For Division DHS Through Periods 1/1/2022 -- 6/30/2022

#### **Iowa Department of Human Services**

Report Series D-3 Administrative Use Only

### **Dependent Adult Abuse Program**

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- · Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not
  likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- · The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Intake			
Accepted	Rejected	In Progress	Total
3180	2744	0	5924

#### **Column Name Definition**

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

**Rejected** Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

**<u>In Progress</u>** Open cases where the intake has not been accepted or rejected.

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Evaluation or Assessment						
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total		
385	1741	106	556	2788		

Column Name Definition

**Founded** Total number of reports evaluated or assessed that were found to have occurred.

**Unfounded** Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

<u>In Progress</u> Open cases where the evaluation has not been accepted.

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Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	2168	1242	926	57.29 %	42.71 %
Denial of Critical Care Due to Adult's Actions	1645	1126	519	68.45 %	31.55 %
Exploitation	1384	525	859	37.93 %	62.07 %
Personal Degradation	264	149	115	56.44 %	43.56 %
Physical Abuse	673	399	274	59.29 %	40.71 %
Sexual Abuse	154	49	105	31.82 %	68.18 %
Total Allegations	6288	3490	2798	55.50 %	44.50 %
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#### **Column Name Definition**

**Type of Abuse** Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

**<u>Total</u>** Total number of accepted/rejected allegations made to the Department.

Total Accepted

The number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>% Accepted</u> The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<sup>\*</sup> This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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Types of Reporters					
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	1	1	0	100.00 %	0.00 %
Anonymous	0	0	0	0.00 %	0.00 %
Financial Institution - Permissive	573	38	535	6.63 %	93.37 %
Hospital Social Worker	157	121	36	77.07 %	22.93 %
Known Permissive	1977	965	1012	48.81 %	51.19 %
Licensed Psychologist	7	3	4	42.86 %	57.14 %
Nurse	781	498	283	63.76 %	36.24 %
Other Medical Personnel	620	378	242	60.97 %	39.03 %
Other Mental Health Professional	121	65	56	53.72 %	46.28 %
Other Social Service Professional	1066	682	384	63.98 %	36.02 %
Parole/Probation Officer	4	2	2	50.00 %	50.00 %
Physician	133	99	34	74.44 %	25.56 %
Residential Staff	20	12	8	60.00 %	40.00 %
School Personnel	25	11	14	44.00 %	56.00 %
Sheriff/Deputy/Police Officer	291	193	98	66.32 %	33.68 %
Social Worker – non DHS	308	195	113	63.31 %	36.69 %
Social Worker –DHS	82	67	15	81.71 %	18.29 %
Total Reporters	6166	3330	2836	54.01 %	45.99 %

Column Name Definition

Type of Reporter

Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

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<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in lowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

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Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	1	1	0	100.00 %	0.00 %
Hospital Social Worker	157	121	36	77.07 %	22.93 %
Licensed Psychologist	7	3	4	42.86 %	57.14 %
Nurse	781	498	283	63.76 %	36.24 %
Other Medical Personnel	620	378	242	60.97 %	39.03 %
Other Mental Health Professional	121	65	56	53.72 %	46.28 %
Other Social Service Professional	1066	682	384	63.98 %	36.02 %
Parole/Probation Officer	4	2	2	50.00 %	50.00 %
Physician	133	99	34	74.44 %	25.56 %
Residential Staff	20	12	8	60.00 %	40.00 %
School Personnel	25	11	14	44.00 %	56.00 %
Sheriff/Deputy/Police Officer	291	193	98	66.32 %	33.68 %
Social Worker – non DHS	308	195	113	63.31 %	36.69 %
Social Worker –DHS	82	67	15	81.71 %	18.29 %
Totals Mandatory Reporters	3616	2327	1289	64.35 %	35.65 %

#### **Column Name Definition**

**Type of Mandatory** The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

**<u>Total</u>** Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

**<u>Total Accepted</u>** Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

<u>Total Rejected</u> Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

<u>% Accepted</u> Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

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**% Rejected** Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

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#### Outcomes of Accepted Allegations for Evaluation or Assessment

Type of Abuse	Founded		Unfou	Unfounded		Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage	
Physical Abuse	27	9.0%	250	83.3%	23	7.7%	
Denial of Critical Care	80	8.5%	790	83.5%	76	8.0%	
Sexual Abuse	5	16.1%	26	83.9%	0	0.0%	
Exploitation	74	20.3%	290	79.7%	0	0.0%	
Denial of Critical Care Due to Adult's Actions	207	26.5%	575	73.5%	0	0.0%	
Personal Degradation	6	4.5%	114	86.4%	12	9.1%	
Total	399		2045		111		

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Column	Name	Definition

**Founded Total** The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

<u>Confirmed, Not Registered Percentage</u> Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

<sup>\*</sup> This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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### **Recommendations For Services**

Service	Total
Care/case management services (includes AAA/ADRC)	200
Caregiver support services	116
Education, employment and training services	11
Emergency assistance and material aid services	4
Financial planning services	12
In-home assistance services	206
Legal services referral	21
Medical and dental services	80
Mental health services	51
Public assistance benefits	71
Substance use services	12
Transportation	11
Victim services	4
Recommended service is unavailable in the subject's area	3
Periodic Visits	34
Other Services	208
None	1462

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### Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	8
Recommendation of restraining order on perpetrator regarding the client	6
Recommendation of eviction of perpetrator	8
Recommendation of restitution by perpetrator	19
Recommendation of other legal remedy	20
No crime committed	761
None	1393

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## Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	623
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	2
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	16
State Medicaid Fraud Control (MFCU)	1
Long Term Care Ombudsman Program	0
Office of the Substitute Decision Maker	1
AAA/ADRC	60
Crime Victim Assistance Program	1
Child Action Center	0
Iowa Workforce Development, Division of Labor Services	0
State Fire Marshall's Office	0
None	1446
Other	85