

**RESTRICTED DELIVERY CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Before the Iowa Department of Public Health

IN THE MATTER OF: UnityPoint Health – St. Luke’s Sioux City 2720 Stone Park Blvd Sioux City, IA 51104-3734 Facility Number: 000102	Case Number: T102-09-10 NOTICE OF PROPOSED ACTION CITATION AND WARNING
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Pursuant to the provisions of Iowa Code Sections 17A.18, 147A.23, and Iowa Administrative Code (I.A.C.) 641—134.3, the Iowa Department of Public Health is proposing to issue a **Citation and Warning** to the Trauma Care Facility identified above.

The department may cite and warn a Trauma Care Facility when it finds that the facility has not operated in compliance with Iowa Code section 147A.23 and 641 IAC Chapter 134 including:

147A.23 (2)(c) Upon verification and the issuance of a certificate of verification, a hospital or emergency care facility agrees to maintain a level of commitment and resources sufficient to meet responsibilities and standards as required by the trauma care criteria established by rule under the subchapter.

Failure of the trauma care facility to successfully meet criteria for the level of assigned trauma care facility categorization. 641 IAC 134.2(2) and 641 IAC 134.2(7)b

641 IAC 134.2(7) (j) Trauma care facilities shall be fully operational at their verified level upon the effective date specified on the certificate of verification. Trauma care facilities shall meet all requirements of Iowa Code section 147A.23 and these administrative rules.

641 IAC 134.2 (3) Adoption by reference.

a. ... “ Criteria specific to Level III trauma care facilities identified in the “Resources for Optimal Care of the Injured Patient 2014” (6th edition) published by the American College of Surgeons Committee on Trauma is incorporated and adopted by reference for Level III hospital and emergency care facility categorization criteria...

b. “ Resources for Optimal Care of the Injured Patient 2014” (6th edition) published by the American College of Surgeons Committee on Trauma is available through the Iowa Department of Public Health, Bureau of Emergency and Trauma Services (BETS), Lucas State Office Building, Des Moines, Iowa 50319-0075, or the BETS Web site (<http://idph.iowa.gov/BETS/Trauma>).

The following resulted in issuance of this proposed action:

On July 26, 2018 the facility submitted the Self-Assessment Categorization Application (SACA). An on-site verification was conducted by a Department Trauma Facility Verification team on September 19, 2018.

Upon review and comparison during the September 2018 visit several of the 2014 deficiencies and required resolutions remain unresolved. These remaining deficiencies are noted below:

Criteria (6 – 8) - Each member of the group of general surgeons must attend at least 50 percent of the multidisciplinary trauma peer review committee meetings.

Deficiency – Each member of the group of general surgeons did not attend at least 50 percent of trauma peer review committee meetings.

Resolution – Provide electronic documentation to the State of Iowa Trauma Coordinator which demonstrates each member of the group of general surgeons meeting the 50 percent attendance requirement to trauma peer review committee meetings 12 months from the date of the final report dated September 25, 2018.

Criteria (8 – 13) - Level III centers with any emergent neurosurgical cases must also have the participation of neurosurgery on the multidisciplinary trauma peer review committee.

Deficiency – Neurosurgery does not participate to the appropriate percentage of trauma peer review committee meetings.

Resolution – Provide electronic documentation to the State of Iowa Trauma Coordinator which demonstrates the participation of neurosurgery in the trauma peer review meetings 12 months from the date of the final report dated September 25, 2018.

Criteria (9 – 16) - The orthopedic liaison to the trauma PIPS program must attend a minimum of 50 percent of the multidisciplinary trauma peer review committee meetings.

Deficiency – The orthopedic liaison to the trauma PIPS program does not meet the attendance requirement to the trauma peer review committee meetings.

Resolution – Provide electronic documentation to the State of Iowa Trauma Coordinator which demonstrates the orthopedic liaison to the trauma peer review committee meets the attendance requirement of 50 percent 12 months from the date of the final report dated September 25, 2018.

Criteria (11 – 13) - The anesthesiology liaison to the trauma program must attend at least 50 percent of the multidisciplinary peer review meetings, with documentation by the trauma PIPS program.


Deficiency – The anesthesiology liaison to the trauma peer review meeting does not meet the 50 percent attendance requirement.

Resolution – Provide electronic documentation to the State of Iowa Trauma Coordinator which demonstrates the anesthesiology liaison to the trauma peer review committee meets the attendance requirement of 50 percent 12 months from the date of the final report dated September 25, 2018.

The facility is hereby **CITED** for failing to meet the above criteria of Level III trauma care facility categorization. The facility is **WARNED** that failing to successfully meet all Level III trauma criteria resolutions listed for each criteria by September 25, 2019 may result in further disciplinary action, including suspension or revocation of the Trauma Care Facility Designation.

You have the right to request a hearing concerning this notice of disciplinary action. A request for a hearing must be submitted in writing to the Department by certified mail, return receipt requested, within twenty (20) days of receipt of this Notice of Proposed Action. The written request must be submitted to the Iowa Department of Public Health, Bureau of Emergency and Trauma Services, Lucas State Office Building, 321 E 12th St, Des Moines, Iowa 50319. If the request is made within the twenty (20) day time limit, the proposed action is suspended pending the outcome of the hearing. Prior to or at the hearing, the Department may rescind the notice upon satisfaction that the reason for the action has been or will be removed.

If no request for a hearing is received within the twenty (20) day time period, the disciplinary action proposed herein shall become effective and shall be final agency action.



Rebecca Curtiss, Bureau Chief
Iowa Department of Public Health
Bureau of Emergency and Trauma Services

10/11/18

Date