

Appendix J: Cost Neutrality Demonstration

J-1: Composite Overview and Demonstration of Cost-Neutrality Formula

Composite Overview. Complete the fields in Cols. 3, 5 and 6 in the following table for each waiver year. The fields in Cols. 4, 7 and 8 are auto-calculated based on entries in Cols 3, 5, and 6. The fields in Col. 2 are auto-calculated using the Factor D data from the J-2-d Estimate of Factor D tables. Col. 2 fields will be populated ONLY when the Estimate of Factor D tables in J-2-d have been completed.

Level(s) of Care: Nursing Facility, ICF/IID

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Year	Factor D	Factor D'	Total: D+D'	Factor G	Factor G'	Total: G+G'	Difference (Col 7 less Column4)
1	11490.15	11031.42	22521.57	64406.46	3015.93	67422.39	44900.82
2	12003.46	11524.60	23528.06	67285.85	3150.76	70436.61	46908.55
3	12540.32	12039.82	24580.14	70293.96	3291.62	73585.58	49005.44
4	13100.17	12578.08	25678.25	73436.56	3438.78	76875.34	51197.09
5	13684.69	13140.40	26825.09	76719.65	3592.51	80312.16	53487.07

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (1 of 9)

a. Number Of Unduplicated Participants Served. Enter the total number of unduplicated participants from Item B-3-a who will be served each year that the waiver is in operation. When the waiver serves individuals under more than one level of care, specify the number of unduplicated participants for each level of care:

Table: J-2-a: Unduplicated Participants

Waiver Year	Total Unduplicated Number of Participants (from Item B-3-a)	Distribution of Unduplicated Participants by Level of Care (if applicable)	
		Level of Care:	Level of Care:
		Nursing Facility	ICF/IID
Year 1	3736	3574	162
Year 2	3736	3574	162
Year 3	3736	3574	162
Year 4	3736	3574	162
Year 5	3736	3574	162

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (2 of 9)

b. Average Length of Stay. Describe the basis of the estimate of the average length of stay on the waiver by participants in item J-2-a.

The average length of stay (ALOS) is expected to remain the same throughout the five years of the waiver. The ALOS days were based on historical data supporting the Adults with Disabilities waiver for the period from July 2023 – June 2024.

Unduplicated participants were trended in the current approved waiver based on historical participant levels. While unduplicated participants are based on approved maximum waiver caps, the total unduplicated number of participants remains even over the five years of the current renewal base. The number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

Limitations on the Number of Participants Served at any Point in Time remains constant each year based on historical growth, average monthly costs per recipient on the waiver and maximum waiver caps approved by CMS.

Both the unduplicated number of participants and the limitation on the number of participants are based on CMS guidance.

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (3 of 9)

c. Derivation of Estimates for Each Factor. Provide a narrative description for the derivation of the estimates of the following factors.

i. Factor D Derivation. The estimates of Factor D for each waiver year are located in Item J-2-d. The basis and methodology for these estimates is as follows:

Factor D Derivation. The estimates of Factor D for each waiver year are located in Item J-2-d. The basis and methodology for these estimates is as follows:

Factor D, (1915(c) HCBS Services), is based on historical fee-for-service and Iowa Health Link managed care encounter data from state fiscal year (SFY) 24, (July 1, 2023, to June 30, 2024). This data was limited to individuals whose age was 21+ years old and previously enrolled in the AIDS/HIV, Health and Disability, and Physical Disability 1915(c) HCBS waivers. As part of this data evaluation the number of unique users and member months enrolled in the above listed 1915(c) HCBS waivers were retained and used to establish comparable Factor G and G'. This is described in response to Factors G and G'.

Develop J-2-d for Waiver Year 1 - - (October 1, 2026, to June 30, 2027)

- Users: The Users for each 1915(c) service are based on historical utilization applied to the state's estimated users in J-2-a for Waiver Year 1.
- Average Units Per User: The average units per user for each service are based on evaluation of historical utilization applied to estimated users in J-2 for Waiver Year 1. New 1915(c) services in the Adults with Disabilities service array were estimated. These estimates were informed by evaluating existing 1915(c) services being consolidated or terminated as part of the Adults with Disabilities service package.
- Average Cost per Unit: The average unit cost reflected is based on reimbursement established by the state for each service outlined in Appendix J-2.

Projection to Develop J-2 for Waiver Years 2-5

Waiver Year 1 estimates were trended to Waiver Years 2 through 5 based on an average annual trend factor of 4.5%. Trends were developed on a statewide annualized basis, primarily using IA Health Link specific experience from SFY24 and emerging SFY25 through December 2024, and represent the annualized trend for the waiver Category of Service (COS) for the waiver Categories of Aid (COA).

ii. Factor D' Derivation. The estimates of Factor D' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor D' Derivation. The estimates of Factor D' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Estimates to Develop Factor D' for Waiver Year 1 (October 1, 2026, to June 30, 2027)

Factor D', (non-HCBS service expenditures), is based on historical fee-for-service and IA Health Link managed care encounter data from state fiscal year (SFY) 24, (July 1, 2023, to June 30, 2024). This data was limited to individuals aged 21+ years old and were previously enrolled in the AIDS/HIV (AIDS/HIV, Health and Disability (HD), and Physical Disability (PD) 1915(c) HCBS waivers.

The Factor D' service costs were developed using historical FFS and IA Health Link Capitated rates information. For FFS, these data were aggregated based on ages 21+ years old. Data from the IA Health Link program was evaluated for individuals whose ages were 21+ years old and compared to aggregated IA Health Link capitation rates for HCBS waiver populations to establish an age-based factor. The age-based factor was applied to the total projected SFY26 capitation rates and medical services component and trended to Waiver Year 1. The average annual trend factor, based on the historical Health Link specific experience, was 4.5%.

Projection to Develop J-2 for Waiver Years 2-5

Waiver Year 1 estimates were trended to Waiver Years 2 through 5 based on an average annual trend factor of 4.5%.

iii. Factor G Derivation. The estimates of Factor G for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor G Derivation. The estimates of Factor G for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Estimates to Develop Factor G for Waiver Year 1 (October 1, 2026, to June 30, 2027)

Factor G, (Institutional facility cost), is based on IA Health Link managed care encounter data from state fiscal year 24, (July 1, 2023, to June 30, 2024). This data was limited to individuals whose age was 21+ years old and were receiving services through institutions. The institutions utilized for Factor G include: Custodial Care Nursing Facility -- Skilled Nursing Facility, and Residential Care Facility (RCF).

The institutional costs for each facility type (e.g., nursing facility) were evaluated for individuals who were 21+ years old and compared to aggregated IA Health Link capitation rates for institutional populations to establish an age-based factor. This age factor was applied to the projected SFY26 medical component of the IA Health Link institutional rate cohorts to determine the projected SFY26 Factor G cost for the 21+ population.

The Adults with Disabilities waiver consolidates existing 1915(c) HCBS waivers. Factor G estimates incorporated the enrollment distribution from the 1915(c) population and their institutional equivalent(s). The institutional equivalents were aggregated to establish a per capita amount and then weighted on the distribution of individuals from the HCBS waiver to establish the Factor G for the Adults with Disabilities HCBS population. The institutional equivalents that correlate to the 1915(c) HCBS populations (AIDS/HIV, HD, and PD) are custodial care nursing facility, skilled nursing facility, and RCF.

The weighted average Factor G was trended using an annual trend factor of 4.5%. The average annual trend factor was based on the historical Health Link specific experience.

Projection to Develop Factor G for Waiver Years 2-5

Waiver Year 1 estimates were trended to Waiver Years 2 through 5 based on an average annual trend factor of 4.5%.

iv. Factor G' Derivation. The estimates of Factor G' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor G' Derivation. The estimates of Factor G' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Estimates to Develop Factor G' for Waiver Year 1 (October 1, 2026, to June 30, 2027)

Factor G' (non-institution service expenditures), is based on historical IA Health Link managed care encounter data for state fiscal year (SFY) 24 (July 1, 2023, to June 30, 2024). The institutions utilized for Factor G include: custodial care nursing facility, skilled nursing, -- and residential care facility (RCF).

The institution service costs for individuals for the 21+ population were aggregated and compared to the total cost (institution + state plan services) to establish an age factor. This age factor was applied to the projected SFY26 medical component of the IA Health Link institutional rate cohorts to establish the projected SFY26 Factor G' cost.

Since the Adults with Disabilities waiver consolidates existing 1915(c) HCBS waivers, Factor G' estimates used the enrollment distribution of the 1915(c) population and their institutional equivalent(s). The Factor G' equivalents that correlate to the remaining HCBS populations (AIDS/HIV, HD, and PD) are custodial care nursing facility, skilled nursing facility, and RCF. These Factor G' equivalents were aggregated to establish a per capita amount and then weighted on the distribution of individuals from the HCBS waiver to establish the Factor G' for the Adults with Disabilities HCBS population.

The weighted average Factor G' was trended using an annual trend factor of 4.5%. The average annual trend factor was based on the historical Health Link specific experience.

Projection to Develop Factor G' for Waiver Years 2-5

Waiver Year 1 estimates were trended to Waiver Years 2 through 5 based on an average annual trend factor of 4.5%.

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (4 of 9)

Component management for waiver services. If the service(s) below includes two or more discrete services that are reimbursed separately, or is a bundled service, each component of the service must be listed. Select “*manage components*” to add these components.

Waiver Services	
Adult Day Care	
Prevocational Services	
Respite	
Supported Employment	
Home Health Aide	
Nursing Care Services	
Specialized Medical Equipment	
Financial Management Services	
Independent Support Broker	
Individual Directed Goods and Services	
Assisted Living	
Assistive Devices	
Attendant Care	
Community Transition Services	
Companion	
Family Training	
Home and Vehicle Modifications	
Home Maintenance Support	

Waiver Services	
Home-Delivered Meals	
Interim Medical Monitoring and Treatment	
Nutritional Counseling	
Peer Mentoring	
Personal Emergency Response System or Portable Locator System	
Positive Behavioral Support and Consultation	
Skilled Attendant Care	
Transportation	

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (5 of 9)

d. Estimate of Factor D.

ii. Concurrent section 1915(b)/section 1915(c) waivers, or other authorities utilizing capitated arrangements (i.e., 1915(a), 1932(a), Section 1937). Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 1

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							474451.40
Adult Day Care - 15 Minutes		15 minutes	28	927.54	7.89	204912.14	
Adult Day Care In the family home - 15 Minutes		15 minutes	2	23.50	13.66	642.02	
Adult day care - half day		half day	43	4.74	45.86	9347.19	
Adult day care - full day		day	169	24.70	58.02	242192.89	
Adult day care - extended day		extended day	3	2.67	79.49	636.71	
Adult Day Care - 15 Minutes, FFS		15 minutes	2	472.00	7.89	7448.16	
Adult Day Care In the family home - 15 Minutes, FFS		15 minutes	2	1.00	13.66	27.32	
Adult day care - half day, FFS		half day	3	2.67	45.86	367.34	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult day care - full day, FFS		day	11	13.91	58.02	8877.64	
Adult day care - extended day, FFS		extended day	0	0.00	0.01	0.00	
Prevocational Services Total:							14478.49
Prevocational Services		hour	18	66.78	11.24	13510.93	
Prevocational Career Exploration		hour	2	5.50	43.00	473.00	
Prevocational Services, FFS		hour	2	22.00	11.24	494.56	
Prevocational Career Exploration, FFS		hour	0	0.00	0.01	0.00	
Respite Total:							3795116.82
Respite (Home Health agency, basic, individual)		15 minutes	1393	378.47	5.43	2862743.30	
Respite (Home Health agency, specialized)		15 minutes	152	244.35	13.77	511434.32	
Respite (Home care agency, basic, group)		15 minutes	176	280.06	4.35	214413.94	
Respite (hospital or NF)		15 minutes	6	90.67	11.02	5995.10	
Respite (resident camp overnight)		15 minutes	32	426.69	3.97	54206.70	
Respite (group day camp)		15 minutes	17	190.76	3.97	12874.39	
Respite (Home Health agency, basic, individual), FFS		15 minutes	54	355.72	5.43	104304.22	
Respite (Home Health agency, specialized), FFS		15 minutes	6	224.17	13.77	18520.93	
Respite (Home care agency, basic, group), FFS		15 minutes	8	227.88	4.35	7930.22	
Respite (hospital or NF), FFS		15 minutes	2	10.00	11.02	220.40	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite (resident camp overnight), FFS		15 minutes	2	252.00	3.97	2000.88	
Respite (group day camp), FFS		15 minutes	2	59.50	3.97	472.43	
Supported Employment Total:							867707.72
Supported employment (small group) - Tier 1		15 minutes	61	255.90	3.68	57444.43	
Supported employment (small group) - Tier 2		15 minutes	12	321.75	2.30	8880.30	
Supported Employment (long term job coaching) - Hourly		hour	8	116.00	26.85	24916.80	
Supported Employment (long term job coaching) - Tier 1		hour	28	1.96	87.72	4814.07	
Supported Employment (long term job coaching) - Tier 2		hour	97	3.02	468.69	137298.05	
Supported Employment (long term job coaching) - Tier 3		hour	66	2.97	936.08	183490.40	
Supported Employment (long term job coaching) - Tier 4		hour	35	2.03	1463.69	103995.17	
Supported Employment (long term job coaching) - Tier 5		hour	72	68.58	58.54	289056.47	
Supported Employment (individual employment)		hour	40	7.75	85.73	26576.30	
Supported employment (small group) - Tier 1, FFS		15 minutes	3	193.00	3.68	2130.72	
Supported employment (small group) -		15 minutes	2	71.50	2.30	328.90	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Tier 2, FFS							
Supported Employment (long term job coaching) - Hourly, FFS		hour	2	17.00	26.85	912.90	
Supported Employment (long term job coaching) - Tier 1, FFS		hour	2	1.00	87.72	175.44	
Supported Employment (long term job coaching) - Tier 2, FFS		hour	5	2.20	468.69	5155.59	
Supported Employment (long term job coaching) - Tier 3, FFS		hour	3	2.33	936.08	6543.20	
Supported Employment (long term job coaching) - Tier 4, FFS		hour	2	1.50	1463.69	4391.07	
Supported Employment (long term job coaching) - Tier 5, FFS		hour	3	60.67	58.54	10654.87	
Supported Employment (individual employment), FFS		hour	2	5.50	85.73	943.03	
Home Health Aide Total:							103074.11
Home Health Aide - Hour		hour	2	18.50	26.28	972.36	
Home Health Aide - Visit		visit	14	116.07	60.60	98473.79	
Home Health Aide - Hour, FFS		hour	2	1.00	26.28	52.56	
Home Health Aide - Visit, FFS		visit	2	29.50	60.60	3575.40	
Nursing Care Services Total:							3285341.92
Nursing (RN) - Hour		hour	2	16.00	67.71	2166.72	
Nursing (LPN) - Hour		hour	5	86.20	62.14	26782.34	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Nursing (RN) - Visit		visit	11	34.27	119.17	44923.51	
Nursing (LPN) - Visit		visit	124	206.94	120.69	3096972.99	
Nursing (RN) - Hour, FFS		hour	2	1.00	67.71	135.42	
Nursing (LPN) - Hour, FFS		hour	2	7.50	62.14	932.10	
Nursing (RN) - Visit, FFS		visit	2	6.50	119.17	1549.21	
Nursing (LPN) - Visit, FFS		visit	5	185.40	120.69	111879.63	
Specialized Medical Equipment Total:							2797464.24
Specialized Medical Equipment		item	6	63.00	7154.64	2704453.92	
Specialized Medical Equipment, FFS		item	2	6.50	7154.64	93010.32	
Financial Management Services Total:							292897.50
Financial Management Services		fee	369	10.12	75.00	280071.00	
Financial Management Services, FFS		fee	17	10.06	75.00	12826.50	
Independent Support Broker Total:							73116.00
Independent Support Broker		fee	92	10.14	75.00	69966.00	
Independent Support Broker, FFS		fee	4	10.50	75.00	3150.00	
Individual Directed Goods and Services Total:							2724927.43
CCO goods and services; non-standard items or services, therapies; memberships		service	369	154.51	41.63	2373500.73	
CCO goods and services; other savings -		service	2	2.50	48.89	244.45	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
ongoing savings							
CCO workman's comp		service	493	1.01	520.55	259197.46	
CCO goods and services; non-standard items or services, therapies; memberships, FFS		service	17	125.52	38.98	83177.08	
CCO goods and services; other savings - ongoing savings, FFS		service	0	0.00	0.01	0.00	
CCO workman's comp, FFS		service	18	0.94	520.55	8807.71	
Assisted Living Total:							768228.17
Assisted Living		service	521	50.96	27.90	740749.46	
Assisted Living, FFS		service	21	46.90	27.90	27478.71	
Assistive Devices Total:							24473.75
Assistive Devices		item	179	1.01	125.00	22598.75	
Assistive Devices, FFS		item	15	1.00	125.00	1875.00	
Attendant Care Total:							18489519.74
Attendant care, agency		15 minutes	3584	1096.73	4.54	17845288.65	
Attendant care, agency, FFS		15 minutes	152	933.56	4.54	644231.08	
Community Transition Services Total:							564870.00
Community Transition Services		person	358	1.01	1500.00	542370.00	
Community Transition Services, FFS		person	15	1.00	1500.00	22500.00	
Companion Total:							8356.26
Senior Companion		15 minutes	20	111.00	3.63	8058.60	
Senior						297.66	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Companion, FFS		15 minutes	2	41.00	3.63		
Family Training Total:							31663.81
Family counseling and training		15 minutes	58	50.48	10.43	30537.37	
Family counseling and training, FFS		15 minutes	3	36.00	10.43	1126.44	
Home and Vehicle Modifications Total:							922519.28
Environmental modifications and adaptive devices (Home Mod)		item	98	1.01	7154.64	708166.27	
Home and vehicle modification (vehicle only)		item	26	0.96	7154.64	178579.81	
Environmental modifications and adaptive devices (Home Mod), FFS		item	5	0.80	7154.64	28618.56	
Home and vehicle modification (vehicle only), FFS		item	2	0.50	7154.64	7154.64	
Home Maintenance Support Total:							632426.62
Home Maintenance Support		15 minutes	1359	76.87	5.84	610083.37	
Home Maintenance Support, FFS		15 minutes	54	70.85	5.84	22343.26	
Home-Delivered Meals Total:							3800153.59
Home Delivered Meals; morning		meal	645	49.43	9.11	290448.21	
Home Delivered Meals; supplemental		meal	310	40.01	5.72	70945.73	
Home Delivered Meals; noon		meal	3584	58.04	9.00	1872138.24	
Home Delivered Meals; evening		meal	2945	53.58	9.08	1432761.35	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home Delivered Meals; morning, FFS		meal	25	46.48	9.11	10585.82	
Home Delivered Meals; supplemental, FFS		meal	12	37.92	5.72	2602.83	
Home Delivered Meals; noon, FFS		meal	144	52.72	9.00	68325.12	
Home Delivered Meals; evening, FFS		meal	109	52.89	9.08	52346.29	
Interim Medical Monitoring and Treatment Total:							355845.02
IMMT (HH agency RN)		15 minutes	11	644.27	12.68	89862.78	
IMMT (HH agency LPN)		15 minutes	20	778.55	14.92	232319.32	
IMMT (HH agency home health aid)		15 minutes	2	678.50	12.38	16799.66	
IMMT (SCL)		15 minutes	3	239.33	6.07	4358.20	
IMMT (HH agency RN), FFS		15 minutes	2	128.50	12.68	3258.76	
IMMT (HH agency LPN), FFS		15 minutes	2	283.00	14.92	8444.72	
IMMT (HH agency home health aid), FFS		15 minutes	2	26.00	12.38	643.76	
IMMT (SCL), FFS		15 minutes	2	13.00	6.07	157.82	
Nutritional Counseling Total:							341012.64
Nutritional Counseling		15 minutes	642	49.38	10.32	327164.23	
Nutritional Counseling, FFS		15 minutes	27	49.70	10.32	13848.41	
Peer Mentoring Total:							37962.62
Peer Mentoring		15 minutes	59	49.39	12.50	36425.12	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Peer Mentoring, FFS		15 minutes	2	61.50	12.50	1537.50	
Personal Emergency Response System or Portable Locator System Total:							780424.17
Personal emergency response/locator (initial install fee)		installation	93	1.00	51.82	4819.26	
Personal emergency response/locator (monthly fee)		month	3584	5.10	40.94	748317.70	
Personal emergency response/locator (initial install fee), FFS		installation	3	1.00	51.82	155.46	
Personal emergency response/locator (monthly fee), FFS		month	152	4.36	40.94	27131.76	
Positive Behavioral Support and Consultation Total:							410491.95
Positive Behavioral Support		15 minutes	358	48.59	22.64	393827.78	
Positive Behavioral Support, FFS		15 minutes	15	49.07	22.64	16664.17	
Skilled Attendant Care Total:							450214.92
Attendant Care, agency		15 minutes	204	504.75	4.22	434529.18	
Attendant Care, agency, FFS		15 minutes	9	413.00	4.22	15685.74	
Transportation Total:							880460.60
Transportation; non-emergent wheelchair van; individual;		trip	48	17.73	54.83	46662.52	
Transportation; individual (per mile)		mile	1709	56.47	3.11	300137.49	
Transportation; one way;						501196.80	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
individual		1-way trip	480	32.63	32.00		
Transportation; one way; group		1-way trip	2	21.50	25.60	1100.80	
Transportation; non-emergent wheelchair van; individual; per trip, FFS		trip	5	6.20	54.83	1699.73	
Transportation; individual (per mile), FFS		mile	67	53.36	3.11	11118.62	
Transportation; one way; individual, FFS		1-way trip	21	27.52	32.00	18493.44	
Transportation; one way; group, FFS		1-way trip	2	1.00	25.60	51.20	
GRAND TOTAL: 42927198.78 Total: Services included in capitation: 41417826.54 Total: Services not included in capitation: 1509372.24 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 11490.15 Services included in capitation: 11086.14 Services not included in capitation: 404.01 Average Length of Stay on the Waiver: 273							

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (6 of 9)

d. Estimate of Factor D.

ii. Concurrent section 1915(b)/section 1915(c) waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 2

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							495577.94
Adult Day Care - 15 Minutes		15 minutes	28	969.00	7.89	214071.48	
Adult Day Care In the family home - 15		15 minutes	2	24.50	13.66	669.34	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Minutes							
Adult day care - half day		half day	43	4.95	45.86	9761.30	
Adult day care - full day		day	169	25.80	58.02	252978.80	
Adult day care - extended day		extended day	3	2.67	79.49	636.71	
Adult Day Care - 15 Minutes, FFS		15 minutes	2	493.00	7.89	7779.54	
Adult Day Care In the family home - 15 Minutes, FFS		15 minutes	2	1.00	13.66	27.32	
Adult day care - half day, FFS		half day	3	2.67	45.86	367.34	
Adult day care - full day, FFS		day	11	14.55	58.02	9286.10	
Adult day care - extended day, FFS		extended day	0	0.00	0.01	0.00	
Prevocational Services Total:							15107.93
Prevocational Services		hour	18	69.78	11.24	14117.89	
Prevocational Career Exploration		hour	2	5.50	43.00	473.00	
Prevocational Services, FFS		hour	2	23.00	11.24	517.04	
Prevocational Career Exploration, FFS		hour	0	0.00	0.01	0.00	
Respite Total:							3964775.74
Respite (Home Health agency, basic, individual)		15 minutes	1393	395.39	5.43	2990726.01	
Respite (Home Health agency, specialized)		15 minutes	152	255.27	13.77	534290.32	
Respite (Home care agency, basic, group)		15 minutes	176	292.59	4.35	224006.90	
Respite (hospital or NF)		15 minutes	6	94.67	11.02	6259.58	
Respite (resident camp overnight)		15 minutes	32	445.75	3.97	56628.08	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite (group day camp)		15 minutes	17	199.29	3.97	13450.08	
Respite (Home Health agency, basic, individual), FFS		15 minutes	54	371.63	5.43	108969.35	
Respite (Home Health agency, specialized), FFS		15 minutes	6	234.17	13.77	19347.13	
Respite (Home care agency, basic, group), FFS		15 minutes	8	238.00	4.35	8282.40	
Respite (hospital or NF), FFS		15 minutes	2	10.50	11.02	231.42	
Respite (resident camp overnight), FFS		15 minutes	2	263.50	3.97	2092.19	
Respite (group day camp), FFS		15 minutes	2	62.00	3.97	492.28	
Supported Employment Total:							905400.34
Supported employment (small group) - Tier 1		15 minutes	61	267.34	3.68	60012.48	
Supported employment (small group) - Tier 2		15 minutes	12	336.17	2.30	9278.29	
Supported Employment (long term job coaching) - Hourly		hour	8	121.13	26.85	26018.72	
Supported Employment (long term job coaching) - Tier 1		hour	28	2.04	87.72	5010.57	
Supported Employment (long term job coaching) - Tier 2		hour	97	3.15	468.69	143208.23	
Supported Employment (long term job coaching) - Tier 3		hour	66	3.11	936.08	192139.78	
Supported Employment						108093.51	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
(long term job coaching) - Tier 4		hour	35	2.11	1463.69		
Supported Employment (long term job coaching) - Tier 5		hour	72	71.65	58.54	301996.15	
Supported Employment (individual employment)		hour	40	8.10	85.73	27776.52	
Supported employment (small group) - Tier 1, FFS		15 minutes	3	201.67	3.68	2226.44	
Supported employment (small group) - Tier 2, FFS		15 minutes	2	74.50	2.30	342.70	
Supported Employment (long term job coaching) - Hourly, FFS		hour	2	18.00	26.85	966.60	
Supported Employment (long term job coaching) - Tier 1, FFS		hour	2	1.00	87.72	175.44	
Supported Employment (long term job coaching) - Tier 2, FFS		hour	5	2.20	468.69	5155.59	
Supported Employment (long term job coaching) - Tier 3, FFS		hour	3	2.33	936.08	6543.20	
Supported Employment (long term job coaching) - Tier 4, FFS		hour	2	1.50	1463.69	4391.07	
Supported Employment (long term job coaching) - Tier 5, FFS		hour	3	63.33	58.54	11122.01	
Supported Employment (individual employment), FFS		hour	2	5.50	85.73	943.03	
Home Health Aide Total:							107737.12
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home Health Aide - Hour		hour	2	19.50	26.28	1024.92	
Home Health Aide - Visit		visit	14	121.29	60.60	102902.44	
Home Health Aide - Hour, FFS		hour	2	1.00	26.28	52.56	
Home Health Aide - Visit, FFS		visit	2	31.00	60.60	3757.20	
Nursing Care Services Total:							3432183.17
Nursing (RN) - Hour		hour	2	16.50	67.71	2234.43	
Nursing (LPN) - Hour		hour	5	90.00	62.14	27963.00	
Nursing (RN) - Visit		visit	11	35.82	119.17	46955.36	
Nursing (LPN) - Visit		visit	124	216.19	120.69	3235404.42	
Nursing (RN) - Hour, FFS		hour	2	1.00	67.71	135.42	
Nursing (LPN) - Hour, FFS		hour	2	8.00	62.14	994.24	
Nursing (RN) - Visit, FFS		visit	2	7.00	119.17	1668.38	
Nursing (LPN) - Visit, FFS		visit	5	193.60	120.69	116827.92	
Specialized Medical Equipment Total:							2926104.67
Specialized Medical Equipment		item	6	65.83	7154.64	2825939.71	
Specialized Medical Equipment, FFS		item	2	7.00	7154.64	100164.96	
Financial Management Services Total:							305950.50
Financial Management Services		fee	369	10.57	75.00	292524.75	
Financial Management Services, FFS		fee	17	10.53	75.00	13425.75	
Independent Support Broker Total:							76440.00
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Independent Support Broker		fee	92	10.60	75.00	73140.00	
Independent Support Broker, FFS		fee	4	11.00	75.00	3300.00	
Individual Directed Goods and Services Total:							2845466.54
CCO goods and services; non-standard items or services, therapies; memberships		service	369	161.41	41.63	2479494.87	
CCO goods and services; other savings - ongoing savings		service	2	2.50	48.89	244.45	
CCO workman's comp		service	493	1.05	520.55	269462.71	
CCO goods and services; non-standard items or services, therapies; memberships, FFS		service	17	131.13	38.98	86894.61	
CCO goods and services; other savings - ongoing savings, FFS		service	0	0.00	0.01	0.00	
CCO workman's comp, FFS		service	18	1.00	520.55	9369.90	
Assisted Living Total:							802600.42
Assisted Living		service	521	53.24	27.90	773891.32	
Assisted Living, FFS		service	21	49.00	27.90	28709.10	
Assistive Devices Total:							25723.75
Assistive Devices		item	179	1.06	125.00	23717.50	
Assistive Devices, FFS		item	15	1.07	125.00	2006.25	
Attendant Care Total:							19316108.46
Attendant care, agency		15 minutes	3584	1145.76	4.54	18643073.43	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Attendant care, agency, FFS		15 minutes	152	975.30	4.54	673035.02	
Community Transition Services Total:							593295.00
Community Transition Services		person	358	1.06	1500.00	569220.00	
Community Transition Services, FFS		person	15	1.07	1500.00	24075.00	
Companion Total:							8730.15
Senior Companion		15 minutes	20	115.95	3.63	8417.97	
Senior Companion, FFS		15 minutes	2	43.00	3.63	312.18	
Family Training Total:							33083.23
Family counseling and training		15 minutes	58	52.74	10.43	31904.54	
Family counseling and training, FFS		15 minutes	3	37.67	10.43	1178.69	
Home and Vehicle Modifications Total:							958006.30
Environmental modifications and adaptive devices (Home Mod)		item	98	1.05	7154.64	736212.46	
Home and vehicle modification (vehicle only)		item	26	1.00	7154.64	186020.64	
Environmental modifications and adaptive devices (Home Mod), FFS		item	5	0.80	7154.64	28618.56	
Home and vehicle modification (vehicle only), FFS		item	2	0.50	7154.64	7154.64	
Home Maintenance Support Total:							660728.08
Home Maintenance Support		15 minutes	1359	80.31	5.84	637385.13	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home Maintenance Support, FFS		15 minutes	54	74.02	5.84	23342.95	
Home-Delivered Meals Total:							3969727.71
Home Delivered Meals; morning		meal	645	51.64	9.11	303434.06	
Home Delivered Meals; supplemental		meal	310	41.79	5.72	74102.03	
Home Delivered Meals; noon		meal	3584	60.63	9.00	1955681.28	
Home Delivered Meals; evening		meal	2945	55.97	9.08	1496671.38	
Home Delivered Meals; morning, FFS		meal	25	48.56	9.11	11059.54	
Home Delivered Meals; supplemental, FFS		meal	12	39.58	5.72	2716.77	
Home Delivered Meals; noon, FFS		meal	144	55.07	9.00	71370.72	
Home Delivered Meals; evening, FFS		meal	109	55.26	9.08	54691.93	
Interim Medical Monitoring and Treatment Total:							371741.94
IMMT (HH agency RN)		15 minutes	11	673.09	12.68	93882.59	
IMMT (HH agency LPN)		15 minutes	20	813.35	14.92	242703.64	
IMMT (HH agency home health aid)		15 minutes	2	709.00	12.38	17554.84	
IMMT (SCL)		15 minutes	3	250.00	6.07	4552.50	
IMMT (HH agency RN), FFS		15 minutes	2	134.00	12.68	3398.24	
IMMT (HH agency LPN), FFS		15 minutes	2	295.50	14.92	8817.72	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
IMMT (HH agency home health aid), FFS		15 minutes	2	27.00	12.38	668.52	
IMMT (SCL), FFS		15 minutes	2	13.50	6.07	163.89	
Nutritional Counseling Total:							356276.22
Nutritional Counseling		15 minutes	642	51.59	10.32	341806.45	
Nutritional Counseling, FFS		15 minutes	27	51.93	10.32	14469.78	
Peer Mentoring Total:							39647.62
Peer Mentoring		15 minutes	59	51.59	12.50	38047.62	
Peer Mentoring, FFS		15 minutes	2	64.00	12.50	1600.00	
Personal Emergency Response System or Portable Locator System Total:							815546.95
Personal emergency response/locator (initial install fee)		installation	93	1.04	51.82	5012.03	
Personal emergency response/locator (monthly fee)		month	3584	5.33	40.94	782065.36	
Personal emergency response/locator (initial install fee), FFS		installation	3	1.00	51.82	155.46	
Personal emergency response/locator (monthly fee), FFS		month	152	4.55	40.94	28314.10	
Positive Behavioral Support and Consultation Total:							428827.18
Positive Behavioral Support		15 minutes	358	50.76	22.64	411415.89	
Positive Behavioral Support, FFS		15 minutes	15	51.27	22.64	17411.29	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Skilled Attendant Care Total:							470336.72
Attendant Care, agency		15 minutes	204	527.31	4.22	453950.63	
Attendant Care, agency, FFS		15 minutes	9	431.44	4.22	16386.09	
Transportation Total:							919796.44
Transportation; non-emergent wheelchair van; individual;		trip	48	18.52	54.83	48741.68	
Transportation; individual (per mile)		mile	1709	58.99	3.11	313531.26	
Transportation; one way; individual		1-way trip	480	34.09	32.00	523622.40	
Transportation; one way; group		1-way trip	2	22.50	25.60	1152.00	
Transportation; non-emergent wheelchair van; individual; per trip, FFS		trip	5	6.40	54.83	1754.56	
Transportation; individual (per mile), FFS		mile	67	55.75	3.11	11616.63	
Transportation; one way; individual, FFS		1-way trip	21	28.76	32.00	19326.72	
Transportation; one way; group, FFS		1-way trip	2	1.00	25.60	51.20	
GRAND TOTAL: 44844920.11 Total: Services included in capitation: 43266665.44 Total: Services not included in capitation: 1578254.67 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12003.46 Services included in capitation: 11581.01 Services not included in capitation: 422.45 Average Length of Stay on the Waiver: 366							

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (7 of 9)

d. Estimate of Factor D.

ii. Concurrent section 1915(b)/section 1915(c) waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 3

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							517772.27
Adult Day Care - 15 Minutes		15 minutes	28	1012.32	7.89	223641.73	
Adult Day Care In the family home - 15 Minutes		15 minutes	2	25.50	13.66	696.66	
Adult day care - half day		half day	43	5.19	45.86	10234.58	
Adult day care - full day		day	169	26.96	58.02	264353.04	
Adult day care - extended day		extended day	3	2.67	79.49	636.71	
Adult Day Care - 15 Minutes, FFS		15 minutes	2	515.00	7.89	8126.70	
Adult Day Care In the family home - 15 Minutes, FFS		15 minutes	2	1.00	13.66	27.32	
Adult day care - half day, FFS		half day	3	2.67	45.86	367.34	
Adult day care - full day, FFS		day	11	15.18	58.02	9688.18	
Adult day care - extended day, FFS		extended day	0	0.00	0.01	0.00	
Prevocational Services Total:							15759.62
Prevocational Services		hour	18	72.89	11.24	14747.10	
Prevocational Career Exploration		hour	2	5.50	43.00	473.00	
Prevocational Services, FFS		hour	2	24.00	11.24	539.52	
Prevocational Career Exploration, FFS		hour	0	0.00	0.01	0.00	
Respite Total:							4142041.22
Respite (Home Health agency, basic, individual)		15 minutes	1393	413.07	5.43	3124457.35	
Respite (Home Health agency, specialized)		15 minutes	152	266.68	13.77	558171.91	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite (Home care agency, basic, group)		15 minutes	176	305.66	4.35	234013.30	
Respite (hospital or NF)		15 minutes	6	98.83	11.02	6534.64	
Respite (resident camp overnight)		15 minutes	32	465.69	3.97	59161.26	
Respite (group day camp)		15 minutes	17	208.18	3.97	14050.07	
Respite (Home Health agency, basic, individual), FFS		15 minutes	54	388.24	5.43	113839.73	
Respite (Home Health agency, specialized), FFS		15 minutes	6	244.67	13.77	20214.64	
Respite (Home care agency, basic, group), FFS		15 minutes	8	248.63	4.35	8652.32	
Respite (hospital or NF), FFS		15 minutes	2	11.00	11.02	242.44	
Respite (resident camp overnight), FFS		15 minutes	2	275.50	3.97	2187.47	
Respite (group day camp), FFS		15 minutes	2	65.00	3.97	516.10	
Supported Employment Total:							944727.89
Supported employment (small group) - Tier 1		15 minutes	61	279.30	3.68	62697.26	
Supported employment (small group) - Tier 2		15 minutes	12	351.17	2.30	9692.29	
Supported Employment (long term job coaching) - Hourly		hour	8	126.50	26.85	27172.20	
Supported Employment (long term job coaching) - Tier 1		hour	28	2.14	87.72	5256.18	
Supported Employment (long term job)		hour	97	3.30	468.69	150027.67	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
coaching) - Tier 2							
Supported Employment (long term job coaching) - Tier 3		hour	66	3.24	936.08	200171.35	
Supported Employment (long term job coaching) - Tier 4		hour	35	2.20	1463.69	112704.13	
Supported Employment (long term job coaching) - Tier 5		hour	72	74.86	58.54	315525.92	
Supported Employment (individual employment)		hour	40	8.45	85.73	28976.74	
Supported employment (small group) - Tier 1, FFS		15 minutes	3	210.67	3.68	2325.80	
Supported employment (small group) - Tier 2, FFS		15 minutes	2	78.00	2.30	358.80	
Supported Employment (long term job coaching) - Hourly, FFS		hour	2	19.00	26.85	1020.30	
Supported Employment (long term job coaching) - Tier 1, FFS		hour	2	1.00	87.72	175.44	
Supported Employment (long term job coaching) - Tier 2, FFS		hour	5	2.20	468.69	5155.59	
Supported Employment (long term job coaching) - Tier 3, FFS		hour	3	2.33	936.08	6543.20	
Supported Employment (long term job coaching) - Tier 4, FFS		hour	2	1.50	1463.69	4391.07	
Supported Employment (long term job		hour	3	66.00	58.54	11590.92	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
coaching) - Tier 5, FFS							
Supported Employment (individual employment), FFS		hour	2	5.50	85.73	943.03	
Home Health Aide Total:							112569.80
Home Health Aide - Hour		hour	2	20.50	26.28	1077.48	
Home Health Aide - Visit		visit	14	126.71	60.60	107500.76	
Home Health Aide - Hour, FFS		hour	2	1.00	26.28	52.56	
Home Health Aide - Visit, FFS		visit	2	32.50	60.60	3939.00	
Nursing Care Services Total:							3585568.69
Nursing (RN) - Hour		hour	2	17.00	67.71	2302.14	
Nursing (LPN) - Hour		hour	5	94.00	62.14	29205.80	
Nursing (RN) - Visit		visit	11	37.45	119.17	49092.08	
Nursing (LPN) - Visit		visit	124	225.85	120.69	3379971.73	
Nursing (RN) - Hour, FFS		hour	2	1.00	67.71	135.42	
Nursing (LPN) - Hour, FFS		hour	2	8.50	62.14	1056.38	
Nursing (RN) - Visit, FFS		visit	2	7.50	119.17	1787.55	
Nursing (LPN) - Visit, FFS		visit	5	202.20	120.69	122017.59	
Specialized Medical Equipment Total:							3062042.83
Specialized Medical Equipment		item	6	68.83	7154.64	2954723.23	
Specialized Medical Equipment, FFS		item	2	7.50	7154.64	107319.60	
Financial Management Services Total:							319557.00
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Financial Management Services		service	369	11.04	75.00	305532.00	
Financial Management Services, FFS		service	17	11.00	75.00	14025.00	
Independent Support Broker Total:							79902.00
Independent Support Broker		hour	92	11.08	75.00	76452.00	
Independent Support Broker, FFS		hour	4	11.50	75.00	3450.00	
Individual Directed Goods and Services Total:							2973659.92
CCO goods and services; non-standard items or services, therapies; memberships		hour	369	168.63	41.63	2590404.69	
CCO goods and services; other savings - ongoing savings		hour	2	2.50	48.89	244.45	
CCO workman's comp		service	493	1.10	520.55	282294.26	
CCO goods and services; non-standard items or services, therapies; memberships, FFS		service	17	137.00	38.98	90784.42	
CCO goods and services; other savings - ongoing savings, FFS		service	0	0.00	0.01	0.00	
CCO workman's comp, FFS		service	18	1.06	520.55	9932.09	
Assisted Living Total:							838478.88
Assisted Living		service	521	55.62	27.90	808486.76	
Assisted Living, FFS		service	21	51.19	27.90	29992.22	
Assistive Devices Total:							26731.25
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Assistive Devices		item	179	1.10	125.00	24612.50	
Assistive Devices, FFS		item	15	1.13	125.00	2118.75	
Attendant Care Total:							20179615.00
Attendant care, agency		15 minutes	3584	1196.98	4.54	19476492.49	
Attendant care, agency, FFS		15 minutes	152	1018.90	4.54	703122.51	
Community Transition Services Total:							616125.00
Community Transition Services		person	358	1.10	1500.00	590700.00	
Community Transition Services, FFS		person	15	1.13	1500.00	25425.00	
Companion Total:							9122.19
Senior Companion		15 minutes	20	121.15	3.63	8795.49	
Senior Companion, FFS		15 minutes	2	45.00	3.63	326.70	
Family Training Total:							34562.83
Family counseling and training		15 minutes	58	55.10	10.43	33332.19	
Family counseling and training, FFS		15 minutes	3	39.33	10.43	1230.64	
Home and Vehicle Modifications Total:							1000504.86
Environmental modifications and adaptive devices (Home Mod)		item	98	1.10	7154.64	771270.19	
Home and vehicle modification (vehicle only)		item	26	1.04	7154.64	193461.47	
Environmental modifications and adaptive devices (Home Mod), FFS		item	5	0.80	7154.64	28618.56	
Home and vehicle						7154.64	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
modification (vehicle only), FFS		item	2	0.50	7154.64		
Home Maintenance Support Total:							690264.17
Home Maintenance Support		15 minutes	1359	83.90	5.84	665877.38	
Home Maintenance Support, FFS		15 minutes	54	77.33	5.84	24386.79	
Home-Delivered Meals Total:							4147117.23
Home Delivered Meals; morning		meal	645	53.95	9.11	317007.50	
Home Delivered Meals; supplemental		meal	310	43.66	5.72	77417.91	
Home Delivered Meals; noon		meal	3584	63.34	9.00	2043095.04	
Home Delivered Meals; evening		meal	2945	58.47	9.08	1563522.88	
Home Delivered Meals; morning, FFS		meal	25	50.72	9.11	11551.48	
Home Delivered Meals; supplemental, FFS		meal	12	41.33	5.72	2836.89	
Home Delivered Meals; noon, FFS		meal	144	57.53	9.00	74558.88	
Home Delivered Meals; evening, FFS		meal	109	57.72	9.08	57126.64	
Interim Medical Monitoring and Treatment Total:							388342.91
IMMT (HH agency RN)		15 minutes	11	703.18	12.68	98079.55	
IMMT (HH agency LPN)		15 minutes	20	849.70	14.92	253550.48	
IMMT (HH agency home health aid)		15 minutes	2	740.50	12.38	18334.78	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
IMMT (SCL)		15 minutes	3	261.33	6.07	4758.82	
IMMT (HH agency RN), FFS		15 minutes	2	140.00	12.68	3550.40	
IMMT (HH agency LPN), FFS		15 minutes	2	308.50	14.92	9205.64	
IMMT (HH agency home health aid), FFS		15 minutes	2	28.00	12.38	693.28	
IMMT (SCL), FFS		15 minutes	2	14.00	6.07	169.96	
Nutritional Counseling Total:							372230.22
Nutritional Counseling		15 minutes	642	53.90	10.32	357111.22	
Nutritional Counseling, FFS		15 minutes	27	54.26	10.32	15119.01	
Peer Mentoring Total:							41426.25
Peer Mentoring		15 minutes	59	53.90	12.50	39751.25	
Peer Mentoring, FFS		15 minutes	2	67.00	12.50	1675.00	
Personal Emergency Response System or Portable Locator System Total:							852309.67
Personal emergency response/locator (initial install fee)		installation	93	1.09	51.82	5252.99	
Personal emergency response/locator (monthly fee)		month	3584	5.57	40.94	817280.31	
Personal emergency response/locator (initial install fee), FFS		installation	3	1.00	51.82	155.46	
Personal emergency response/locator (monthly fee), FFS		month	152	4.76	40.94	29620.91	
Positive Behavioral							447993.30
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Support and Consultation Total:							
Positive Behavioral Support		15 minutes	358	53.03	22.64	429814.51	
Positive Behavioral Support, FFS		15 minutes	15	53.53	22.64	18178.79	
Skilled Attendant Care Total:							491362.20
Attendant Care, agency		15 minutes	204	550.88	4.22	474241.57	
Attendant Care, agency, FFS		15 minutes	9	450.78	4.22	17120.62	
Transportation Total:							960851.40
Transportation; non-emergent wheelchair van; individual;		trip	48	19.35	54.83	50926.10	
Transportation; individual (per mile)		mile	1709	61.63	3.11	327562.83	
Transportation; one way; individual		1-way trip	480	35.61	32.00	546969.60	
Transportation; one way; group		1-way trip	2	23.50	25.60	1203.20	
Transportation; non-emergent wheelchair van; individual; per trip, FFS		trip	5	6.60	54.83	1809.39	
Transportation; individual (per mile), FFS		mile	67	58.24	3.11	12135.47	
Transportation; one way; individual, FFS		1-way trip	21	30.05	32.00	20193.60	
Transportation; one way; group, FFS		1-way trip	2	1.00	25.60	51.20	
GRAND TOTAL: 46850638.69 Total: Services included in capitation: 45201104.75 Total: Services not included in capitation: 1649533.94 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 12540.32 Services included in capitation: 12098.80 Services not included in capitation: 441.52 Average Length of Stay on the Waiver: 365							

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (8 of 9)

d. Estimate of Factor D.

ii. Concurrent section 1915(b)/section 1915(c) waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the

capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 4

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							540885.68
Adult Day Care - 15 Minutes		15 minutes	28	1057.57	7.89	233638.36	
Adult Day Care In the family home - 15 Minutes		15 minutes	2	26.50	13.66	723.98	
Adult day care - half day		half day	43	5.42	45.86	10688.13	
Adult day care - full day		day	169	28.17	58.02	276217.55	
Adult day care - extended day		extended day	3	2.67	79.49	636.71	
Adult Day Care - 15 Minutes, FFS		15 minutes	2	538.00	7.89	8489.64	
Adult Day Care In the family home - 15 Minutes, FFS		15 minutes	2	1.00	13.66	27.32	
Adult day care - half day, FFS		half day	3	2.67	45.86	367.34	
Adult day care - full day, FFS		day	11	15.82	58.02	10096.64	
Adult day care - extended day, FFS		extended day	0	0.00	0.01	0.00	
Prevocational Services Total:							16445.71
Prevocational Services		hour	18	76.17	11.24	15410.71	
Prevocational Career Exploration		hour	2	5.50	43.00	473.00	
Prevocational Services, FFS		hour	2	25.00	11.24	562.00	
Prevocational Career Exploration, FFS		hour	0	0.00	0.01	0.00	
Respite Total:							4327193.67
Respite (Home						3264088.60	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Health agency, basic, individual)		15 minutes	1393	431.53	5.43		
Respite (Home Health agency, specialized)		15 minutes	152	278.61	13.77	583141.87	
Respite (Home care agency, basic, group)		15 minutes	176	319.33	4.35	244479.05	
Respite (hospital or NF)		15 minutes	6	103.33	11.02	6832.18	
Respite (resident camp overnight)		15 minutes	32	486.50	3.97	61804.96	
Respite (group day camp)		15 minutes	17	217.47	3.97	14677.05	
Respite (Home Health agency, basic, individual), FFS		15 minutes	54	405.59	5.43	118927.10	
Respite (Home Health agency, specialized), FFS		15 minutes	6	255.67	13.77	21123.46	
Respite (Home care agency, basic, group), FFS		15 minutes	8	259.75	4.35	9039.30	
Respite (hospital or NF), FFS		15 minutes	2	11.50	11.02	253.46	
Respite (resident camp overnight), FFS		15 minutes	2	288.00	3.97	2286.72	
Respite (group day camp), FFS		15 minutes	2	68.00	3.97	539.92	
Supported Employment Total:							985808.59
Supported employment (small group) - Tier 1		15 minutes	61	291.79	3.68	65501.02	
Supported employment (small group) - Tier 2		15 minutes	12	366.83	2.30	10124.51	
Supported Employment (long term job coaching) - Hourly		hour	8	132.13	26.85	28381.52	
Supported						5526.36	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Employment (long term job coaching) - Tier 1		hour	28	2.25	87.72		
Supported Employment (long term job coaching) - Tier 2		hour	97	3.44	468.69	156392.48	
Supported Employment (long term job coaching) - Tier 3		hour	66	3.39	936.08	209438.54	
Supported Employment (long term job coaching) - Tier 4		hour	35	2.29	1463.69	117314.75	
Supported Employment (long term job coaching) - Tier 5		hour	72	78.21	58.54	329645.76	
Supported Employment (individual employment)		hour	40	8.83	85.73	30279.84	
Supported employment (small group) - Tier 1, FFS		15 minutes	3	220.00	3.68	2428.80	
Supported employment (small group) - Tier 2, FFS		15 minutes	2	81.50	2.30	374.90	
Supported Employment (long term job coaching) - Hourly, FFS		hour	2	20.00	26.85	1074.00	
Supported Employment (long term job coaching) - Tier 1, FFS		hour	2	1.00	87.72	175.44	
Supported Employment (long term job coaching) - Tier 2, FFS		hour	5	2.20	468.69	5155.59	
Supported Employment (long term job coaching) - Tier 3, FFS		hour	3	2.33	936.08	6543.20	
Supported						4391.07	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Employment (long term job coaching) - Tier 4, FFS		hour	2	1.50	1463.69		
Supported Employment (long term job coaching) - Tier 5, FFS		hour	3	69.00	58.54	12117.78	
Supported Employment (individual employment), FFS		hour	2	5.50	85.73	943.03	
Home Health Aide Total:							117597.62
Home Health Aide - Hour		hour	2	21.50	26.28	1130.04	
Home Health Aide - Visit		visit	14	132.36	60.60	112294.22	
Home Health Aide - Hour, FFS		hour	2	1.00	26.28	52.56	
Home Health Aide - Visit, FFS		visit	2	34.00	60.60	4120.80	
Nursing Care Services Total:							3745923.39
Nursing (RN) - Hour		hour	2	18.00	67.71	2437.56	
Nursing (LPN) - Hour		hour	5	98.20	62.14	30510.74	
Nursing (RN) - Visit		visit	11	39.09	119.17	51241.91	
Nursing (LPN) - Visit		visit	124	235.95	120.69	3531123.88	
Nursing (RN) - Hour, FFS		hour	2	1.00	67.71	135.42	
Nursing (LPN) - Hour, FFS		hour	2	9.00	62.14	1118.52	
Nursing (RN) - Visit, FFS		visit	2	8.00	119.17	1906.72	
Nursing (LPN) - Visit, FFS		visit	5	211.20	120.69	127448.64	
Specialized Medical Equipment Total:							3197980.99
Specialized Medical Equipment		item	6	71.83	7154.64	3083506.75	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Specialized Medical Equipment, FFS		item	2	8.00	7154.64	114474.24	
Financial Management Services Total:							333993.75
Financial Management Services		service	369	11.54	75.00	319369.50	
Financial Management Services, FFS		service	17	11.47	75.00	14624.25	
Independent Support Broker Total:							83502.00
Independent Support Broker		hour	92	11.58	75.00	79902.00	
Independent Support Broker, FFS		hour	4	12.00	75.00	3600.00	
Individual Directed Goods and Services Total:							3106834.30
CCO goods and services; non- standard items or services, therapies; memberships		hour	369	176.17	41.63	2706230.17	
CCO goods and services; other savings - ongoing savings		hour	2	2.50	48.89	244.45	
CCO workman's comp		service	493	1.15	520.55	295125.82	
CCO goods and services; non- standard items or services, therapies; memberships, FFS		service	17	143.11	38.98	94833.27	
CCO goods and services; other savings - ongoing savings, FFS		service	0	0.00	0.01	0.00	
CCO workman's comp, FFS		service	18	1.11	520.55	10400.59	
Assisted Living Total:							875869.72
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Assisted Living		service	521	58.10	27.90	844535.79	
Assisted Living, FFS		service	21	53.48	27.90	31333.93	
Assistive Devices Total:							27981.25
Assistive Devices		item	179	1.15	125.00	25731.25	
Assistive Devices, FFS		item	15	1.20	125.00	2250.00	
Attendant Care Total:							21081728.62
Attendant care, agency		15 minutes	3584	1250.49	4.54	20347172.97	
Attendant care, agency, FFS		15 minutes	152	1064.45	4.54	734555.66	
Community Transition Services Total:							644550.00
Community Transition Services		person	358	1.15	1500.00	617550.00	
Community Transition Services, FFS		person	15	1.20	1500.00	27000.00	
Companion Total:							9528.75
Senior Companion		15 minutes	20	126.55	3.63	9187.53	
Senior Companion, FFS		15 minutes	2	47.00	3.63	341.22	
Family Training Total:							36109.29
Family counseling and training		15 minutes	58	57.57	10.43	34826.40	
Family counseling and training, FFS		15 minutes	3	41.00	10.43	1282.89	
Home and Vehicle Modifications Total:							1043003.42
Environmental modifications and adaptive devices (Home Mod)		item	98	1.15	7154.64	806327.93	
Home and vehicle modification		item	26	1.08	7154.64	200902.29	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
(vehicle only)							
Environmental modifications and adaptive devices (Home Mod), FFS		item	5	0.80	7154.64	28618.56	
Home and vehicle modification (vehicle only), FFS		item	2	0.50	7154.64	7154.64	
Home Maintenance Support Total:							721120.57
Home Maintenance Support		15 minutes	1359	87.65	5.84	695639.48	
Home Maintenance Support, FFS		15 minutes	54	80.80	5.84	25481.09	
Home-Delivered Meals Total:							4332610.62
Home Delivered Meals; morning		meal	645	56.36	9.11	331168.54	
Home Delivered Meals; supplemental		meal	310	45.61	5.72	80875.65	
Home Delivered Meals; noon		meal	3584	66.17	9.00	2134379.52	
Home Delivered Meals; evening		meal	2945	61.09	9.08	1633583.25	
Home Delivered Meals; morning, FFS		meal	25	53.00	9.11	12070.75	
Home Delivered Meals; supplemental, FFS		meal	12	43.17	5.72	2963.19	
Home Delivered Meals; noon, FFS		meal	144	60.10	9.00	77889.60	
Home Delivered Meals; evening, FFS		meal	109	60.30	9.08	59680.12	
Interim Medical Monitoring and Treatment Total:							405725.55
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
IMMT (HH agency RN)		15 minutes	11	734.64	12.68	102467.59	
IMMT (HH agency LPN)		15 minutes	20	887.70	14.92	264889.68	
IMMT (HH agency home health aid)		15 minutes	2	773.50	12.38	19151.86	
IMMT (SCL)		15 minutes	3	273.00	6.07	4971.33	
IMMT (HH agency RN), FFS		15 minutes	2	146.50	12.68	3715.24	
IMMT (HH agency LPN), FFS		15 minutes	2	322.50	14.92	9623.40	
IMMT (HH agency home health aid), FFS		15 minutes	2	29.50	12.38	730.42	
IMMT (SCL), FFS		15 minutes	2	14.50	6.07	176.03	
Nutritional Counseling Total:							388869.06
Nutritional Counseling		15 minutes	642	56.31	10.32	373078.53	
Nutritional Counseling, FFS		15 minutes	27	56.67	10.32	15790.53	
Peer Mentoring Total:							43278.62
Peer Mentoring		15 minutes	59	56.31	12.50	41528.62	
Peer Mentoring, FFS		15 minutes	2	70.00	12.50	1750.00	
Personal Emergency Response System or Portable Locator System Total:							890539.68
Personal emergency response/locator (initial install fee)		installation	93	1.14	51.82	5493.96	
Personal emergency response/locator (monthly fee)		month	3584	5.82	40.94	853962.55	
Personal emergency response/locator (initial install		installation	3	1.00	51.82	155.46	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
fee), FFS							
Personal emergency response/locator (monthly fee), FFS		month	152	4.97	40.94	30927.71	
Positive Behavioral Support and Consultation Total:							468017.48
Positive Behavioral Support		15 minutes	358	55.40	22.64	449023.65	
Positive Behavioral Support, FFS		15 minutes	15	55.93	22.64	18993.83	
Skilled Attendant Care Total:							513329.45
Attendant Care, agency		15 minutes	204	575.51	4.22	495445.05	
Attendant Care, agency, FFS		15 minutes	9	470.89	4.22	17884.40	
Transportation Total:							1003800.74
Transportation; non-emergent wheelchair van; individual;		trip	48	20.23	54.83	53242.12	
Transportation; individual (per mile)		mile	1709	64.39	3.11	342232.21	
Transportation; one way; individual		1-way trip	480	37.20	32.00	571392.00	
Transportation; one way; group		1-way trip	2	24.50	25.60	1254.40	
Transportation; non-emergent wheelchair van; individual; per trip, FFS		trip	5	6.80	54.83	1864.22	
Transportation; individual (per mile), FFS		mile	67	60.84	3.11	12677.23	
Transportation; one way; individual, FFS		1-way trip	21	31.38	32.00	21087.36	
Transportation; one way; group, FFS		1-way trip	2	1.00	25.60	51.20	
GRAND TOTAL: 48942228.54 Total: Services included in capitation: 47218548.15 Total: Services not included in capitation: 1723680.39 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13100.17 Services included in capitation: 12638.80 Services not included in capitation: 461.37 Average Length of Stay on the Waiver: 365							

d. Estimate of Factor D.

ii. Concurrent section 1915(b)/section 1915(c) waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 5

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							565013.27
Adult Day Care - 15 Minutes		15 minutes	28	1104.86	7.89	244085.67	
Adult Day Care In the family home - 15 Minutes		15 minutes	2	27.50	13.66	751.30	
Adult day care - half day		half day	43	5.65	45.86	11141.69	
Adult day care - full day		day	169	29.43	58.02	288572.33	
Adult day care - extended day		extended day	3	2.67	79.49	636.71	
Adult Day Care - 15 Minutes, FFS		15 minutes	2	562.00	7.89	8868.36	
Adult Day Care In the family home - 15 Minutes, FFS		15 minutes	2	1.00	13.66	27.32	
Adult day care - half day, FFS		half day	3	2.67	45.86	367.34	
Adult day care - full day, FFS		day	11	16.55	58.02	10562.54	
Adult day care - extended day, FFS		extended day	0	0.00	0.01	0.00	
Prevocational Services Total:							17154.06
Prevocational Services		hour	18	79.56	11.24	16096.58	
Prevocational Career Exploration		hour	2	5.50	43.00	473.00	
Prevocational Services, FFS						584.48	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
		hour	2	26.00	11.24		
Prevocational Career Exploration, FFS		hour	0	0.00	0.01	0.00	
Respite Total:							4520630.38
Respite (Home Health agency, basic, individual)		15 minutes	1393	450.82	5.43	3409997.97	
Respite (Home Health agency, specialized)		15 minutes	152	291.06	13.77	609200.22	
Respite (Home care agency, basic, group)		15 minutes	176	333.61	4.35	255411.82	
Respite (hospital or NF)		15 minutes	6	108.00	11.02	7140.96	
Respite (resident camp overnight)		15 minutes	32	508.25	3.97	64568.08	
Respite (group day camp)		15 minutes	17	227.18	3.97	15332.38	
Respite (Home Health agency, basic, individual), FFS		15 minutes	54	423.72	5.43	124243.18	
Respite (Home Health agency, specialized), FFS		15 minutes	6	267.17	13.77	22073.59	
Respite (Home care agency, basic, group), FFS		15 minutes	8	271.38	4.35	9444.02	
Respite (hospital or NF), FFS		15 minutes	2	12.00	11.02	264.48	
Respite (resident camp overnight), FFS		15 minutes	2	301.00	3.97	2389.94	
Respite (group day camp), FFS		15 minutes	2	71.00	3.97	563.74	
Supported Employment Total:							1030347.40
Supported employment (small group) - Tier 1		15 minutes	61	304.84	3.68	68430.48	
Supported						10577.70	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
employment (small group) - Tier 2		15 minutes	12	383.25	2.30		
Supported Employment (long term job coaching) - Hourly		hour	8	138.00	26.85	29642.40	
Supported Employment (long term job coaching) - Tier 1		hour	28	2.36	87.72	5796.54	
Supported Employment (long term job coaching) - Tier 2		hour	97	3.60	468.69	163666.55	
Supported Employment (long term job coaching) - Tier 3		hour	66	3.55	936.08	219323.54	
Supported Employment (long term job coaching) - Tier 4		hour	35	2.40	1463.69	122949.96	
Supported Employment (long term job coaching) - Tier 5		hour	72	81.71	58.54	344397.84	
Supported Employment (individual employment)		hour	40	9.23	85.73	31651.52	
Supported employment (small group) - Tier 1, FFS		15 minutes	3	230.00	3.68	2539.20	
Supported employment (small group) - Tier 2, FFS		15 minutes	2	85.00	2.30	391.00	
Supported Employment (long term job coaching) - Hourly, FFS		hour	2	21.00	26.85	1127.70	
Supported Employment (long term job coaching) - Tier 1, FFS		hour	2	1.00	87.72	175.44	
Supported Employment		hour	5	2.20	468.69	5155.59	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
(long term job coaching) - Tier 2, FFS							
Supported Employment (long term job coaching) - Tier 3, FFS		hour	3	2.33	936.08	6543.20	
Supported Employment (long term job coaching) - Tier 4, FFS		hour	2	1.50	1463.69	4391.07	
Supported Employment (long term job coaching) - Tier 5, FFS		hour	3	72.00	58.54	12644.64	
Supported Employment (individual employment), FFS		hour	2	5.50	85.73	943.03	
Home Health Aide Total:							122863.00
Home Health Aide - Hour		hour	2	22.50	26.28	1182.60	
Home Health Aide - Visit		visit	14	138.29	60.60	117325.24	
Home Health Aide - Hour, FFS		hour	2	1.00	26.28	52.56	
Home Health Aide - Visit, FFS		visit	2	35.50	60.60	4302.60	
Nursing Care Services Total:							3913434.09
Nursing (RN) - Hour		hour	2	19.00	67.71	2572.98	
Nursing (LPN) - Hour		hour	5	102.60	62.14	31877.82	
Nursing (RN) - Visit		visit	11	40.82	119.17	53509.71	
Nursing (LPN) - Visit		visit	124	246.50	120.69	3689010.54	
Nursing (RN) - Hour, FFS		hour	2	1.00	67.71	135.42	
Nursing (LPN) - Hour, FFS		hour	2	9.50	62.14	1180.66	
Nursing (RN) - Visit, FFS		visit	2	8.50	119.17	2025.89	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Nursing (LPN) - Visit, FFS		visit	5	220.60	120.69	133121.07	
Specialized Medical Equipment Total:							3341216.88
Specialized Medical Equipment		item	6	75.00	7154.64	3219588.00	
Specialized Medical Equipment, FFS		item	2	8.50	7154.64	121628.88	
Financial Management Services Total:							348783.75
Financial Management Services		service	369	12.05	75.00	333483.75	
Financial Management Services, FFS		service	17	12.00	75.00	15300.00	
Independent Support Broker Total:							87240.00
Independent Support Broker		hour	92	12.10	75.00	83490.00	
Independent Support Broker, FFS		hour	4	12.50	75.00	3750.00	
Individual Directed Goods and Services Total:							3245377.10
CCO goods and services; non-standard items or services, therapies; memberships		hour	369	184.04	41.63	2827124.94	
CCO goods and services; other savings - ongoing savings		hour	2	2.50	48.89	244.45	
CCO workman's comp		service	493	1.20	520.55	307957.38	
CCO goods and services; non-standard items or services, therapies; memberships, FFS		service	17	149.53	38.98	99087.55	
CCO goods and services; other		service	0	0.00	0.01	0.00	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
savings - ongoing savings, FFS							
CCO workman's comp, FFS		service	18	1.17	520.55	10962.78	
Assisted Living Total:							915057.50
Assisted Living		service	521	60.70	27.90	882329.13	
Assisted Living, FFS		service	21	55.86	27.90	32728.37	
Assistive Devices Total:							29231.25
Assistive Devices		item	179	1.20	125.00	26850.00	
Assistive Devices, FFS		item	15	1.27	125.00	2381.25	
Attendant Care Total:							22024301.27
Attendant care, agency		15 minutes	3584	1306.40	4.54	21256904.70	
Attendant care, agency, FFS		15 minutes	152	1112.04	4.54	767396.56	
Community Transition Services Total:							672975.00
Community Transition Services		person	358	1.20	1500.00	644400.00	
Community Transition Services, FFS		person	15	1.27	1500.00	28575.00	
Companion Total:							9953.46
Senior Companion		15 minutes	20	132.20	3.63	9597.72	
Senior Companion, FFS		15 minutes	2	49.00	3.63	355.74	
Family Training Total:							37716.24
Family counseling and training		15 minutes	58	60.14	10.43	36381.09	
Family counseling and training, FFS		15 minutes	3	42.67	10.43	1335.14	
Home and Vehicle Modifications Total:							1085501.98
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Environmental modifications and adaptive devices (Home Mod)		item	98	1.20	7154.64	841385.66	
Home and vehicle modification (vehicle only)		item	26	1.12	7154.64	208343.12	
Environmental modifications and adaptive devices (Home Mod), FFS		item	5	0.80	7154.64	28618.56	
Home and vehicle modification (vehicle only), FFS		item	2	0.50	7154.64	7154.64	
Home Maintenance Support Total:							753370.34
Home Maintenance Support		15 minutes	1359	91.57	5.84	726750.80	
Home Maintenance Support, FFS		15 minutes	54	84.41	5.84	26619.54	
Home-Delivered Meals Total:							4526342.02
Home Delivered Meals; morning		meal	645	58.88	9.11	345975.94	
Home Delivered Meals; supplemental		meal	310	47.65	5.72	84492.98	
Home Delivered Meals; noon		meal	3584	69.13	9.00	2229857.28	
Home Delivered Meals; evening		meal	2945	63.82	9.08	1706585.09	
Home Delivered Meals; morning, FFS		meal	25	55.36	9.11	12608.24	
Home Delivered Meals; supplemental, FFS		meal	12	45.08	5.72	3094.29	
Home Delivered Meals; noon, FFS		meal	144	62.79	9.00	81375.84	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home Delivered Meals; evening, FFS		meal	109	63.00	9.08	62352.36	
Interim Medical Monitoring and Treatment Total:							423867.85
IMMT (HH agency RN)		15 minutes	11	767.45	12.68	107043.93	
IMMT (HH agency LPN)		15 minutes	20	927.40	14.92	276736.16	
IMMT (HH agency home health aid)		15 minutes	2	808.00	12.38	20006.08	
IMMT (SCL)		15 minutes	3	285.33	6.07	5195.86	
IMMT (HH agency RN), FFS		15 minutes	2	153.00	12.68	3880.08	
IMMT (HH agency LPN), FFS		15 minutes	2	337.00	14.92	10056.08	
IMMT (HH agency home health aid), FFS		15 minutes	2	31.00	12.38	767.56	
IMMT (SCL), FFS		15 minutes	2	15.00	6.07	182.10	
Nutritional Counseling Total:							406267.34
Nutritional Counseling		15 minutes	642	58.83	10.32	389774.64	
Nutritional Counseling, FFS		15 minutes	27	59.19	10.32	16492.70	
Peer Mentoring Total:							45212.12
Peer Mentoring		15 minutes	59	58.83	12.50	43387.12	
Peer Mentoring, FFS		15 minutes	2	73.00	12.50	1825.00	
Personal Emergency Response System or Portable Locator System Total:							930299.20
Personal emergency response/locator (initial install fee)		installation	93	1.19	51.82	5734.92	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Personal emergency response/locator (monthly fee)		month	3584	6.08	40.94	892112.08	
Personal emergency response/locator (initial install fee), FFS		installation	3	1.00	51.82	155.46	
Personal emergency response/locator (monthly fee), FFS		month	152	5.19	40.94	32296.75	
Positive Behavioral Support and Consultation Total:							488980.76
Positive Behavioral Support		15 minutes	358	57.88	22.64	469124.35	
Positive Behavioral Support, FFS		15 minutes	15	58.47	22.64	19856.41	
Skilled Attendant Care Total:							536277.47
Attendant Care, agency		15 minutes	204	601.24	4.22	517595.49	
Attendant Care, agency, FFS		15 minutes	9	491.89	4.22	18681.98	
Transportation Total:							1048573.92
Transportation; non-emergent wheelchair van; individual;		trip	48	21.13	54.83	55610.78	
Transportation; individual (per mile)		mile	1709	67.26	3.11	357486.23	
Transportation; one way; individual		1-way trip	480	38.86	32.00	596889.60	
Transportation; one way; group		1-way trip	2	25.50	25.60	1305.60	
Transportation; non-emergent wheelchair van; individual; per trip, FFS		trip	5	7.20	54.83	1973.88	
Transportation; individual (per mile), FFS		mile	67	63.55	3.11	13241.91	
Transportation;						22014.72	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
one way; individual, FFS		1-way trip	21	32.76	32.00		
Transportation; one way; group, FFS		1-way trip	2	1.00	25.60	51.20	
GRAND TOTAL: 51125987.64 Total: Services included in capitation: 49325068.99 Total: Services not included in capitation: 1800918.65 Total Estimated Unduplicated Participants: 3736 Factor D (Divide total by number of participants): 13684.69 Services included in capitation: 13202.64 Services not included in capitation: 482.04 Average Length of Stay on the Waiver: 365							