Health and Human Services Dependent Adult Abuse Dissemination Desk Aid

| Form 470-0643, Request for Child & Dependent Adult Abuse | | Unfounded |
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| Green Filled Box = Only Necessary Information to be provided. HHS has discretion regarding what is released. | Founded or Confirmed | |
| lue Filled Box= The requester of the information may make a request with no form & we provide; however, ll the form out and upload in File Manager. | | |
| SUBJECTS | | |
| Named victim, guardian/legal custodian, persons responsible for abuse and the attorney's representing each of them. Guardian ad Litem. (These individuals can also use form 470-2444 DAA Outcome Notification to obtain this information.) 235B.6(2)(a) | YES | YES |
| PERSONS INVOLVED IN AN ASSESSEMENT OR EVALUATION | | |
| Health practitioner or mental health professional who is examining, attending or treating a dependent adult or whose consultation is requested by HHS 235B.6(2)(b)(1) | YES | NO |
| HHS personnel responsible for abuse assessment, evaluation or record checks. DIAL personnel responsible for abuse investigation 235B.6(2)(b)(2) | YES | YES |
| HHS staff involved in certification or accreditation of agency or program providing care or services 235B.6(2)(b)(3) | YES | NO |
| Law enforcement assisting in an assessment or evaluation, including MFCU units 235B.6(2)(b)(4) | YES | NO |
| Multidisciplinary teams approved by IHHS (Access only during assessment/evaluation) 235B.6(2)(b)(5) | YES | YES |
| Mandatory reporter who reported the individual case 235B.6(2)(b)(6) | YES | YES |
| Each board specified under 147 to license, certify, and discipline health care professionals, lowa Department of Public Health (IDPH) 235B.6(2)(b)(7) | YES | NO |
| PROVIDERS OF CARE | | |
| Licensing authority for facility providing care to dependent adult 235B.6(2)(c)(1) | YES | NO |
| Person or agency responsible for care or supervision of an adult victim, or person responsible for the abuse 235B.6(2)(c)(2) | YES | NO |
| Employee or agent of HHS responsible for registering or licensing or approving registration or licensing of a person or individual providing care to an adult and regulated by IHHS 235B.6(2)(c)(3) | YES | NO |
| Disability Rights Iowa if victim or perpetrator has a developmental disability or mental illness if adult has received services from or resided in a facility defined in 235E.1. 235B.6(2)(c)(4) | YES | NO |
| JUDICIAL & ADMINSTRATIVE PROCEEDINGS | | |
| Court proceedings upon finding that information is necessary. 235B.6(2)(d)(1), (2)(d)(5), and (2)(d)(6) | YES | NO |
| Court or administrative agency hearing for an appeal for correction or expungement of information 235B.6(2)(d)(2) | YES | NO |
| Expert witness or witness who testifies in an appeal 235B.6(2)(d)(3) | YES | NO |
| Court or administrative agency making an unemployment compensation determination 235B.6(2)(d)(4) | YES | NO |
| Juvenile Court involving an adjudication or disposition of a child who is subject to guardianship proceeding. 235B.6(2)(d)(5) District Court upon a finding data is necessary for resolution involving proceedings for a child guardianship case 235B.6(2)(d)(6) | YES | NO |
| Tribal court as defined in section 620D.2, a tribal prosecutor, or tribal services provided that the dependent adult is an Indian as defined in section 232B.3. 235B.6(2)(d) | YES | YES |

| To a district court conducting checks of the dependent adult abuse registry for all proposed guardians and conservators pursuant to section 633.564. 235B.6(2)(d) | YES | NO |
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| County Attorney (Appropriate County Attorney) 235B.3(10) | YES | YES |
| Department of Justice for victim reparation sole purpose of filing a claim for reparation pursuant to sections 915.21 & 915.84 235B.6(e)(3) | YES | NO |
| Attorney General's Office 235B.6(e)(5) | YES | YES |
| OTHERS | | |
| The state or local office of the public guardian (if pertaining to legal services to be provided or being provided for a client (victim). 235B.6(e)(11) | YES | NO |
| Bank, Saving Association, Credit Union, Broker-Dealer, Social Security Administration, Administrator as defined in IC 502.102. 235B.6(e)(20) | YES | YES |
| Registry or HHS staff when necessary to performance of their official duties or a person, an instrumentality of the state, or an agency under contract with HHS to carry out official duties and functions. 235B.6(2)(e)(2) Attorney representing HHS, Citizen Aide/Ombudsman, Long-term care resident's advocate 235B.6 (2)(e)(10) | YES | YES |
| A legally constituted APS agency from another state who is investigating or treating an adult named in a report as having been abused. 235B.6(e)(4) | YES | NO |

Form 470-3301, Authorization for Release of Child and Dependent Adult Abuse Information
ALL requests for information received on Form 470-3301 should be forwarded to the Central Abuse Registry via email at:

| lowaAbuseRegistry@hhs.iowa.gov

Abuse Checks - Completed by Central Abuse Registry

- Medicaid HCBS waiver provider agency administrator. 235B.6(2)(c)(5)
- MH/ID/DD provider agency administrator under a regional management plan. 235B.6 (2)(c)(6)
- Hospital administrator under 135B or student nursing program under 152.5. 235B.6 (2)(c)(7)
- An employee of an agency requested by IHHS to provide case management or other services to a dependent adult. 235B.6 (2)(c)(8)
- Health care facility administrator or designee under 135C.33. 235B.6 (2)(e)(6)
- Administrator of agency providing care to a dependent adult in another state. 235B.6 (2)(e)(7)
- Superintendent of a school district or superintendent's designee. 235B.6 (2)(e)(8)
- Department of Inspections and Appeals (for employment checks role). 235B.6 (2)(e)(9)
- Nursing program approved by the Board of Nursing under 152.5. 235B.6 (2)(e)(12)
- Board of Educational Examiners. 235B.6 (2)(e)(13)
- Department of Aging. 235B.6 (2)(e)(14)
- Iowa Veterans Home (for volunteers). 235B.6 (2)(e)(15)
- Administrator of a certified nurse's aide program. 235B.6 (2)(e)(16)
- Juvenile detention or shelter programs. 235B.6 (2)(e)(17)

- Employer or prospective employer of a school bus driver. 235B.6 (2)(e)(18)
- To a free clinic as defined in section 135.24A. 235B.6(2)(e)(19)
- To a person who submits written authorization from an individual allowing the person access to information on the determination only on whether or not the individual who authorized access is named in a founded dependent adult abuse report as having abused a dependent adult. 235B.6(2)(f)
- Licensed child-placing agency responsible for an adoptive placement; Foster Care Licensing Agency, Certified Adoption Investigator, Licensed Childcare Centers. 235B.6(2)(f)

NOTES:

- Any information disseminated requires notice of dissemination to be uploaded into DARES File Manager within 72 hours.
- Form 470-0643 must be signed by the requester.
- Form 470-3301 must be signed by the alleged victim, legal guardian, or alleged person responsible for abuse.
- All requests require verification of identity. Record your verification of the identity of the requester on the form.
- Pursuant to 235B.8 reports can ONLY be redisseminated to those who would already have authorized access under 235B.6.
- When releasing DA information, you shall withhold the name of the person who reported the abuse if you believe the disclosure of that person's identity would be detrimental to the person who made the report.
- If authorized access is demonstrated, the APS Director or designee shall approve the request and specify the information that may be released. If the manager or designee approves the request, the manager or designee arranges to provide the specified information to the requester and uploads the request form into the case's File Manager in DARES.
- Dependent adult abuse information released by APS Director or designee approval may be limited to necessary information only (disposition data). "Necessary information" may be expanded to include the entire dependent adult abuse report.
- Department employees & Attorney General's Office are not required to complete form 470-0643 to receive dependent adult information; however, the person providing the report, must fill the form out and place in DARES File Manager.
- An instrumentality of the state is an organization, entity, or agency that is authorized by the state to perform specific functions or carry out official duties on behalf of state government. Examples include: Area Agencies on Aging (AAAs), Aging and Disability Resource Centers (ARDCs), and other entities that coordinate care, arrange services, or support the state in fulfilling its responsibilities.