

Iowa Statewide Transition Plan Addendum

August 2025



On June 23, 2023, the state received approval of the Corrective Action Plan (CAP) that was submitted to Centers for Medicare & Medicaid Services (CMS) requesting additional time to implement specific provisions of the Home and Community Based Services (HCBS) Final Rule to bring the state into full compliance. As part of that CAP, the state was required to validate all residential settings; as well as non-residential settings. The newly revised Residential Assessment tool was implemented in January 2024 with the expectation that all case management entities would complete an assessment with all HCBS members by the end of April 2024. The results of these assessments have been validated and the data provided in the chart below for residential settings. Additionally, the chart below includes validation of the non-residential settings that was completed by the Quality Improvement Organization (QIO) HCBS.



| | Residential Service Settings | | | | | Non-Residential Service Settings | | | |
|--------------------------------------|---|--|----------------------------|---|---|--|--|--------------------------------------|--|
| Assessment Results | Supported Community Living Service Settings | Residential- Based Supported Community Living Service Settings | Home Based Habilitation | Assisted Living Service Settings | CDAC Agency Services provided in an RCF or ALF Setting | Adult Day Care Service Settings | Day Habilitation Service Settings | Prevocational Service Settings | Supported Employment Service Settings |
| Number of Settings Assessed | 2830 | 15 | 1129 | 190 | 195 | 30 | 219 | 55 | 117 |
| Complied Without Modifications | 2826 | 15 | 1122 | 167 | 171 | 11 | 85 | 12 | 70 |
| Complied With Modifications | 4 | 0 | 7 | 23 | 24 | 20 | 129 | 24 | 47 |
| Unwilling or Unable to Comply | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 19 | 0 |

- As of November 2024, Iowa had 99 organizations operating 303 active non-residential service settings where one or more of the
 following services were provided: adult day care, day habilitation, prevocational services, and group supported employment. Iowa had
 some prevocational service settings and day habilitation settings that were unable to or unwilling to comply with the HCBS settings
 requirements and either converted to other services in the setting or disenrolled.
- As of July 2025, Iowa has 311 organizations operating 3,665 provider-owned -or -controlled residential service settings where one or
 more of the following services were provided: supported community living, home-based habilitation, assisted living service, or CDAC
 Agency in an RCF or ALF. Iowa did not have any provider owned or controlled residential service settings that cited being unable or
 unwilling to comply as a reason for disenrolling or discontinuing services in the setting.