# Request for an Amendment to a §1915(c) Home and Community-Based Services Waiver

# 1. Request Information

- **A.** The **State** of **Iowa** requests approval for an amendment to the following Medicaid home and community-based services waiver approved under authority of ?1915(c) of the Social Security Act.
- **B. Program Title:**

Home and Community Based Services - AIDS/HIV

C. Waiver Number: IA.0213

Original Base Waiver Number: IA.0213.
D. Amendment Number:IA.0213.R06.04
E. Proposed Effective Date: (mm/dd/yy)

01/01/25

**Approved Effective Date: 01/01/25** 

Approved Effective Date of Waiver being Amended: 07/01/20

### 2. Purpose(s) of Amendment

**Purpose(s) of the Amendment.** Describe the purpose(s) of the amendment:

Update the participant survey tool being used from the IPES (Iowa Participant Experience Survey) to the CAHPS (Consumer Assessment of Healthcare Providers and Systems) Home and Community-Based Services Survey. By changing the survey tool being used two of the current performance measures also had to be re-written. SP-c1, and SP-e1.

#### 3. Nature of the Amendment

**A.** Component(s) of the Approved Waiver Affected by the Amendment. This amendment affects the following component(s) of the approved waiver. Revisions to the affected subsection(s) of these component(s) are being submitted concurrently (check each that applies):

Component of the Approved Waiver	Subsection(s)	
Waiver Application		
Appendix A ? Waiver Administration and Operation		
Appendix B ? Participant Access and Eligibility	7a	
Appendix C ? Participant Services		
Appendix D ? Participant Centered Service Planning and Delivery	QI, 1a	
Appendix E ? Participant Direction of Services		

Component of the Approved Waiver	Subsection(s)	
Appendix F ? Participant Rights		
Appendix G ? Participant Safeguards	1c, 2a, 2b, 2c, QI	
Appendix H	1bi, 2b	
Appendix I ? Financial Accountability	2d	
Appendix J ? Cost-Neutrality Demonstration		

**B. Nature of the Amendment.** Indicate the nature of the changes to the waiver that are proposed in the amendment (*check each that applies*):

Modify target group(s)

Modify Medicaid eligibility

Add/delete services

**Revise service specifications** 

Revise provider qualifications

Increase/decrease number of participants

Revise cost neutrality demonstration

Add participant-direction of services

Other

Specify:

Change the participant survey being used from the IPES (Iowa Participant Experience Survey) to CAHPS (Consumer Assessment of Healthcare Providers and Systems) Home and Community-Based Services/Survey. By changing the survey tool being used some of the current performance measures also had to be re-written.

# Application for a §1915(c) Home and Community-Based Services Waiver

#### 1. Request Information (1 of 3)

- **A.** The **State** of **Iowa** requests approval for a Medicaid home and community-based services (HCBS) waiver under the authority of section 1915(c) of the Social Security Act (the Act).
- **B. Program Title** (optional this title will be used to locate this waiver in the finder):

Home and Community Based Services - AIDS/HIV

C. Type of Request: amendment

**Requested Approval Period:** (For new waivers requesting five year approval periods, the waiver must serve individuals who are dually eligible for Medicaid and Medicare.)

3 years 5 years

Original Base Waiver Number: IA.0213 Waiver Number:IA.0213.R06.04 Draft ID: IA.015.06.03

**D. Type of Waiver** (select only one):

Regular Waiver

E. Proposed Effective Date of Waiver being Amended: 07/01/20 Approved Effective Date of Waiver being Amended: 07/01/20

#### **PRA Disclosure Statement**

The purpose of this application is for states to request a Medicaid Section 1915(c) home and community-based services (HCBS) waiver. Section 1915(c) of the Social Security Act authorizes the Secretary of Health and Human Services to waive certain specific Medicaid statutory requirements so that a state may voluntarily offer HCBS to state-specified target group(s) of Medicaid beneficiaries who need a level of institutional care that is provided under the Medicaid state plan. Under the Privacy Act of 1974 any personally identifying information obtained will be kept private to the extent of the law.

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-0449 (Expires: December 31, 2023). The time required to complete this information collection is estimated to average 160 hours per response for a new waiver application and 75 hours per response for a renewal application, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

### 1. Request Information (2 of 3)

**F. Level(s) of Care**. This waiver is requested in order to provide home and community-based waiver services to individuals who, but for the provision of such services, would require the following level(s) of care, the costs of which would be reimbursed under the approved Medicaid state plan (*check each that applies*):

#### Hospital

Select applicable level of care

If applicable,	specify whether the state	additionally limits the	waiver to subcategories	of the hospital level of
care:				

Inpatient psychiatric facility for individuals age 21 and under as provided in 42 CFR ?? 440.160

#### **Nursing Facility**

Select applicable level of care

#### Nursing Facility as defined in 42 CFR ?? 440.40 and 42 CFR ?? 440.155

If applicable, specify whether the state additionally limits the waiver to subcategories of the nursing facility level of care:

Institution for Mental Disease for persons with mental illnesses aged 65 and older as provided in 42 CFR ?? 440.140

Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID) (as defined in 42 CFR § 440.150)

If applicable, specify whether the state additionally limits the waiver to subcategories of the ICF/IID level of care:

G. Concurrent Operation with Other Programs. This waiver operates concurrently with another program (or prograpproved under the following authorities  Select one:  Not applicable  Applicable Check the applicable authority or authorities:  Services furnished under the provisions of section 1915(a)(1)(a) of the Act and described in Apper Waiver(s) authorized under section 1915(b) of the Act.  Specify the section 1915(b) waiver program and indicate whether a section 1915(b) waiver application submitted or previously approved:  1915(b)Iowa High Quality Healthcare Initiative was previously approved on February 24, 2016, with a effective date of April 1, 2016  Specify the section 1915(b) authorities under which this program operates (check each that applies section 1915(b)(2) (central broker)  section 1915(b)(2) (central broker)  section 1915(b)(3) (employ cost savings to furnish additional services)  section 1915(b)(4) (selective contracting/limit number of providers)  A program operated under section 1932(a) of the Act.  Specify the nature of the state plan benefit and indicate whether the state plan amendment has been sub previously approved:	adix I nas been
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A program authorized under section 1915(i) of the Act.	
A program authorized under section 1915(j) of the Act.	
A program authorized under section 1115 of the Act.  Specify the program:	
H. Dual Eligiblity for Medicaid and Medicare.  Check if applicable:	
This waiver provides services for individuals who are eligible for both Medicare and Medicaid.	
Brief Waiver Description	

**Brief Waiver Description.** *In one page or less*, briefly describe the purpose of the waiver, including its goals, objectives, organizational structure (e.g., the roles of state, local and other entities), and service delivery methods.

The goal of the Iowa HCBS AIDS/HIV waiver is to provide community alternatives to institutional services. Through need-based funding of individualized supports, eligible participants may maintain their position within their home and community rather than default placement within an institutional setting. The Iowa Department of Health and Human Services (HHS) Iowa Medicaid is the single state agency responsible for the oversight of Medicaid.

Individuals access waiver services by applying to their local HHS office or through the online HHS benefits portal. Each individual applying for waiver services must meet nursing facility (as defined in 42 CFR §440.40 and 42 CFR §440.155) level of care. Iowa Medicaid's Medical Services Unit (MSU) is responsible for determining the initial level of care assessments for all applicants, and level of care revaluations for fee-for-service participants. MCOs are responsible for conducting level of care reevaluations for their members, with Iowa Medicaid having final review and approval authority for all reassessments that indicate a change in the level of care. Further, the MCOs are responsible for developing and implementing policies and procedures for ongoing identification of members who may be eligible for waiver services. In the event there is a waiting list for waiver services at the time of initial assessment, applicants are advised of the waiting list and that they may choose to receive facility-based services.

If the applicant is deemed eligible, necessary services are determined through a person-centered planning process with assistance from an interdisciplinary team. After exploring all available resources, including natural and community supports, the individual will have the option to choose between various traditional and self-directed services.

Services include Adult day care, Homemaker, Respite, Home Health Aide, Nursing, Consumer Directed Attendant Care, Counseling, Home Delivered Meals, and self-directed services. Self-directed services include financial management services, independent support broker, self-directed personal care, self-directed community and employment support, and individual directed goods and services.

Through increased legislative focus of appropriations, mental health and disability services redesign, and infrastructure development through Iowa's Balancing Incentives Payment Program, it is the goal of Iowa to offer a more uniform and equitable system of community support delivery to individuals qualifying for waiver services.

# 3. Components of the Waiver Request

The waiver application consists of the following components. Note: <u>Item 3-E must be completed</u>.

- **A.** Waiver Administration and Operation. Appendix A specifies the administrative and operational structure of this waiver.
- **B.** Participant Access and Eligibility. Appendix B specifies the target group(s) of individuals who are served in this waiver, the number of participants that the state expects to serve during each year that the waiver is in effect, applicable Medicaid eligibility and post-eligibility (if applicable) requirements, and procedures for the evaluation and reevaluation of level of care.
- **C. Participant Services. Appendix C** specifies the home and community-based waiver services that are furnished through the waiver, including applicable limitations on such services.
- **D. Participant-Centered Service Planning and Delivery. Appendix D** specifies the procedures and methods that the state uses to develop, implement and monitor the participant-centered service plan (of care).
- **E. Participant-Direction of Services.** When the state provides for participant direction of services, **Appendix E** specifies the participant direction opportunities that are offered in the waiver and the supports that are available to participants who direct their services. (*Select one*):

Yes. This waiver provides participant direction opportunities. Appendix E is required.

No. This waiver does not provide participant direction opportunities. Appendix E is not required.

- **F. Participant Rights. Appendix F** specifies how the state informs participants of their Medicaid Fair Hearing rights and other procedures to address participant grievances and complaints.
- **G. Participant Safeguards. Appendix G** describes the safeguards that the state has established to assure the health and welfare of waiver participants in specified areas.
- **H. Quality Improvement Strategy. Appendix H** contains the quality improvement strategy for this waiver.

- **I. Financial Accountability. Appendix I** describes the methods by which the state makes payments for waiver services, ensures the integrity of these payments, and complies with applicable federal requirements concerning payments and federal financial participation.
- J. Cost-Neutrality Demonstration. Appendix J contains the state's demonstration that the waiver is cost-neutral.

### 4. Waiver(s) Requested

**A.** Comparability. The state requests a waiver of the requirements contained in section 1902(a)(10)(B) of the Act in order to provide the services specified in **Appendix C** that are not otherwise available under the approved Medicaid state plan to individuals who: (a) require the level(s) of care specified in Item 1.F and (b) meet the target group criteria specified in **Appendix B**.

dix B.  e and Resources for the Medically Needy. Indicate whether the state requests a waiver of section  (10)(C)(i)(III) of the Act in order to use institutional income and resource rules for the medically needy (select
ot Applicable
ideness. Indicate whether the state requests a waiver of the statewideness requirements in section 1902(a)(1) of the <i>lect one</i> ):
No
Yes
yes, specify the waiver of statewideness that is requested (check each that applies):
<b>Geographic Limitation.</b> A waiver of statewideness is requested in order to furnish services under this waiver only to individuals who reside in the following geographic areas or political subdivisions of the state. Specify the areas to which this waiver applies and, as applicable, the phase-in schedule of the waiver by geographic area:
<b>Limited Implementation of Participant-Direction.</b> A waiver of statewideness is requested in order to make <i>participant-direction of services</i> as specified in <b>Appendix E</b> available only to individuals who reside in the following geographic areas or political subdivisions of the state. Participants who reside in these areas may elect to direct their services as provided by the state or receive comparable services through the service delivery methods that are in effect elsewhere in the state.  Specify the areas of the state affected by this waiver and, as applicable, the phase-in schedule of the waiver by geographic area:

#### 5. Assurances

In accordance with 42 CFR § 441.302, the state provides the following assurances to CMS:

- **A. Health & Welfare:** The state assures that necessary safeguards have been taken to protect the health and welfare of persons receiving services under this waiver. These safeguards include:
  - 1. As specified in **Appendix C**, adequate standards for all types of providers that provide services under this waiver;
  - 2. Assurance that the standards of any state licensure or certification requirements specified in **Appendix C** are met for services or for individuals furnishing services that are provided under the waiver. The state assures that these requirements are met on the date that the services are furnished; and,

- **3.** Assurance that all facilities subject to section 1616(e) of the Act where home and community-based waiver services are provided comply with the applicable state standards for board and care facilities as specified in **Appendix C**.
- **B. Financial Accountability.** The state assures financial accountability for funds expended for home and community-based services and maintains and makes available to the Department of Health and Human Services (including the Office of the Inspector General), the Comptroller General, or other designees, appropriate financial records documenting the cost of services provided under the waiver. Methods of financial accountability are specified in **Appendix I**.
- **C. Evaluation of Need:** The state assures that it provides for an initial evaluation (and periodic reevaluations, at least annually) of the need for a level of care specified for this waiver, when there is a reasonable indication that an individual might need such services in the near future (one month or less) but for the receipt of home and community-based services under this waiver. The procedures for evaluation and reevaluation of level of care are specified in **Appendix B**.
- **D.** Choice of Alternatives: The state assures that when an individual is determined to be likely to require the level of care specified for this waiver and is in a target group specified in **Appendix B**, the individual (or, legal representative, if applicable) is:
  - 1. Informed of any feasible alternatives under the waiver; and,
  - 2. Given the choice of either institutional or home and community-based waiver services. Appendix B specifies the procedures that the state employs to ensure that individuals are informed of feasible alternatives under the waiver and given the choice of institutional or home and community-based waiver services.
- **E.** Average Per Capita Expenditures: The state assures that, for any year that the waiver is in effect, the average per capita expenditures under the waiver will not exceed 100 percent of the average per capita expenditures that would have been made under the Medicaid state plan for the level(s) of care specified for this waiver had the waiver not been granted. Costneutrality is demonstrated in **Appendix J**.
- **F. Actual Total Expenditures:** The state assures that the actual total expenditures for home and community-based waiver and other Medicaid services and its claim for FFP in expenditures for the services provided to individuals under the waiver will not, in any year of the waiver period, exceed 100 percent of the amount that would be incurred in the absence of the waiver by the state's Medicaid program for these individuals in the institutional setting(s) specified for this waiver.
- **G.** Institutionalization Absent Waiver: The state assures that, absent the waiver, individuals served in the waiver would receive the appropriate type of Medicaid-funded institutional care for the level of care specified for this waiver.
- **H. Reporting:** The state assures that annually it will provide CMS with information concerning the impact of the waiver on the type, amount and cost of services provided under the Medicaid state plan and on the health and welfare of waiver participants. This information will be consistent with a data collection plan designed by CMS.
- **I. Habilitation Services.** The state assures that prevocational, educational, or supported employment services, or a combination of these services, if provided as habilitation services under the waiver are: (1) not otherwise available to the individual through a local educational agency under the Individuals with Disabilities Education Act (IDEA) or the Rehabilitation Act of 1973; and, (2) furnished as part of expanded habilitation services.
- J. Services for Individuals with Chronic Mental Illness. The state assures that federal financial participation (FFP) will not be claimed in expenditures for waiver services including, but not limited to, day treatment or partial hospitalization, psychosocial rehabilitation services, and clinic services provided as home and community-based services to individuals with chronic mental illnesses if these individuals, in the absence of a waiver, would be placed in an IMD and are: (1) age 22 to 64; (2) age 65 and older and the state has not included the optional Medicaid benefit cited in 42 CFR § 440.140; or (3) age 21 and under and the state has not included the optional Medicaid benefit cited in 42 CFR § 440.160.

## 6. Additional Requirements

Note: Item 6-I must be completed.

**A. Service Plan**. In accordance with 42 CFR §441.301(b)(1)(i), a participant-centered service plan (of care) is developed for each participant employing the procedures specified in **Appendix D**. All waiver services are furnished pursuant to the service plan. The service plan describes: (a) the waiver services that are furnished to the participant, their projected

frequency and the type of provider that furnishes each service and (b) the other services (regardless of funding source, including state plan services) and informal supports that complement waiver services in meeting the needs of the participant. The service plan is subject to the approval of the Medicaid agency. Federal financial participation (FFP) is not claimed for waiver services furnished prior to the development of the service plan or for services that are not included in the service plan.

- **B. Inpatients**. In accordance with 42 CFR §441.301(b)(1)(ii), waiver services are not furnished to individuals who are inpatients of a hospital, nursing facility or ICF/IID.
- **C. Room and Board**. In accordance with 42 CFR §441.310(a)(2), FFP is not claimed for the cost of room and board except when: (a) provided as part of respite services in a facility approved by the state that is not a private residence or (b) claimed as a portion of the rent and food that may be reasonably attributed to an unrelated caregiver who resides in the same household as the participant, as provided in **Appendix I**.
- **D.** Access to Services. The state does not limit or restrict participant access to waiver services except as provided in Appendix C.
- **E. Free Choice of Provider**. In accordance with 42 CFR §431.151, a participant may select any willing and qualified provider to furnish waiver services included in the service plan unless the state has received approval to limit the number of providers under the provisions of §1915(b) or another provision of the Act.
- **F. FFP Limitation**. In accordance with 42 CFR §433 Subpart D, FFP is not claimed for services when another third-party (e.g., another third party health insurer or other federal or state program) is legally liable and responsible for the provision and payment of the service. FFP also may not be claimed for services that are available without charge, or as free care to the community. Services will not be considered to be without charge, or free care, when (1) the provider establishes a fee schedule for each service available and (2) collects insurance information from all those served (Medicaid, and non-Medicaid), and bills other legally liable third party insurers. Alternatively, if a provider certifies that a particular legally liable third party insurer does not pay for the service(s), the provider may not generate further bills for that insurer for that annual period.
- **G. Fair Hearing:** The state provides the opportunity to request a Fair Hearing under 42 CFR §431 Subpart E, to individuals: (a) who are not given the choice of home and community-based waiver services as an alternative to institutional level of care specified for this waiver; (b) who are denied the service(s) of their choice or the provider(s) of their choice; or (c) whose services are denied, suspended, reduced or terminated. **Appendix F** specifies the state's procedures to provide individuals the opportunity to request a Fair Hearing, including providing notice of action as required in 42 CFR §431.210.
- **H. Quality Improvement**. The state operates a formal, comprehensive system to ensure that the waiver meets the assurances and other requirements contained in this application. Through an ongoing process of discovery, remediation and improvement, the state assures the health and welfare of participants by monitoring: (a) level of care determinations; (b) individual plans and services delivery; (c) provider qualifications; (d) participant health and welfare; (e) financial oversight and (f) administrative oversight of the waiver. The state further assures that all problems identified through its discovery processes are addressed in an appropriate and timely manner, consistent with the severity and nature of the problem. During the period that the waiver is in effect, the state will implement the Quality Improvement Strategy specified in **Appendix H**.
- **I. Public Input.** Describe how the state secures public input into the development of the waiver:

HHS seeks continuous and ongoing public input through a variety of modalities, including townhalls, listening sessions, committees, and workgroups. Iowa Medicaid also participates and collaborates with a number of provider and member association and advocacy groups. Regular input into the operation and implementation of the waiver is obtained from Iowa Association of Community Providers, Iowa Coalition for Integration and Employment, Developmental Disabilities Council, Mental Health, and Disability Service (MHDS) Regions, Child Heath Specialty Clinics, Iowa State Association of Counties, Iowa Health Care Association, and Olmstead Task Force.

The public has the opportunity to comment on Iowa Administrative rules and rule changes through the public comment process, the Legislative Rules Committee, and the HHS Council. Iowa Medicaid also provides notice of applications and amendments by including notice in the Iowa Medicaid e-News emails and on the Iowa Medicaid website.

Iowa Medicaid used the following processes to secure public input into the development of the Aids/HIV Amendment:

- 1) Iowa Medicaid Website Posting https://hhs.iowa.gov/public-notice/2024-08-08/public-notice-public-comment-period-amendments-1915-c
- 2) HHS Field Office Posting Iowa Medicaid provides notification to the HHS Field Office, which in turn, notifies each HHS Field Office to post the Aids/HIV Waiver Public Notice and to provide a copy of the CMS Waiver Amendment Application for any public request.
- 3) Iowa Medicaid Public Notice Subscribers Medicaid members, Medicaid providers, legislators, advocacy organizations and others who wish to remain informed regarding Iowa Medicaid can subscribe to the Iowa Medicaid Public Notice webpage. All subscribers will receive electronic notice whenever an update/public notice is posted. This process includes HCBS waiver amendments. The public posting period was the same for this process. The public notice period began on August 8, 2024, and closed on September 7, 2024. There were no public comments received during the notice period for the AIDS/HIV waiver.
- 4) Iowa Tribal Nations Notification The Tribal Nations were notified of the intent to amend the waiver via email August 8, 2024. The comment period remained open through September 7, 2024. The Tribal liaison for the department received no tribal comments during the notice period.
- **J. Notice to Tribal Governments**. The state assures that it has notified in writing all federally-recognized Tribal Governments that maintain a primary office and/or majority population within the State of the State's intent to submit a Medicaid waiver request or renewal request to CMS at least 60 days before the anticipated submission date is provided by Presidential Executive Order 13175 of November 6, 2000. Evidence of the applicable notice is available through the Medicaid Agency.
- **K. Limited English Proficient Persons**. The state assures that it provides meaningful access to waiver services by Limited English Proficient persons in accordance with: (a) Presidential Executive Order 13166 of August 11, 2000 (65 FR 50121) and (b) Department of Health and Human Services "Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons" (68 FR 47311 August 8, 2003). **Appendix B** describes how the state assures meaningful access to waiver services by Limited English Proficient persons.

# 7. Contact Person(s)

A. The Medicaid	agency representative with whom CMS should communicate regarding the waiver is:
Last Name:	
	Casey
First Name:	
	Christy
Title:	
	Program Manager

Agency:	
	Iowa Department of Health and Human Services/Iowa Medicaid
Address:	
Address:	1205 Walnut Street
	1305 Walnut Street
Address 2:	
Ct.	
City:	
	Des Moines
State:	Iowa
	10114
Zip:	
	50309
Phone:	
	(515) 630-9649 Ext: TTY
	(313) (330 7017)
<b>.</b>	
Fax:	
	(515) 725-1360
E-mail:	
	ccasey@dhs.state.ia.us
	•
D If applicable the state	answating a construction with whom CMS should communicate recording the weiver is
	operating agency representative with whom CMS should communicate regarding the waiver is:
Last Name:	
	Steenblock
First Name:	
rnst rame.	Jennifier
	Jenniner
Title:	
	Federal Compliance Officer
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	Iowa Department of Health and Human Services/Iowa Medicaid
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Fov	

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# 8. Authorizing Signature

This document, together with the attached revisions to the affected components of the waiver, constitutes the state's request to amend its approved waiver under section 1915(c) of the Social Security Act. The state affirms that it will abide by all provisions of the waiver, including the provisions of this amendment when approved by CMS. The state further attests that it will continuously operate the waiver in accordance with the assurances specified in Section V and the additional requirements specified in Section VI of the approved waiver. The state certifies that additional proposed revisions to the waiver request will be submitted by the Medicaid agency in the form of additional waiver amendments.

Signature:	Jennifer Steenblock
	State Medicaid Director or Designee
<b>Submission Date:</b>	Nov 1, 2024
	Note: The Signature and Submission Date fields will be automatically completed when the State Medicaid Director submits the application.
Last Name:	Matney
First Name:	Elizabeth
Title:	Medicaid Director
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#### **Attachment #1: Transition Plan**

Check the box next to any of the following changes from the current approved waiver. Check all boxes that apply.

Replacing an approved waiver with this waiver.

Combining waivers.

Splitting one waiver into two waivers.

Eliminating a service.

Specify the transition plan for the waiver:

Adding or decreasing an individual cost limit pertaining to eligibility.

Adding or decreasing limits to a service or a set of services, as specified in Appendix C.

Reducing the unduplicated count of participants (Factor C).

Adding new, or decreasing, a limitation on the number of participants served at any point in time.

Making any changes that could result in some participants losing eligibility or being transferred to another waiver under 1915(c) or another Medicaid authority.

Making any changes that could result in reduced services to participants.

# **Additional Needed Information (Optional)**

Provide additional needed information for the waiver (optional):

Due to the character limitations in the application SP-c1, and SP-e1 are listed below.

SP-c1: Number and percent of CAHPS respondents who responded "YES" on the CAHPS survey to question 53 "In the last 3 months, did this {case manager} work with you when you asked for help with getting other changes to your services?".

Numerator: Number of CAHPS respondents who responded "YES" on the CAHPS survey to question 53 "In the last 3 months, did this {case manager} work with you when you asked for help with getting other changes to your services?".

Denominator: Total number of CAHPS respondents who were directed to question number 53 due to responding "YES" on the CAHPS survey to question 52 "In the last 3 months, did you ask this {case manager} for help in getting any changes to your services, such as more help from {personal assistance/behavioral health staff and/or homemakers if applicable}, or for help with getting places or finding a job?".

SP-e1: Number and percent of CAHPS respondents who responded with either "MOST" or "ALL" on the CAHPS survey to question 56 "In the last 3 months, did your service plan include . . . of the things that are important to you".

Numerator: Number of CAHPS respondents who responded with either "MOST" or "ALL" on the CAHPS survey to question 56 "In the last 3 months, did your service plan include . . . of the things that are important to you".

Denominator: Total number of CAHPS respondents who responded to the CAHPS survey to question 56 "In the last 3 months, did your service plan include . . . of the things that are important to you".