STATE OF IOWA DEPARTMENT OF Health and Human services

# Appeals Process Overview for Providers

January 2023

Right to Appeal

 A Medicaid member, their representative or a provider may file a state fair hearing request (also known as an appeal) with the Department concerning any issue.

441 Iowa Administrative Code 7.5(17A)



## **Appeal Requirements**

 Written authorization showing the member has authorized the individual or provider to file an appeal on their behalf is required.

- MCO Appeals: Use form 470-5526, Authorized Representative for Managed Care Appeals.
- Non-MCO Appeals: HHS Administrative Rules and Appeals will send authorization request once appeal is received.

641 Iowa Administrative Code 176.8(1)



# Filing an Appeal

#### Appeal in writing

- Online at <u>https://secureapp.dhs.state.ia.us/dhs\_titan\_public/appeals/appealrequest</u>
- Mail a letter or fill out the Appeal and Request for Hearing form. Send it to: Department of Health and Human Services Administrative Rules and Appeals Bureau Compliance Division 1305 E Walnut St. 5<sup>th</sup> Fl Des Moines IA 50319
- Fax a letter or appeal form to (515) 564-4044

#### Appeal by phone

- Call the Administrative Rules and Appeals Bureau at (515) 281-3094
- Appeal in person at any HHS office

### Timeframes to Appeal

- A hearing will be held if the state fair hearing appeal request is made within 120 calendar days of the date on the MCO appeal determination.
- For all other Medicaid appeals, the appellant must file the appeal before the 90th day following the date on the NOD.



# After Receipt of Appeal

HHS Administrative Rules and Appeals Bureau will:

- Obtain copy of Notice of Decision being appealed
- Determine issue of appeal hearing
- Determines if appealable action and appeal filed by aggrieved party
  - For example, providers cannot appeal post-service or claim disputes regarding MCO claims through HHS Administrative Rules and Appeals Bureau. These actions can only be appealed through the MCO's internal appeals processes.
- Certifies appeal to Department of Inspections and Appeals (DIA) Administrative Hearings Division if eligible for hearing
- Denies appeal is not eligible for hearing
- DIA Administrative Hearings Division schedules the appeal hearing date and time and notifies all parties.

441 Iowa Administrative Code 7.3(17A), 7.4(6), 7.5, and 7.6



# Preparing for Hearing

HHS staff are required to send a copy of their appeal summary and exhibits they propose to submit at hearing to the appellant and their representative prior to the appeal hearing

- Medicaid members, authorized representatives, or providers may submit their own exhibits.
  - Email to <u>appeals@dhs.state.ia.us</u> or
  - FAX to (515) 564-4044

HHS Administrative Rules and Appeals staff will upload to electronic appeal file and share with ALJ

If issue originally appealed is resolved prior to hearing, Medicaid members, authorized representatives or providers may ask to withdraw their appeal using same methods as filing an appeal.



#### Hearing Procedures

- Most hearings are held by telephone, but can be held inperson or by videoconference, if requested and approved by the ALJ
- ALJ swears in all parties and provides instructions at the beginning of the hearing on how the hearing will proceed
- Each party will have an opportunity to:
  - Submit evidence
  - Provide testimony
  - Ask questions

481 Iowa Administrative Code 10.20(17A) and 10.21(17A)



#### Proposed Decision, Reviews, Final Decisions

- Administrative Law Judge issues a Proposed Decision based on testimony and evidence presented at appeal hearing, while taking federal and state regulations into account
- All parties given 14 calendar days to request a Director's review if disagree with Proposed Decision
- If no review request is received, a Final Decision is issued and the appeal is closed.





Contact:

Department of Health and Human Services Administrative Rules and Appeals Bureau

Email: appeals@dhs.state.ia.us

Phone: (515) 281-3094

