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THE NATIONAL IMPERATIVE TO IMPROVE NURSING HOME QUALITY Honoring Our Commitment to Residents, Families, and Staff

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Honoring Our Commitment to Residents, Families, and Staff



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Committee Members

- **Betty R. Ferrell**, (*Chair*), City of Hope National Medical Center
- **Gregory L. Alexander**, Columbia University School of Nursing
- Mary Ersek, University of Pennsylvania Schools of Nursing and Medicine; and Department of Veterans Affairs
- **Colleen Galambos**, University of Wisconsin-Milwaukee; and Medical College of Wisconsin
- David C. Grabowski, Harvard Medical School
- Kathy Greenlee, Greenlee Global LLC
- Lisa Kaplowitz, Virginia Department of Health
- **R. Tamara Konetzka**, University of Chicago
- Christine Mueller, University of Minnesota School
 of Nursing
- Marilyn J. Rantz, University of Missouri Sinclair School of Nursing

- Debra Saliba, University of California, Los Angeles Borun Center; Veterans Affairs, Los Angeles GRECC; and RAND Corporation
- William Scanlon, West Health
- Philip D. Sloane, University of North Carolina at Chapel Hill
- **David G. Stevenson,** Vanderbilt University School of Medicine
- Jasmine L. Travers, NYU Rory Meyers College of Nursing
- **Reginald Tucker-Seeley,** ZERO-The End of Prostate Cancer; and University of Southern California
- **Rachel M. Werner**, University of Pennsylvania Leonard Davis Institute of Health Economics; and Crescenz Veterans Affairs Medical Center

Study Sponsors

- The John A. Hartford Foundation
- Commonwealth Fund
- The Sephardic Foundation on Aging
- Jewish Healthcare Foundation
- The Fan Fox & Leslie R. Samuels Foundation



- Unique role of nursing homes
- Improving the Quality of Care in Nursing Homes (IOM, 1986)
- OBRA '87
- COVID-19

"The pandemic has lifted the veil on what has been an invisible social ill for decades."

- Daughter and caregiver of two parents with dementia

who needed nursing home care

Statement of Task

- Examine how our nation delivers, regulates, finances, and measures the quality of nursing home care.
- Delineate a framework and general principles for improving the quality of care in nursing homes.
- Consideration of COVID-19 pandemic.

The Committee's Process

- 5 full committee meetings
- Multiple ad hoc meetings
- 6 public information-gathering sessions
- Online submission of narratives on resident, family, and nursing home staff experiences
- Literature review and synthesis of findings and conclusions
- External peer-review by 16 experts in variety of disciplines

Overarching Conclusions

- 1. The way in which the United States finances, delivers, and regulates care in nursing home settings is **ineffective**, **inefficient**, **fragmented**, **and unsustainable**.
- 2. Immediate action to **initiate fundamental change** is necessary.
- 3. Stakeholders need to **make clear a shared commitment** to the care of nursing home residents.
- 4. Ensure that quality improvement initiatives are implemented using strategies that **do not exacerbate disparities** in resource allocation, quality of care, or resident outcomes.

Overarching Conclusions (continued)

- 5. High-quality research is needed to advance the quality of care in nursing homes.
- 6. The nursing home sector has suffered for many decades from both **underinvestment in ensuring the quality of care** and a **lack of accountability** for how resources are allocated.
- 7. All relevant federal agencies need to be granted the **authority and resources** from the U.S. Congress to implement the recommendations of this report.

Conceptual Model of Nursing Home Quality



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Committee's Vision

The committee's vision of nursing home quality is that residents of nursing homes receive care in a safe environment that honors their values and preferences, addresses goals of care, promotes equity, and assesses benefits and risks of care and treatments. The National Academies of SCIENCES • ENGINEERING • MEDICINE

Goals and Associated Recommendations

GOAL 1

Deliver comprehensive, person-centered, equitable care that ensures residents' health, quality of life, and safety; promotes autonomy; and manages risks

Resident, Family, and Staff Perspectives

• "While the [nursing home] company website and PR touted their commitment to person-centered care and treating residents like their own family, the facility was never able to provide even the most basic, routine services uniformly and consistently."

- Anonymous, St. Louis, Missouri

 "There is no quality of care/quality of life....and worse, personcentered care is next to impossible. Aides and nurses do not want to be short/quick but residents are now just a box on the checklist to be done."

M.K

GOAL 1: Recommendations

- Care planning
- Models of care
- Emergency preparedness and response
- Physical environment

GOAL 2

Ensure a well-prepared, empowered, and appropriately compensated **workforce**

Resident, Family, and Staff Perspectives

• "There are so many types of facilities I have been in and no matter what, staffing is what makes or breaks it."

- "The reality is that the staff is underpaid, overworked, under supported, and insufficiently trained to care for residents."
 - Family member, Wilmington, North Carolina

GOAL 2: Recommendations

- Competitive wages and benefits
- Staffing standards and expertise
- Empowerment of certified nursing assistants
- Education and training
- Data collection and research



Increase the **transparency and accountability** of finances, operations, and ownership

GOAL 3: Recommendations

- Collect, audit, and report detailed facility-level data on the finances, operations, and ownership of all nursing homes
- Data should be publicly available in real time
- Database should be searchable in a manner that allows for the assessment of quality by common owner or management company



Create a more rational and robust financing system

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Resident, Family, and Staff Perspectives

- "My mother had a private room because she paid out of pocket, spending the last \$200,000 of my parents' lifetime savings before depleting her bank account."
 - Daughter and family caregiver of two parents with dementia who needed nursing home care

GOAL 4: Recommendations

- Study of a federal long-term care benefit¹
- Adequacy of Medicaid payments
- Specific percentage of Medicare and Medicaid payments for direct-care services
- Value-based purchasing initiatives for long-term care
- Demonstration projects on alternative payment models

¹One committee member declined to endorse this recommendation.



Design a more effective and responsive system of quality assurance

Resident, Family, and Staff Perspectives

 "Quality of care, quality of life, and fundamental resident rights to a safe and dignified existence mean very little without regulations and strong enforcement."

Kathy Bradley, Family Member and Founder, CEO, and

Board President of Our Mother's Voice

• "We need actual regulation—surprise visits and regular visits from inspectors and real penalties for violations (and information made available to the public)."

Family Member, Berkeley, California

GOAL 5: Recommendations

- State surveys and CMS oversight
- Long-Term Care Ombudsman Program
- Transparency and accountability
- Certificate-of-need regulations and construction moratoria

GOAL 6

Expand and enhance quality measurement and continuous quality improvement

Resident, Family, and Staff Perspectives

- "Metrics for quality of life or wellbeing—this is critical. There
 need to be incentives to push care towards what matters to older
 adults and nursing home residents."
 - Physician and researcher from Amherst, MA who has worked in long-term care facilities

GOAL 6: Recommendations

- CAHPS measures of resident and family experience
- Enhancement and expansion of Care Compare
- Development and adoption of new measures
- Health equity strategy
- Technical assistance for quality improvement

GOAL 7

Adopt health information technology in all nursing homes

GOAL 7: Recommendations

- Pathways to provide financial incentives for EHR adoption
- Measures of HIT adoption and interoperability
- Perceptions of HIT usability
- Training in core HIT competencies

Moving Forward

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Moving Forward

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	Our Comn	aittee Work								
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We anticipate these plans will be completed by April/May 2023 at which time we likely will be hosting review

sessions.



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IN CONCLUSION

The time to act is **now**.

The urgency to reform the ways in which care is financed, delivered, and regulated in nursing home settings is undeniable.

Thank You

More information can be found at:

www.nationalacademies.org/nursing-homes

https://movingforwardcoalition.org/takingaction/

Forthcoming issue: <u>J. Amer. Ger. Society</u> Aging & Public Policy(implementation of each goal

