Community Based Organization Guidance





Table of Contents

Introduction	3
Points of Contact	3
Roles and Responsibilities	4
Iowa Department of Health & Human ServicesBehavioral Health ASOLocal Providers	4
Behavioral Health District Map State and District Plans	8
System Focus	
Crisis	13
Crisis Services	13
Glossary of Acronyms	15

Introduction

The vision for Iowa's Behavioral Health Service System is rooted in shared responsibility, transparency, and partnership across all levels of service from state leadership to Community Based Organizations. Through the collaborative efforts of Iowa Department of Health and Human Services (Iowa HHS), the Behavioral Health Administrative Services Organization (BH-ASO), and Iocal Community Based Organizations (CBOs), Iowa is creating a more integrated and person-centered behavioral health system.

This document provides operational guidance for local contractors implementing services under the redesigned behavioral health infrastructure. It is intended to clarify roles, define expectations, and align service delivery with the statewide vision outlined in the lowa Behavioral Health Service System Statewide Plan. Additional guidance in the form of program manuals will be provided dependent upon the needs of the contract.

The period from July 1, 2025, through June 30, 2026, serves as a transition year, during which Iowa HHS and the BH-ASO will work closely with contracted CBOs to ensure continued service delivery while also adopting new procedures, performance standards, and reporting mechanisms. This shift is designed to strengthen local systems, reduce administrative burden, and improve access and outcomes for Iowans.

Points of Contact

For questions, technical assistance, or guidance related to the implementation of behavioral health services, CBOs should use the following points of contact:

- Iowa Department of Health and Human Services (Iowa HHS): bhassistance@hhs.iowa.gov
- Behavioral Health Administrative Services Organization (BH-ASO) Iowa Primary Care Association:

bhasoproviderrelations@iowapca.org

Roles and Responsibilities

The BH-ASO functions as an instrumentality of the state and will serve each district as the responsible entity for behavioral health. This section outlines the roles and responsibilities of the lowa Department of Health and Human Services (Iowa HHS), the Behavioral Health Administrative Services Organization (BH-ASO) and Community Based Organizations (for the purpose of this document, CBOs will be referred to as Contractors or CBOs).

Iowa Department of Health & Human Services

lowa HHS is responsible for overseeing the alignment and implementation of lowa's Behavioral Health Service System. The Department's primary roles and responsibilities are as follows:

- Establish Service System Districts: Iowa HHS is responsible for dividing the state into behavioral health service system districts, ensuring appropriate coverage and access to services across all regions of Iowa.
- Develop Service System State Plan: Iowa HHS develops a comprehensive statewide behavioral health service system plan. This plan outlines the strategic framework for the delivery of behavioral health services, including prevention, early intervention, treatment, recovery, and crisis services.
- Administer Funding to BH-ASOs: lowa HHS budgets, allocates and administers funds to Behavioral Health Administrative Service Organizations (BH-ASOs), ensuring resources are appropriately distributed to support district-level services and initiatives.
- Develop Service Definitions, Standards, and Reporting Requirements: lowa HHS
 establishes clear service definitions, sets performance standards, and defines
 reporting requirements for community-based organizations. This ensures that services
 are delivered consistently and effectively, and that outcomes can be measured to
 monitor progress.
- Provide Training and Technical Assistance: Iowa HHS offers training and technical
 assistance to the BH-ASO and service providers to support the effective delivery of
 behavioral health services. This includes guidance on best practices, compliance with
 state and federal regulations, and navigating the behavioral health system.
- Execute Activities as Defined by the State Plan: lowa HHS oversees and coordinates all activities outlined in the state plan, ensuring that behavioral health services are delivered according to established goals and objectives.

Behavioral Health ASO

lowa HHS has designated the Iowa Primary Care Association (Iowa PCA) as the BH-ASO for each district in the state. In their role as BH-ASO, the Iowa PCA is responsible for:

• Ensuring Comprehensive Access to Behavioral Health Services: The BH-ASO is tasked with ensuring that all lowans, regardless of location or background, have access to comprehensive services for mental and behavioral health issues, including prevention, early intervention, treatment, recovery and crisis services. This includes

- services for mental health conditions, substance use disorders (e.g., alcohol, drugs), tobacco use, gambling and thoughts of suicide.
- Contracts with CBOs: The BH-ASO contracts with CBOs to establish a statewide behavioral health service network. These contracts outline the specific services to be provided, and this document provides guidance on the implementation of these contracts.
- Work with Local Leaders: The BH-ASO partners with local leaders in education, law
 enforcement, public health and other community organizations to ensure that
 behavioral health needs are met. This collaborative approach is key to addressing the
 complex and multifaceted nature of behavioral health and ensuring that services are
 integrated into broader community health systems.
- Provide System Navigation: The BH-ASO is responsible for establishing and
 maintaining system navigation services to help individuals and families access the
 appropriate behavioral health resources and services. This includes providing
 information, referrals, and support to help lowans navigate the behavioral health
 system.
- Community Engagement: The BH-ASO facilitates stakeholder engagement and feedback through activities such as town halls, advisory councils, and public comment periods. These opportunities help shape the development of local behavioral health strategies and ensure that community voices are heard and incorporated into decisionmaking.

Local Providers

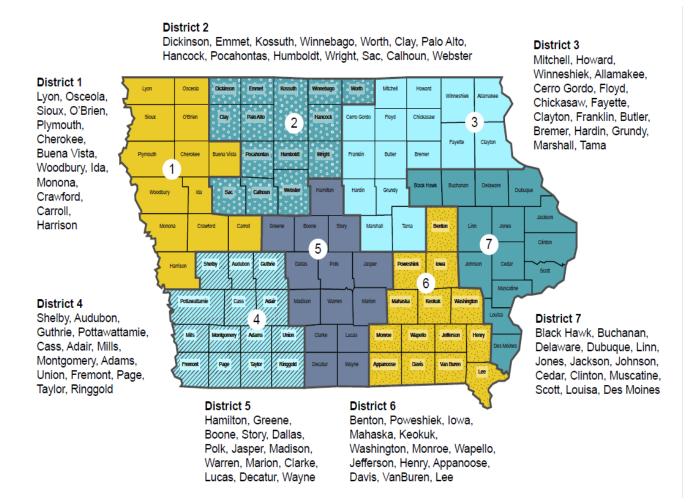
Local providers, also called Community Based Organizations, include community partnerships, substance use/problem gambling prevention and treatment providers, mental health service providers, recovery focused organizations such as peer operation organizations and other related entities play a crucial role in delivering behavioral health services across Iowa. Their roles and responsibilities include:

- Contracts with the BH-ASO: CBOs will establish contracts with the Iowa PCA statewide behavioral health service network. These contracts outline the specific services to be provided and the expectations for performance and outcomes and this document provides guidance on the implementation of these contracts.
- Provide Services According to the District Plan: CBOs are responsible for delivering services that align with the BH-ASO district plan. This plan includes the identification of service priorities, community needs, and the allocation of resources to ensure services are available in each county within the district.
- Report Progress and Outcome Data: CBOs must regularly report on the progress of their services and the outcomes achieved. This data is vital for tracking the effectiveness of the behavioral health system and ensuring that services are meeting the needs of lowans. Specific requirements are denoted within each contract section.
- Help lowans Access Services: CBOs help guide people to the right services, making sure they get the care they need.



- Engage in System Assessment and Feedback: CBOs play a significant role in the
 ongoing assessment of the behavioral health system. This includes responding to
 surveys, participating in public comment periods, and engaging in town hall meetings.
 Their feedback is critical in shaping future service plans and addressing challenges
 within the system.
- Provide Specialized Support: CBOs are often involved in providing specialized services, such as crisis intervention, warm hand-offs to other services, and coordinating care for individuals with complex needs. The system is designed to ensure there is no wrong door for lowans seeking help, and providers play a role in implementing this approach.
- Provide Prevention Services: Prevention is a critical element of Iowa's Behavioral Health Service System, and CBOs will work in coordination with the BH-ASO to ensure that prevention efforts are aligned with the district plan. This includes supporting community education, outreach and implementing evidence-based practices to reduce the onset of behavioral health disorders.
- **Crisis Services and Support:** CBOs play an active role in helping people in urgent need of care. They offer services that reduce distress during a behavioral health crisis and connect individuals to services that reduce the need for more intense care.
- Providing Care That Meets Individual Needs and Preferences: CBOs must ensure
 that services are appropriate for the populations they serve. This includes addressing
 barriers for communities and ensuring that services are accessible to lowans with
 various needs.
- Quality Improvement and Continuous Learning: CBOs must engage in continuous
 quality improvement processes, utilizing feedback from individuals served,
 performance data and training opportunities to enhance the quality of care provided.
 lowa HHS and the BH-ASO will support this ongoing improvement process through
 technical assistance and training initiatives.

Behavioral Health District Map



State and District Plans

lowa's Behavioral Health Service System is grounded in a unified lowa Behavioral Health Service System (BHSS) Statewide Plan that provides the strategic framework for service delivery across lowa. This framework is operationalized through District Plans, which ensure that strategies are tailored to meet the unique needs of local communities.

Iowa BHSS Statewide Plan

The Iowa BHSS Statewide Plan establishes the foundation for:

- Statewide priorities across the behavioral health continuum (prevention, early intervention, treatment, recovery, and crisis).
- Core strategies to achieve person-centered and data-informed service delivery.
- Defined performance measures and outcomes that support accountability and system improvement.
- Funding guidelines and expectations for service categories and populations of focus.

The Iowa BHSS Statewide Plan is the central document that informs contract development, service standards, and expectations for all system partners.

BHSS District Plans

Each District Plan, developed by the BH-ASO in coordination with stakeholders, translates the goals of the State Plan into localized action by:

- Identifying county-specific needs, service gaps, and priority populations.
- Defining target strategies and service types based on available resources.
- Establishing locally relevant partnerships and community initiatives.

District Plans provide flexibility to address local challenges while maintaining alignment with statewide goals. They ensure that each county's services are contextually appropriate and coordinated within the district network.

Accessing and Using BHSS Plans

All contracted CBO's must:

- Develop familiarity with the State Plan and District Plan through the BH-ASO.
- **Use the District Plan** to inform future program design, service delivery models, and community outreach efforts.
- **Demonstrate alignment** between their activities and the strategies or service priorities outlined in the District Plan.



• **Participate in updates**, feedback opportunities, and assessments that support refinement of District Plans over time.

By grounding their work in the BHSS State and District Plans, CBOs contribute to a more cohesive, transparent, and responsive behavioral health system for Iowans.

System Focus

lowa's Behavioral Health Service System is focused on creating a cohesive, responsive, and accountable service environment that supports lowans across the full continuum of need from prevention to crisis care to long-term recovery. The system is guided by four core principles:

1. Person-Centered

Services are designed to reflect the unique needs, identities, and experiences of individuals and families.

2. Locally Informed, State-Supported

While local CBOs bring deep knowledge of community strengths and needs, lowa HHS and the BH-ASO provide statewide coordination, data infrastructure, training, and funding mechanisms that support consistent, high-quality service delivery.

3. Cross-System Collaboration

Behavioral health intersects with education, law enforcement, housing, and public health

4. Data-Informed and Outcome-Focused

Programs must demonstrate effectiveness through measurable outcomes. Reporting and quality improvement processes are streamlined and standardized to reduce burden and increase transparency.

Together, these principles provide a foundation for aligning resources, reducing duplication, and ensuring all lowans can access the care they need, when they need it.

lowa is building a Behavioral Health Service System that leverages shared responsibility. This work will make significant changes in lowa's state and local system structures to bring existing work together. Iowa HHS worked collaboratively with partners all over the state to gather feedback, conduct assessments and develop the framework for the Behavioral Health Service System. In town halls and round table discussions, Iowa HHS listened and learned about what Iowans are experiencing in their hometowns, what they hoped would change, and what they hoped would remain in place as we built a new system together. In response, Iowa HHS developed a model for coordination and collaboration amongst Iowa HHS system partners within a service delivery system. The Iowa HHS Shared Responsibility Model defines three main system partners within a service system: Iowa HHS, district lead entities, and community based organizations (CBO). Each system partner plays a role in achieving shared system goals and improving health and social outcomes for Iowans. For the Behavioral Health Service System, district lead entities are known as Behavioral Health Administrative Services Organizations (BH-ASO).

The Iowa HHS Shared Responsibility emphasizes the importance and necessity in sharing responsibility amongst system partners and this approach will assist in bringing existing work together to form one system. We recognize that with all significant changes, growth is

required and sometimes growth is uncomfortable and challenging. Iowa HHS and Iowa PCA are committed to walking alongside system partners as we build Iowa's Behavioral Health Service System.

Contract Guidance for CBOs

This section outlines core expectations for all CBOs delivering behavioral health services as part of the statewide system. While detailed requirements will vary by service type, district plan, and population served, all CBOs must:

- Align with the Behavioral Health State Plan and District Priorities
 Services must reflect the goals, tactics, and service definitions identified in the Iowa
 Behavioral Health Service System Statewide Plan. District-specific priorities
 established by the BH-ASO must guide local implementation.
- Ensure Access and Reduce Barriers
 CBOs must ensure that services are appropriate for the populations they serve. This includes addressing barriers for communities and ensuring that services are accessible to Iowans with various needs.
- Implement Evidence-Based and Promising Practices
 CBOs are expected to utilize practices that are supported by research, recommended by the Substance Abuse and Mental Health Services Administration (SAMHSA) or lowa HHS, and adaptable to local needs. Training and technical assistance will be available through lowa HHS and the BH-ASO.
- Maintain Quality and Continuity of Care
 CBOs must engage in quality improvement activities, coordinate care across the
 continuum (including warm handoffs), and participate in system learning opportunities
 to improve service outcomes.
- Report Data Consistently and Transparently
 All services must adhere to the required reporting standards including using the standardized reporting formats (e.g., Quarterly Progress Reports, SNMIS). Data should be used internally by CBOs to support continuous improvement and quality improvement efforts should be communicated back with the BH-ASO, as requested.
- Engage in Ongoing Communication and Collaboration
 CBOs are expected to participate in regular check-ins, learning collaboratives, and
 training opportunities offered by the BH-ASO and/or lowa HHS. This ensures a twoway exchange of information and strengthens the collective system.
- Respond to Community Needs

 CBOs should maintain strong community partnerships, use local input to inform service adaptations, and communicate effectively with lowans seeking support.

Crisis

Crisis services are community services that help quickly reduce distress during a behavioral health crisis. These services prevent harm, provide aftercare, and connect individuals to follow-up support, aiming to stabilize them and reduce the need for intensive or restrictive care.

Crisis Services

In 2023, Iowa HHS engaged with Health Management Associates (HMA) to assess Iowa's current crisis services to provide a roadmap and recommendations for shifting Iowa's mental health crisis system to a statewide, coordinated behavioral health crisis system. HMA developed and submitted the Iransforming Iowa's Behavioral Health Crisis Continuum of Care System Assessment and Recommendations Report to Iowa HHS. The report includes recommendations for the entire crisis continuum including someone to talk to, someone to respond, and a safe place to go. Iowa HHS is reviewing the recommendations to determine next steps for aligning with the goals of the Iowa Behavioral Health Service System Statewide Plan.

The Iowa Behavioral Health Service System Statewide Plan outlines a vision for a coordinated and person-centered behavioral health system. Crisis services are services that help quickly reduce distress during a behavioral health crisis. These services prevent harm, provide aftercare, and connect individuals to follow-up support, aiming to stabilize them and reduce the need for intensive or restrictive care. This guidance applies to the following crisis services:

- Mobile response
- o Crisis evaluation
- Alcohol, drug and/or gambling services, crisis intervention (outpatient)
- Access center services
- 23-hour observation and holding
- Crisis stabilization, community-based
- o Crisis stabilization, residential

The crisis care strategies outlined in this guidance align with current crisis service requirements and moving towards Iowa's vision for a person-centered, comprehensive and integrated statewide behavioral health crisis system. Activities outlined support broader goals in the Behavioral Health State Plan, including:

- **Crisis Strategy 1:** Create and support a comprehensive and integrated, statewide behavioral health crisis system.
- Crisis Strategy 2: Increase access to behavioral health crisis services.
- **Crisis Strategy 4:** Help crisis providers increase their behavioral health knowledge and skills.



Required Activities

- 441—301.3(225A) Provider qualifications.
- 441—301.2(1)d. Behavioral health services Crisis services.
- 441— 302.2(1) Minimum access standards.

Compliance

- Must maintain accreditation through IAC 441-Chapter 24 Division II Crisis Response Services for the service(s) being provided.
- Access centers will maintain accreditation standards identified in IAC 441—Chapter 24, licensure for subacute services through 481—Chapter 71, and licensure for substance abuse treatment pursuant to Iowa Code chapter 125.
- Crisis services will be available 24 hours a day, 7 days a week, 365 days a year.
- Alcohol, drug and/or gambling services, crisis intervention providers will maintain accreditation standards in IAC 441-24.33 Twenty-four-hour crisis response.

Reporting

- Mobile Response providers must at a minimum report to Iowa PCA data collected previously by the MHDS regions using the embedded spreadsheets below in the Monitoring and Documentation section of this guidance.
- Crisis Stabilization, 23 Hour Observation, and Sub-Acute and 23-observation providers
 must at a minimum report to Iowa PCA data collected previously by the MHDS regions
 using the embedded spreadsheets below in the Monitoring and Documentation section
 of this guidance.
- Access Center provider must at a minimum report to Iowa PCA data collected previously by the MHDS regions using the embedded spreadsheets below in the Monitoring and Documentation section of this guidance.

Training

• Crisis response providers will ensure all staff are trained in behavioral health topics and in accordance with accreditation standards.

Monitoring and Documentation

- MCR Datasets
- Other Crisis Services Datasets
- AC Screening Intake Template



Glossary of Acronyms

Acronym	Full Term	Definition / Use
ASO	Administrative Services	Manages provider contracts on
	Organization	behalf of Iowa HHS.
ВН	Behavioral Health	Refers to services and
		programs addressing mental
		health (including problem
		gambling) and substance use.
CBO	Community Based	Umbrella term used to describe
	Organization	organizations providing
		behavioral health services in
		communities.
CCAR	Connecticut Community for	Organization offering peer
	Addiction Recovery	recovery coach training.
CMHC	Community Mental Health	Accredited provider of mental
	Center	health services in Iowa.
ESMI	Early Serious Mental Illness	Category of mental illness
		targeted through NAVIGATE.
FEP	Family Education Provider	A NAVIGATE team role.
FTE	Full-Time Equivalent	Measure of staffing levels.
FY	Fiscal Year	State fiscal year (July 1 – June
		30).
Iowa HHS	lowa Health and Human	State department overseeing
	Services	ASO, contracts and programs.
IAC	Iowa Administrative Code	Legal regulations governing
		services.
IPN	Integrated Provider Network	Legacy system for managing
		SUD/gambling
		prevention/treatment.
IRT	Individual Resiliency Trainer	A NAVIGATE team role.
MHBG	Mental Health Block Grant	Federal funding for mental
		health services.
MOUD	Medications for Opioid Use	Includes methadone,
	Disorder	buprenorphine, and naltrexone.
NAVIGATE	(Proper name)	An evidence-based program for
		ESMI.
PCA	Primary Care Association	Entity coordinating contract
		work (Iowa PCA).
PSS	Peer Support Specialist	Certified individual offering
		lived experience based
		support.

QPR	Quarterly Progress Report	Required reporting format for contractors.
RCC	Recovery Community Center	Peer-run center offering recovery support and services.
RSS	Recovery Support Services	Non-clinical services supporting individuals in recovery.
SAMHSA	Substance Abuse and Mental Health Services Administration	Federal agency overseeing block grants.
SBIRT	Screening, Brief Intervention, and Referral to Treatment	Clinical practice approach, unallowable under certain prevention funds.
SED	Serious Emotional Disturbance	Is a category of mental health conditions that severely impact daily life for individuals 17 and under
SEE	Supported Employment and Education	NAVIGATE team role.
SMI	Serious Mental Illness	is a category of mental health conditions that severely impact daily life for individuals 18 and over
SNMIS	Safety Net Management Information System	Claims payment system for BH safety net services.
SPF	Strategic Prevention Framework	SAMHSA's model for prevention planning and implementation.
SUBG / SUPTRS	Substance Use Prevention, Treatment, and Recovery Services Block Grant	Federal funding for SUD programs.
TA	Technical Assistance	Support provided to contractors by Iowa HHS or PCA.
TEDS	Treatment Episode Data Set	SAMHSA required data system for treatment providers.

