

\$12,000 Expenditure Limit Waiver Request Form Explanation

Iowa Weatherization Program

DCAA prior approval is required when estimated labor and material costs will be more than \$12,000. Agencies must submit relevant photos and NEAT/MHEA Audit Input and Recommended Measures Reports along with the waiver request.

SIR Field

- List the SIR that is given by the NEAT/MHEA Audit for this home. Costs for insulation, incidental repairs, infiltration, and heating system should be included in the NEAT/MHEA SIR.

Total Estimated Costs Section

- The estimated costs are to be entered under the correct category.
- *Other Health & Safety* are those items not included in other Health & Safety categories.
- *General Health & Safety Repairs* are those items listed in Section 7020 of the Work Standards. Refer to the Weatherization General Appendix for the current expenditure limit. There are no waivers for this limit.
- *Incidental Repairs* are items listed in Section 7010 of the Work Standards. All repairs not listed as General Health & Safety must be included here. The cost is limited by the NEAT/MHEA Audit (i.e. the costs must be included in the NEAT/MHEA Audit's SIR calculation and the cumulative SIR must be at least 1.0). However, if the cost seems unusually high, the waiver may be denied or more information may be needed for its approval.
- *Ventilation (duct, termination, wiring of fan, etc.)* includes the following: duct to vent outside, termination, duct for existing fans as well as termination for existing fans, insulation for duct, wiring for the fan, fan switches, etc.
- *Other* usually consists of water heater measures and CFLs.
- *TOTAL* should equal the total dollar amount of funds to be used in the funding section below.

Funding Section

- Costs are to be broken out by funding source. The ending total should be the same as that listed in the total estimated costs section *TOTAL*.
- *ECIP* funds are included in the limit as are DOE, HEAP, and Utility funds. *Client* contributions and *Other* should be listed if known, but usually don't count towards the limit.
- When any of the listed costs are high, an explanation of why they are high should be listed. Some examples of this are: installing drywall to separate the garage from the home, removing and drywalling over a suspended ceiling, a lot of blockers in the sidewalls, difficulty in removing the siding, exceptionally large home with 3,500 sq.ft., etc.