholesterol (Total) | Yes  No |  |  |
| Low Density Lipoprotein (LDL) | Yes  No |  |  |
| Colonoscopy | Yes  No |  |  |

For **Women ONLY**

|  |  |  |
| --- | --- | --- |
| **Preventative Measure** | **Completed** | **Date** |
| Mammogram | Yes  No |  |
| Pap smear in last five years | Yes  No |  |
| I am pregnant  I have a prenatal doctor | Yes  No  Yes  No | *If yes,* Due Date:  Name of Provider: |

For **Children ONLY**

My child is up-to-date on his/her immunizations:  Yes  No *If no*, describe:

# Allergies

|  |  |  |  |
| --- | --- | --- | --- |
| **Allergy Type** | **Allergy** | **Type** | **Reaction** |
| Food | Yes  No |  |  |
| Medications | Yes  No |  |  |
| Other | Yes  No |  |  |

# Physical Health

I would rate my overall physical health as:  Excellent  Good  Fair  Poor

Comments:

|  |  |  |
| --- | --- | --- |
| My height (inches) | My weight (pounds) | My body mass index (BMI) |

## Exercise Routine

|  |  |
| --- | --- |
| I engage in moderate to strenuous exercise (like a brisk walk) # days per week |  |
| I engage in # minutes of strenuous exercise per week |  |
| I want to increase my activity level | Yes  No |

Comments:

## Nutrition

|  |  |
| --- | --- |
| My appetite is | Good  Fair  Poor |
| I follow a healthy diet | Yes  No |
| I have had unexplained weight loss or weight gain in the past year | Yes  No |
| I have concerns regarding my nutrition | Yes  No |
| I am able access the local grocery store or farmers market, as needed | Yes  No |

Comments:

## Toxin Exposure

I have had the following exposure to toxins (e.g. Radon, lead in drinking water, lead in paint, chemicals, in-utero drug or alcohol exposure including smoking, alcohol poisoning, etc. If none, indicate no known exposure.):

|  |  |  |  |
| --- | --- | --- | --- |
| **Toxin** | **Exposure**  **(inhalation, ingestion, direct contact)** | **Dates** | **Effects** |
|  |  |  |  |
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# Domestic Violence, Physical, Emotional, Sexual Abuse & Trauma

|  |  |
| --- | --- |
| I have been a victim of | Domestic Violence  Physical Abuse  Psychological Abuse  Emotional Abuse  Sexual Abuse |
| I have been a perpetrator of | Domestic Violence  Physical Abuse  Psychological Abuse  Emotional Abuse  Sexual Abuse |
| I have a history of trauma | Yes  No |
| My trauma history includes |  |

Additional information regarding domestic violence, physical, emotional, sexual abuse (i.e. don’t identify people by name but as friend, neighbor, family member, etc.):

# Medications

In the **past year**,

|  |  |  |
| --- | --- | --- |
| I have had significant medication changes | Yes  No | Comments: |
| I have forgotten to refill medications on time | Yes  No | Comments: |

I store my medications in the following location(s):

I forget to take my prescribed medications:

Daily  Weekly  Once/Twice a Month  Infrequent  Never

I remember to take my medications by (select all that apply):

Following directions  Caregiver gives them to me  Medication machine

Timer  Calendar  Pill minder

Nurse/Home Health set up  Staff  Other (note in comments)

Comments:

I am currently taking:

* Prescription medication  Yes  No
* Over the counter medications, including vitamins  Yes  No

I know what medications I take and why I take them:  Yes  No

Comments:

I am able to self-administer my medications:  Yes  No

Comments:

I have the following additional medication needs or concerns:

## Current Medications

My current medications (include prescription, over the counter & vitamins):

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Medication Name** | **Dosage** | **Frequency** | **Prescriber** | **Reason/Purpose** | **Date Started** |
|  |  |  |  |  |  |
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## Past Relevant Medications

Pastmedications tried:

| **Medication Name** | **Dates** | **Reason Discontinued** (e.g. specific side effect, insurance coverage, medication wasn’t effective) |
| --- | --- | --- |
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## Pharmacy

|  |  |
| --- | --- |
| I have a pharmacy that I use | Yes  No |
| Pharmacy Name |  |
| Pharmacy Address |  |
| Pharmacy Phone |  |
| I am locked into a pharmacy | Yes  No |

# My Current Medical Support Team

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Role** | **Name/ Agency** | **Address** | **Last Visit Date** | **Reason for Last Visit** |
| Primary Care Practitioner (PCP) |  |  |  |  |
| Dentist |  |  |  |  |
| Eye Doctor |  |  |  |  |
| Audiologist |  |  |  |  |
| Therapist |  |  |  |  |
| Psychiatrist |  |  |  |  |
| Speech Therapy |  |  |  |  |
| Physical Therapy |  |  |  |  |
| Occupational Therapy |  |  |  |  |
| Other Specialties (list) |  |  |  |  |

I currently need assistance to access or identify the following providers:

## Supports & Services Received

I **currently** receive the following supports & services (i.e. Therapy (individual, group, family), Psychiatry services, Intensive Outpatient, Medication Management, HCBS waiver services, BHIS, Habilitation services, Transportation, In-Home Care, Durable Medical Equipment, Alcohol and/ or Substance use/ abuse services, etc.):

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Service Type** | **Provider Name** | **Provider Address** | **Successful or Helpful** | **Dates of Service** |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |

Comments:

My **past** supports & services I have accessed (i.e. Therapy (individual, group, family), Psychiatry services, Intensive Outpatient, Medication Management, HCBS waiver services, BHIS, Habilitation services, Transportation, In-Home Care, Durable Medical Equipment, Alcohol and/ or Substance use/abuse services, etc.):

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Service Type** | **Provider Name** | **Provider Address** | **Successful or Helpful** | **Dates of Service** |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |
|  |  |  | Yes  No |  |

Comments:

I am satisfied with my current supports and services:  Yes  No

If no, explain:

I participate in support groups (e.g. NAMI, NA/AA, etc.):  Yes  No

If yes, explain (type/frequency):

I want to participate in support groups (e.g. NAMI, NA/AA, etc.):  Yes  No

If yes, explain (type/reason):

# Substance Use or Abuse

I have a history of alcohol and/or substance use:  Yes  No

I live with or spend time with a person who has alcohol or substance abuse concerns, including misuse of prescription medication:  Yes  No *If yes*, provide additional information:

The following people in my life (e.g. spouse, partner, parents/guardian, friend, child, etc.) are concerned about my substance and/or tobacco use:  Yes  No Describe:

## Alcohol Use

|  |  |
| --- | --- |
| I consume alcoholic beverages | Yes  No *If no,* skip to caffeine use |
| I drink alcohol | Never  Monthly or less  2-4 times a month  4 or more times a week |
| On a typical day, I consume this many alcohol drinks | 1-2 drinks  7-9 drinks  3-4 drinks  10 or more drinks |
| I drink 5 or more drinks on one occasion | Never  Monthly or less  2-4 times a month  4 or more times a week |
| In the past year, I have consumed, 5 or more drinks for men or 4 or more drinks for women, per day | Yes  No |
| My choice of alcohol is |  |
| I first used alcohol at age |  |
| My longest sobriety was |  |

## Caffeine Use

|  |  |
| --- | --- |
| In the **past two weeks**, I have consumed the following caffeinated beverages per day | No coffee or caffeinated beverages  1-2 cups of coffee or 1-4 caffeinated beverages  3-6 cups of coffee or 5-9 caffeinated beverages  7 or more cups of coffee or 10 or more caffeinated beverages |
| My preferred choice of caffeinated beverage is |  |

## Illegal Substances

|  |  |
| --- | --- |
| I have used illegal substances | Yes  No *If no,* skip to tobacco use |
| I use illegal substances | Never  Monthly or less  2-4 times a month  4 or more times a week |
| In past year, I have used an illegal drug | Yes  No |
| In past year, I have used prescription medication for non-medical reasons | Yes  No |
| My preferred choice of illegal substance is |  |
| I first used illegal substances at age |  |
| I have tried the following illegal substances |  |

## Tobacco Use

|  |  |
| --- | --- |
| I currently smoke or use other forms of tobacco | Yes  No *If no,* skip to alcohol/substance abuse treatment |
| My choice of tobacco is | Cigarettes  Cigars  E-cigarettes/Vape  Chewing Tobacco  Other |
| I use tobacco | Sometimes (few times a month)  Occasionally (few times a week)  Daily  For cigarettes/cigars/vaping, answer the following:  Light cigarette smoker (1-9 cigs/day)  Moderate cigarette smoker (10-19 cigs/day)  Heavy cigarette smoker (20-39 cigs/day)  Very heavy smoker (40+cigs/day) |
| In past year, I have used tobacco | Sometimes (few times a month)  Occasionally (few times a week)  Daily  Type/Comments: |
| I first used tobacco at age |  |

## Alcohol/Substance Abuse History

My family history of substance use, treatment and/or issues include:

Additional alcohol/substance use comments:

# Gambling/Dependence

I have gambled money or goods in the past year:  Yes  No *If no,* skip to Self-Care/ADLs/IDLs Section.

In the **past 12 months**, I have

|  |  |
| --- | --- |
| Become restless, irritable, or anxious when trying to stop or cut down on gambling | Yes  No |
| Tried to keep my family or friends from knowing how much I have gambled | Yes  No |
| Had financial trouble as a result of my gambling, that I had to get help with living expenses from family, friends or other sources | Yes  No |

# Self-Care/ADLs/IDLs

I **need assistance** with the following:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **Inde-pendent** | **Supervision/Verbal Prompts/ Cueing** | **Assistive Device** | **Physical Assistance** | **Total Dependence** | **Frequency of Assistance** | |
| **Daily** | **Intermittent** |
| Eating |  |  |  |  |  |  |  |
| Grooming and personal hygiene |  |  |  |  |  |  |  |
| Bathing |  |  |  |  |  |  |  |
| Dressing |  |  |  |  |  |  |  |
| Mobility in bed |  |  |  |  |  |  |  |
| Transferring |  |  |  |  |  |  |  |
| Walking |  |  |  |  |  |  |  |
| Continence |  |  |  |  |  |  |  |
| Preparing meals |  |  |  |  |  |  |  |
| House-keeping |  |  |  |  |  |  |  |
| Managing finances |  |  |  |  |  |  |  |
| Managing medications |  |  |  |  |  |  |  |
| Handling transpor-tation (driving or navigating public transit) |  |  |  |  |  |  |  |
| Using the telephone or other communi-cation devices |  |  |  |  |  |  |  |
| Shopping |  |  |  |  |  |  |  |

If assistance is needed to participate in an activity listed in the table above, include information about the type of supervision, physical assistance, and/or use of assistive devices or adaptive equipment needed:

## Caregiver(s) Natural Supports

I have an unpaid caregiver(s)/natural support who assists with me with activities above:  Yes  No

*If yes*, list caregiver name, assistance and frequency:

My Caregiver(s)/natural support reports feelings of stress:  Yes  No

The caregiver(s)/natural support access the following supports, training, and resources:

The caregiver(s)/natural support needs the following supports, training, and resources:

# Transportation

|  |  |
| --- | --- |
| I am able to arrange my own transportation | Yes  No |
| I have a valid driver’s license | Yes  No |
| I have a safe/reliable vehicle | Yes  No |
| I am able to use public transportation | No help or supervision  Need some help or occasional supervision  Need a lot of help  Need consistent help |
| I am able to get to the places I want  (check all that apply) | Walking  Bicycle  Drive  Take a taxi/bus  Family/friends drive  Staff/Provider  Other, describe |

I have the following transportation needs or concerns, not identified above:

# Employment & Volunteering

I am currently working:  Yes  No  I am under age 14 (skip to Educational History section)

If working:

I work       hours a week doing the following:      .

I like my current job:  Yes  No

I want to find a different job:  Yes  No *If yes*, I am interested in:

I have supports that assist me with maintaining my job:  Yes  No *If yes*, I am currently receiving the following supports (name, type of support & # of hours of support):

If not working:

I want to obtain a job:  Yes  No

I am interested in (identify job interest, why and # of hours):

I need the following supports to be successful in obtaining a job:

I am currently working with Iowa Vocational Rehabilitation Services (IVRS):  Yes  No *If yes*, I began working with IVRS on the following date:

My IVRS counselor name, address & phone number is:

My **past** work history includes:

|  |  |  |  |
| --- | --- | --- | --- |
| **Employer** | **Services/Supports Received, if applicable** | **Summary About Employment**  *(Like/dislike job, quit/fired, etc.)* | **Employment Dates** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

I am currently volunteering or interested in volunteering:  Yes  No

I volunteer at:       doing the following:

I volunteer these days:

I am interested in volunteering at or doing:

Additional employment/volunteering comments:

# Educational History

I am currently in school:  Yes  No *If yes*, where:

*If yes*, are you in any extra-curricular activities:  Yes  No Explain*:*

I attend school as scheduled (i.e. following attendance policy, are there truancy issues, etc.):  Yes  No Comments:

The highest level of education I have completed is:

I am currently in K–12th grade  GED/Hi-Set  High School Diploma  Technical School

Certificate  2 year Degree  4 year Degree

Master’s  Doctorate/PhD  Did not complete high school

Other

I have a degree(s)/certificate(s), post high school/GED/Hi-Set:  Yes  No *If yes*, explain date obtained and specialty obtained:

I would describe my school experience as:

I receive or received the following supports/services (e.g. AEA, special educations, etc.) in school:

I am interested in furthering my education:  Yes  No *If no,* skip to Housing Situation section

I would like to go to school for:

I need assistance or support in gaining access to educational services:  Yes  No *If yes*, explain type of assistance/support needed:

Additional educational comments:

# Housing Situation

I currently live (check all applicable):

Alone  With Immediate Family  With Relatives

With Friends  With Roommates  Other, describe

I currently reside in:

Own home  Apartment  Family/Friend Home

Shelter  Homeless  Residential Care Facility (RCF)  Psychiatric Medical Institute

Other, describe

I feel safe in my home:  Yes  No *If no*, why:

The exits in my home/residence are easily accessible in case of an emergency:  Yes  No *If no*, describe plan to make accessible:

I feel safe in my neighborhood:  Yes  No *If no*, why:

I am able to access emergency assistance in case of an emergency by (check all applicable):

Cell Phone  Family  Neighbor  Personal Emergency Response System

Staff/Provider  Other, describe

In the **next 2 months**, I am worried that I may not have stable housing:  Yes  No

I have the following additional housing needs or concerns:

# Financial

## Representative Payee & Conservator

I have a representative payee:  Yes  No

Representative Payee Name:

Address (Street, City, State, Zip)

Phone:       Email:

I have a conservator:  Yes  No

Conservator Name:

Address (Street, City, State, Zip)

Phone:       Email:

## Income and Resources

I receive the following income and monthly amounts (Social Security, work wages, etc.):

|  |  |  |
| --- | --- | --- |
| **Income Type** | **Amount** | **Frequency**  *(Monthly, weekly, etc.)* |
| Social Security (SSDI/SDAC/SSI) |  |  |
| Retirement |  |  |
| Work Wages |  |  |
| Other: |  |  |

I am able to manage my own finances (i.e. understands use of money, can pay for things, pay bills, and balances a checkbook):

Needs no help or supervision  Needs some help or occasional supervision

Needs a lot of help or constant supervision  Can’t do it at all

Comments:

I need legal aid assistance:  Yes  No *If yes*, explain:

In the **last 3 months**, I ate less because there wasn’t enough money for food:

Yes  No

In the **last 6 months**, I have had my electric, gas, oil or water company threaten to shut off my service:

Yes  No

I have problems getting child care & it makes it hard for me to work or study:  Yes  No  
*If yes*, explain:

I have the following additional financial needs or concerns:

I currently

|  |  |  |
| --- | --- | --- |
| receive food stamps | Yes  No | Comment: |
| access the food pantry | Yes  No | Comment: |
| receive housing assistance | Yes  No | Comment: |

Additional community resources I use or need:

# Legal Information

## Legal Guardian

I have a legal guardian:  Yes  No

|  |  |
| --- | --- |
| Name |  |
| Address (Street, City, State, Zip) |  |
| Phone |  |
| Email |  |

Advanced Directive

I have an advanced directive in place:  Yes  No

*If no*, I would like information on how to complete this:  Yes  No

The following information was provided to me:

## Power of Attorney

I have a power of attorney:  Yes  No

|  |  |
| --- | --- |
| Name |  |
| Type of Power of Attorney |  |
| Address (Street, City, State, Zip) |  |
| Phone |  |
| Email |  |

Mental Health Committal

I have a mental health committal:  Yes  No

|  |  |
| --- | --- |
| Committal County |  |
| Judicial Advocate Name |  |
| Address (Street, City, State, Zip) |  |
| Phone |  |
| Email |  |

## Substance Abuse Committal

I have a substance abuse committal:  Yes  No

|  |  |
| --- | --- |
| Committal County |  |
| Judicial Advocate Name |  |
| Address (Street, City, State, Zip) |  |
| Phone |  |
| Email |  |

## Probation or Parole

I am on probation or parole:  Yes  No

|  |  |
| --- | --- |
| Probation/Parole Officer Name |  |
| Judicial Advocate Name |  |
| Address (Street, City, State, Zip) |  |
| Phone |  |
| Email |  |

Summary of arrest history:

I have a no contact order in place:  Yes  No Details:

I am on the child abuse registry:  Yes  No Summary:

I am on the sex offender registry:  Yes  No Summary:

For **Children ONLY**,

My child has the following in place:

|  |  |  |
| --- | --- | --- |
| Child in need of assistance (CINA) | Yes  No | Details: |
| Child protection order | Yes  No | Details: |
| Foster Care Placement | Yes  No | Foster Parent Names: |
| Other court order | Yes  No | Details: |

# Future Identified Goals & Needs

A typical day for me is (e.g. starting from when you get up until bed time, outline your basic routine)?

I would like to change the following, if anything, about my day:

I have the following urgent needs (e.g. I don’t have food tonight, don’t have a place to sleep):

I would like to receive assistance with those needs:  Yes  No

My overall goal for improving my health and life is:

The following describes how ready I am to change or take action on my goals:

Not planning to take action within the near future

Planning to take action within the next six months

Planning to take action within the next month and have a plan of how to do this

I’ve already made significant modifications in my way of life

Comment:

The most important thing for me to address is:

I am aware that this could require a personal change to address this need:  Yes  No

On a scale of 0 – 10, with 10 being extremely important, I would rate this as a

On a scale of 0 – 10, with 10 being extremely confident, I would rate my confidence in making this change a

The second most important thing for me is:

I am aware that this could require a personal change to address this need:  Yes  No

On a scale of 0 – 10, with 10 being extremely important, I would rate this as a

On a scale of 0 – 10, with 10 being extremely confident, I would rate my confidence in making this change a

The third most important thing for me is:

I am aware that this could require a personal change to address this need:  Yes  No

On a scale of 0 – 10, with 10 being extremely important, I would rate this as a

On a scale of 0 – 10, with 10 being extremely confident, I would rate my confidence in making this change a

I need the following support to accomplish my goal(s):

# **Identified risks and needs by the Assessor**

Using the information in this assessment, complete each area.

**Cognitive functioning*.*** *Considerations: Cognitive functions, including the member’s ability to communicate and understand instructions, process information about an illness, focus and shift attention, comprehend and recall direction independently*:

Choose an item.

**Visual and hearing needs, preferences or limitations*.*** *Considerations: Member’s vision and hearing, and the impact on member’s case management plan and barriers to effective communication or care. Examples include visual impairment and need for/use of visual aids, hearing impairment and need for/use of hearing aids or other supports or devices*:

Choose an item.

**Social functioning*.*** *Considerations: Social functioning refers to an ability to interact easily and successfully with other people. Examples include engagement with family and friends, social isolation, employment status*:

Choose an item.

**Cultural and linguistic needs, preferences or limitations*.*** *Considerations: Member’s cultural health beliefs/practices/needs, preferred languages and needs, and the impact of culture and language on communication, care, or acceptability of specific treatments*:

Choose an item.

**Health status, including condition-specific issues*.*** *Considerations: Active diagnoses, physical health conditions, co-morbidities, self-reported health status, current medications (including dosages and schedule):*

Choose an item.

**Behavioral health status.** *Considerations: Behavioral health status, including mental health conditions and substance use disorders (examples: substance use disorders, suicidal ideation, depression, psychosis)*:

Choose an item.

**Available benefits within the organization*.*** *Considerations: Adequacy of the member’s health insurance benefits in relation to the needs of the case management plan. Examples include benefits covered by the organization and providers, services carved out by the purchaser, services that supplement those the organization is contracted to provide such as community mental health/subsidized housing/palliative care programs*:

Choose an item.

**Activities of daily living, including use of supports*.*** *Considerations: ADL examples include grooming, dressing, bathing, toileting, eating, transferring, continence, walking; supports including assistive technology and human assistance*:

Choose an item.

**Instrumental activities of daily living, including use of supports*.*** *Considerations: IADL examples include managing finances, shopping, preparing meals, managing medications, housework and basic home maintenance, handling transportation, using telephone and other communication devices; supports including assistive technology and human assistance:*

Choose an item.

**Paid and unpaid caregiver resources, involvement and needs*.*** *Considerations: Adequacy of caregiver resources. For example, family involvement in the case management plan and carrying it out, availability/skills/capacity of caregivers to provide support of requested ADL/IADL, undue burden on caregiver, caregiver support needs*:

Choose an item.

**Community resources*.*** *Considerations: Member’s eligibility for community resources and the availability of those resources. Examples include community mental health, vocational programs, volunteer companion services, government aid, senior centers, adult day care, support groups, poverty outreach groups, housing resources, legal aid, and palliative care programs*:

Choose an item.

**Social determinants of health.** *Considerations: Social determinants of health refer to the economic and social conditions that affect a wide range of health, functioning and quality-of-life outcomes and risks. Examples include current housing and housing security, access to local food markets, exposure to crime/violence/social disorder, residential segregation and other forms of discrimination, access to mass media and emerging technologies, social support/norms/attitudes, access to transportation, and financial barriers to obtaining treatment****:***

Choose an item.

**Health beliefs and behaviors.** Considerations: Health beliefs and behaviors may reflect cultural and social beliefs about health problems, perceived benefits of action, and barriers to action. Examples include optimism, self-efficacy, and physical activity, smoking, alcohol use, medication adherence, beliefs and concerns about the condition or services the member is receiving:

Choose an item.

**Physical environment for risk.***Considerations: Member’s physical environment and risks. Examples include fall risks, medication risks, accessibility of exits, and access to emergency assistance*:

Choose an item.

# Habilitation Eligibility (only complete if applying or accessing habilitation)

**Risk Factor** – meets at least 1 of the following

A history of inpatient, partial hospitalization, or emergency psychiatric treatment more than once in the individual’s life; or

The individual has a history of continuous professional psychiatric supportive care other than hospitalization; or

The individual has a history of involvement with the criminal justice system; or Services available in the individual’s community have not been able to meet the individual’s needs; or

The individual has a history of unemployment or employment in a sheltered setting or poor work history; or

The individual has a history of homelessness or is at risk of homelessness

**Need for Assistance** – meet at least 2 of the following on a continuing or intermittent basis for at least 12 months

The individual needs assistance to obtain and/or maintain employment.

The individual needs financial assistance to reside independently in the community.

The individual needs significant assistance to establish or maintain a personal social support system.

The individual needs assistance with at least one activities of daily living (ADLs) or instrumental activities of daily living (IADLs) to reside independently in the community.

The individual needs assistance with management and intervention of maladaptive or antisocial behaviors to ensure the safety of the individual and/or others.

# SIGNATURE

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name, Credentials Date

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Member/Guardian Date

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title:       Date

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title:       Date