

Appendix J: Cost Neutrality Demonstration

J-1: Composite Overview and Demonstration of Cost-Neutrality Formula

Composite Overview. Complete the fields in Cols. 3, 5 and 6 in the following table for each waiver year. The fields in Cols. 4, 7 and 8 are auto-calculated based on entries in Cols 3, 5, and 6. The fields in Col. 2 are auto-calculated using the Factor D data from the J-2-d Estimate of Factor D tables. Col. 2 fields will be populated ONLY when the Estimate of Factor D tables in J-2-d have been completed.

Level(s) of Care: ICF/IID

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Year	Factor D	Factor D'	Total: D+D'	Factor G	Factor G'	Total: G+G'	Difference (Col 7 less Column4)
1	36792.73	17119.33	53912.06	152552.00	8050.00	160602.00	106689.94
2	36776.04	17632.91	54408.95	156366.00	8252.00	164618.00	110209.05
3	38136.97	18161.90	56298.87	160275.00	8458.00	168733.00	112434.13
4	38957.37	18706.76	57664.13	164282.00	8669.00	172951.00	115286.87
5	40143.22	19267.96	59411.18	168389.00	8886.00	177275.00	117863.82

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (1 of 9)

a. Number Of Unduplicated Participants Served. Enter the total number of unduplicated participants from Item B-3-a who will be served each year that the waiver is in operation. When the waiver serves individuals under more than one level of care, specify the number of unduplicated participants for each level of care:

Table: J-2-a: Unduplicated Participants

Waiver Year	Total Unduplicated Number of Participants (from Item B-3-a)	Distribution of Unduplicated Participants by Level of Care (if applicable)	
		Level of Care:	
		ICF/IID	
Year 1	14203		14203
Year 2	14345		14345
Year 3	14488		14488
Year 4	14633		14633
Year 5	14780		14780

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J-2: Derivation of Estimates (2 of 9)

b. Average Length of Stay. Describe the basis of the estimate of the average length of stay on the waiver by participants in item J-2-a.

The average length of stay (ALOS) is expected to remain the same throughout the five years of the waiver. The fiscal data for each HCBS Waiver 372 is based on each designated waiver statistical year. Maintaining ALOS at 353 for all 5 years of the waiver renewal is based on historical data along with assumptions provided by the State's actuary. The CMS 372 reports used to develop and report ALOS is July 1, 2012 – June 30, 2017 that incorporated historical ALOS back to 07/01/12.

In this waiver renewal, unduplicated participant values were increased by 1% each waiver year. The increase in the number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

The increases in the total unduplicated number of participants were trended at a 1% increase based on the assumptions provided by the State's actuary and historical trends.

Limitation on the Number of Participants Served at any Point in Time were projected at 1% growth each year based on historical growth and average monthly costs per recipient on the waiver.

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J-2: Derivation of Estimates (3 of 9)

c. Derivation of Estimates for Each Factor. Provide a narrative description for the derivation of the estimates of the following factors.

i. Factor D Derivation. The estimates of Factor D for each waiver year are located in Item J-2-d. The basis and methodology for these estimates is as follows:

Factor D is not impacted by the increase in the population. However, Factor D is impacted by the transition from a fee-for-service program to a managed care capitation rate program. In the prior waiver amendment, Factor D was adjusted due to the transition to managed care. In this submission, the post-managed care unduplicated participant values were increased by 1% each waiver year. The increase in the number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

The new participants are not expected to change the characteristics (risk profile) of the population. The underlying capitation rates reflect the risk profile of those qualifying for the HCBS waiver, which are reflected in Factor D and Factor D'.

Under this waiver renewal, Factor D and Factor D' increase on an annual basis at approximately 3.0% per year. The projected increase was based on an estimate of future increases to capitation rates under the managed care program, assumptions provided by the State's actuary, and historical trends based on the five most recent 372 submissions. The dates of the 372 submissions used were for the five years from July 1, 2012 to June 30, 2017.

The WY1 renewal Factor D cost was estimated utilizing WY5 of the prior waiver renewal as a baseline for the projection. The prior Appendix J submission illustrates an estimated Factor D cost of \$39,509.55 in WY5. The WY5 costs are based on a blend of the Factor D cost included in the IA Health Link capitation rates (for IA Health Link members) and historical FFS costs for the residual members not enrolled in IA Health Link. Most Intellectual Disability (ID) Waiver members are enrolled in the IA Health Link program.

The Factor D capitation rate cost is greater than the Factor D cost reported in the most recent 372 report, because the capitation rates paid for the ID Waiver members are greater than the historical Factor D costs.

ii. Factor D' Derivation. The estimates of Factor D' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor D' is not impacted by the increase in the population. However, Factor D' is impacted by the transition from a fee-for-service program to a managed care capitation rate program. In the prior waiver amendment, Factor D' was adjusted due to the transition to managed care. In this submission, the post-managed care unduplicated participant values were increased by 1% each waiver year. The increase in the number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

The new participants are not expected to change the characteristics (risk profile) of the population. The underlying capitation rates reflect the risk profile of those qualifying for the HCBS waiver, which are reflected in Factor D and Factor D'.

Under this waiver renewal, Factor D and Factor D' increase on an annual basis at approximately 3.0% per year. The projected increase was based on an estimate of future increases to capitation rates under the managed care program, assumptions provided by the State's actuary, and historical trends based on the five most recent 372 submissions. The dates of the 372 submissions used were for the years from July 1, 2012 to June 30, 2017.

The WY1 renewal Factor D' cost was estimated utilizing WY5 of the prior waiver renewal as a baseline for the projection. The prior Appendix J submission illustrates an estimated Factor D' cost of \$8,454.89 in WY5. The WY5 costs are based on a blend of the Factor D' cost included in the IA Health Link capitation rates (for IA Health Link members) and historical FFS costs for the residual members not enrolled in IA Health Link. Most ID Waiver members are enrolled in the IA Health Link program.

The Factor D' capitation rate cost is greater than the Factor D' cost reported in the most recent 372 report, because the capitation rates paid for the ID Waiver members are greater than the historical Factor D' costs.

- iii. Factor G Derivation.** The estimates of Factor G for each waiver year are included in Item J-1. The basis of these estimates is as follows:

The Factor G derivation is based on MMIS reports dated July 1, 2012 through June 30, 2017. Factor G projections were increased by 2.5% per year based on historical increases and on assumptions provided by the State's actuary.

The CMS 372 reports that the State files annually are based on the MMIS reports mentioned above. The CMS 372 reports used to develop and report ALOS are from July 1, 2012 – June 30, 2017.

The change in the number of lives does not have any influence over the calculation of Factor G and/or Factor G' and are established based on historical data and were carried forward based on an average of the five years from the prior 1915(c) waiver filing.

The annual trend factor was 2.5% per user for Factor G and G'. Consideration was given to historical trends between waiver years for the ID waiver and the actuarial assumptions accounting for the transition to manage care from the State's actuary when estimating the trend factor.

- iv. Factor G' Derivation.** The estimates of Factor G' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

The Factor G' derivation is based on MMIS reports dated July 1, 2012 through June 30, 2017. Factor G' projections were increased by 2.5% per year based on historical increases and on assumptions provided by the State's actuary.

The CMS 372 reports that the State files annually are based on the MMIS reports mentioned above. The CMS 372 reports used to develop and report ALOS are from July 1, 2012 – June 30, 2017.

The change in the number of lives does not have any influence over the calculation of Factor G and/or Factor G' and are established based on historical data and were carried forward based on an average of the five years from the prior 1915(c) waiver filing.

The annual trend factor was 2.5% per user for Factor G and G'. Consideration was given to historical trends between waiver years for the ID waiver and the actuarial assumptions accounting for the transition to manage care from the State's actuary when estimating the trend factor.

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J-2: Derivation of Estimates (4 of 9)

Component management for waiver services. If the service(s) below includes two or more discrete services that are reimbursed separately, or is a bundled service, each component of the service must be listed. Select “*manage components*” to add these components.

Waiver Services	
Adult Day Care	
Day Habilitation	
Prevocational Services	
Residential Based Supported Community Living	
Respite	
Supported Employment	
Home Health Aide Services	
Nursing	
Financial Management Services	
Independent Support Broker	
Individual Directed Goods and Services	
Self Directed Community Support and Employment	
Self Directed Personal Care	
Consumer Directed Attendant Care (CDAC) - skilled	
Consumer Directed Attendant Care (CDAC) - unskilled	
Enabling Technology for Remote Support	
Home and Vehicle Modification	
Interim Medical Monitoring and Treatment	
Medical Day Care for Children	
Personal Emergency Response or Portable Locator System	
Supported Community Living	
Transportation	

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d. Estimate of Factor D.

ii. **Concurrent §1915(b)/§1915(c) Waivers, or other authorities utilizing capitated arrangements (i.e., 1915(a), 1932(a), Section 1937).** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 1

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							4110155.80
Adult Day Care-Full Day		Day	368	130.87	65.75	3166530.52	
Adult Day Care-Half Day		Half Day	238	68.29	34.34	558128.71	
Adult Day Care - 15 Minutes		15 Minutes	5	1245.33	4.15	25840.60	
Adult Day Care-Full Day - FFS		Day	34	130.87	65.75	292559.88	
Adult Day Care-Half Day - FFS		Half Day	22	68.29	34.34	51591.73	
Adult Day Care - 15 Minutes - FFS		15 Minutes	3	1245.33	4.15	15504.36	
Day Habilitation Total:							42467400.64
Day Habilitation, ID Waiver, Per Day		Day	3825	102.59	75.17	29497215.40	
Day Habilitation, ID Waiver, 15 Minutes		15 Minutes	3123	1047.86	2.57	8410239.62	
Day Habilitation, ID Waiver, Per Day - FFS		Day	460	102.59	75.17	3547377.54	
Day Habilitation, ID Waiver, 15 Minutes - FFS		15 Minutes	376	1047.86	2.57	1012568.08	
Prevocational Services Total:							16289815.66
Prevocational Service, full		Day	1615	110.52	61.11	10907511.68	
GRAND TOTAL:							522567141.16
Total: Services included in capitation:							432735790.30
Total: Services not included in capitation:							89831350.86
Total Estimated Unduplicated Participants:							14203
Factor D (Divide total by number of participants):							36792.73
Services included in capitation:							30467.91
Services not included in capitation:							6324.82
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
day							
Prevocational Service, hour		Hour	1436	69.23	36.02	3580902.37	
Prevocational Service, full day - FFS		Day	201	110.52	61.11	1357529.32	
Prevocational Service, hour - FFS		Hour	178	69.23	36.02	443872.30	
Residential Based Supported Community Living Total:							2853915.57
Residential Based Supported Community living - day		Day	48	192.89	284.53	2634383.60	
Residential Based Supported Community living - day - FFS		Day	4	192.89	284.53	219531.97	
Respite Total:							11196035.90
Respite Resident Camp-Weeklong		15 Minutes	279	130.28	4.81	174834.46	
Respite-RCF/ID		15 Minutes	1	204.00	3.82	779.28	
Respite-HHA Specialized		15 Minutes	2	558.00	11.19	12488.04	
Respite-ICF/ID		15 Minutes	2	170.67	3.76	1283.44	
Teen Day Camp - 13 to 21 Years Old		15 Minutes	6	755.00	3.80	17214.00	
Group Specialized Summer Day Camp		15 Minutes	64	137.40	11.10	97608.96	
Respite-Homa Care Agency & Non-Facility, Group		15 Minutes	1022	584.83	3.50	2091936.91	
Respite-Homa Care Agency & Non-Facility, Basic Individual		15 Minutes	2481	593.71	4.10	6039277.49	
GRAND TOTAL:						522567141.16	
Total: Services included in capitation:						432735790.30	
Total: Services not included in capitation:						89831350.86	
Total Estimated Unduplicated Participants:						14203	
Factor D (Divide total by number of participants):						36792.73	
Services included in capitation:						30467.91	
Services not included in capitation:						6324.82	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite-Homa Care Agency & Non-Facility, Specialized		15 Minutes	10	772.67	9.52	73558.18	
Respite-Camp		15 Minutes	212	689.16	3.15	460221.05	
Respite-HHA Basic Individual		15 Minutes	2	828.00	5.17	8561.52	
Group Summer Day Camp - Group Recreational		15 Minutes	13	687.20	3.80	33947.68	
Respite-Nursing Facility		15 Minutes	1	528.00	3.55	1874.40	
Respite Resident Camp-Weeklong - FFS		15 Minutes	67	130.28	4.81	41985.34	
Respite-RCF/ID - FFS		15 Minutes	0	204.00	3.82	0.00	
Respite-HHA Specialized - FFS		15 Minutes	0	558.00	11.19	0.00	
Respite-ICF/ID - FFS		15 Minutes	1	170.67	3.76	641.72	
Teen Day Camp - 13 to 21 Years Old - FFS		15 Minutes	2	755.00	3.80	5738.00	
Group Specialized Summer Day Camp - FFS		15 Minutes	15	137.40	11.10	22877.10	
Respite-Homa Care Agency & Non-Facility, Group - FFS		15 Minutes	248	584.83	3.50	507632.44	
Respite-Homa Care Agency & Non-Facility, Basic Individual - FFS		15 Minutes	601	593.71	4.10	1462960.81	
Respite-Homa Care Agency & Non-Facility, Specialized - FFS		15 Minutes	3	772.67	9.52	22067.46	
GRAND TOTAL:						522567141.16	
Total: Services included in capitation:						432735790.30	
Total: Services not included in capitation:						89831350.86	
Total Estimated Unduplicated Participants:						14203	
Factor D (Divide total by number of participants):						36792.73	
Services included in capitation:						30467.91	
Services not included in capitation:						6324.82	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite-Camp - FFS		15 Minutes	51	689.16	3.15	110713.55	
Respite-HHA Basic Individual - FFS		15 Minutes	0	828.00	5.17	0.00	
Group Summer Day Camp - Group Recreational - FFS		15 Minutes	3	687.20	3.80	7834.08	
Respite-Nursing Facility - FFS		15 Minutes	0	528.00	3.55	0.00	
Supported Employment Total:							18086267.15
Maintain Employment - Individual		15 Minutes	647	18.12	66.30	777277.33	
Maintain Employment - Small Group		15 Minutes	810	1102.77	2.84	2536812.11	
Long Term Job Coaching		Month	2725	4.28	1129.18	13169626.34	
Maintain Employment - Individual - FFS		15 Minutes	37	18.12	66.30	44450.17	
Maintain Employment - Small Group - FFS		15 Minutes	104	1102.77	2.84	325714.15	
Long Term Job Coaching - FFS		Month	255	4.28	1129.18	1232387.05	
Home Health Aide Services Total:							15265549.00
Home Health Aide Services		Hour	218	9.59	791.95	1655666.51	
Home Health Aide Services - FFS		Hour	1792	9.59	791.95	13609882.50	
Nursing Total:							30033.36
Nursing Care in the Home/LPN; Per Hour		Visit	15	12.00	24.78	4460.40	
Nursing Care in the Home/RN;		Visit	5	12.00	24.78	1486.80	
GRAND TOTAL:						522567141.16	
Total: Services included in capitation:						432735790.30	
Total: Services not included in capitation:						89831350.86	
Total Estimated Unduplicated Participants:						14203	
Factor D (Divide total by number of participants):						36792.73	
Services included in capitation:						30467.91	
Services not included in capitation:						6324.82	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Per Hour							
Nursing Care in the Home/LPN; Per Hour - FFS		Visit	62	12.00	24.78	18436.32	
Nursing Care in the Home/RN; Per Hour - FFS		Visit	19	12.00	24.78	5649.84	
Financial Management Services Total:							3445374.37
Financial Management Services		Month	218	9.59	178.74	373677.42	
Financial Management Services - FFS		Month	1792	9.59	178.74	3071696.95	
Independent Support Broker Total:							340797.91
Independent Support Broker		Month	218	9.59	17.68	36962.16	
Independent Support Broker - FFS		Month	1792	9.59	17.68	303835.75	
Individual Directed Goods and Services Total:							1062680.37
Individual Directed Goods and Services		Month	218	9.59	55.13	115255.88	
Individual Directed Goods and Services - FFS		Month	1792	9.59	55.13	947424.49	
Self Directed Community Support and Employment Total:							15265549.00
Self Directed Community Support and Employment		Month	218	9.59	791.95	1655666.51	
Self Directed Community Support and Employment - FFS		Month	1792	9.59	791.95	13609882.50	
GRAND TOTAL:							522567141.16
Total: Services included in capitation:							432735790.30
Total: Services not included in capitation:							89831350.86
Total Estimated Unduplicated Participants:							14203
Factor D (Divide total by number of participants):							36792.73
Services included in capitation:							30467.91
Services not included in capitation:							6324.82
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Self Directed Personal Care Total:							14189761.03
Self Directed Personal Care		Month	218	9.59	736.14	1538989.01	
Self Directed Personal Care - FFS		Month	1792	9.59	736.14	12650772.02	
Consumer Directed Attendant Care (CDAC) - skilled Total:							746389.42
CDAC - Individual - 15 Minutes		15 Minutes	30	4389.30	3.60	474044.40	
CDAC - Agency - 15 Minutes		15 Minutes	20	1195.82	5.41	129387.72	
CDAC - Individual - 15 Minutes - FFS		15 Minutes	7	4389.30	3.60	110610.36	
CDAC - Agency - 15 Minutes - FFS		15 Minutes	5	1195.82	5.41	32346.93	
Consumer Directed Attendant Care (CDAC) - unskilled Total:							6660024.23
CDAC- Individual - 15 Minutes		15 Minutes	267	4389.30	3.60	4218995.16	
CDAC- Agency - 15 Minutes		15 Minutes	178	1195.82	5.41	1151550.74	
CDAC- Individual - 15 Minutes - FFS		15 Minutes	64	4389.30	3.60	1011294.72	
CDAC- Agency - 15 Minutes - FFS		15 Minutes	43	1195.82	5.41	278183.61	
Enabling Technology for Remote Support Total:							2421225.00
1 job		1 job	600	9.00	425.00	2295000.00	
FFS 1 job		1 job	33	9.00	425.00	126225.00	
GRAND TOTAL:							522567141.16
Total: Services included in capitation:							432735790.30
Total: Services not included in capitation:							89831350.86
Total Estimated Unduplicated Participants:							14203
Factor D (Divide total by number of participants):							36792.73
Services included in capitation:							30467.91
Services not included in capitation:							6324.82
Average Length of Stay on the Waiver:							353

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home and Vehicle Modification Total:							137927.75
Home and Vehicle Modifications		Occurrence	41	1.02	2291.92	95848.09	
Home and Vehicle Modifications - FFS		Occurrence	18	1.02	2291.92	42079.65	
Interim Medical Monitoring and Treatment Total:							438104.02
IMMT - Nurse		15 Minutes	0	2720.00	8.54	0.00	
IMMT - Aide or CNA		15 Minutes	16	1824.67	6.89	201151.62	
IMMT - Nurse - FFS		15 Minutes	1	2720.00	8.54	23228.80	
IMMT - Aide or CNA - FFS		15 Minutes	17	1824.67	6.89	213723.60	
Medical Day Care for Children Total:							7900200.00
15 min unit		15 Minutes	110	5600.00	8.55	5266800.00	
FFS 15 min unit		15 Minutes	55	5600.00	8.55	2633400.00	
Personal Emergency Response or Portable Locator System Total:							119929.40
Personal Emergency Response-Ongoing		Installation	217	10.52	44.14	100764.56	
Personal Emergency Response-Initial		Installation	51	1.00	221.81	11312.31	
Personal Emergency Response-Ongoing - FFS		Installation	15	10.52	44.14	6965.29	
Personal Emergency Response-Initial - FFS		Installation	4	1.00	221.81	887.24	
GRAND TOTAL:							522567141.16
Total: Services included in capitation:							432735790.30
Total: Services not included in capitation:							89831350.86
Total Estimated Unduplicated Participants:							14203
Factor D (Divide total by number of participants):							36792.73
Services included in capitation:							30467.91
Services not included in capitation:							6324.82
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Supported Community Living Total:							345918000.10
Supported Community Living, Per Day	<input type="checkbox"/>	Day	4459	323.27	190.55	274670380.21	
Supported Community Living, 15 Minutes	<input type="checkbox"/>	15 Minutes	5044	1038.78	8.15	42702791.51	
Supported Community Living, Per Day - FFS	<input type="checkbox"/>	Day	401	323.27	190.55	24701238.50	
Supported Community Living, 15 Minutes - FFS	<input type="checkbox"/>	15 Minutes	454	1038.78	8.15	3843589.88	
Transportation Total:							13622005.50
Per Trip	<input type="checkbox"/>	Trip	3056	1098.90	2.48	8328431.23	
Per Mile	<input type="checkbox"/>	Mile	1428	3873.13	0.62	3429114.38	
Per Trip - FFS	<input type="checkbox"/>	Trip	485	1098.90	2.48	1321756.92	
Per Mile - FFS	<input type="checkbox"/>	Mile	226	3873.13	0.62	542702.98	
GRAND TOTAL:							522567141.16
Total: Services included in capitation:							432735790.30
Total: Services not included in capitation:							89831350.86
Total Estimated Unduplicated Participants:							14203
Factor D (Divide total by number of participants):							36792.73
Services included in capitation:							30467.91
Services not included in capitation:							6324.82
Average Length of Stay on the Waiver:							353

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d. Estimate of Factor D.

ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 2

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							4264294.00
Adult Day Care-Full Day		Day	375	130.87	67.07	3291544.09	
Adult Day Care-Half Day		Half Day	242	68.29	35.03	578912.09	
Adult Day Care - 15 Minutes		15 Minutes	5	1245.33	4.23	26338.73	
Adult Day Care-Full Day - FFS		Day	35	130.87	67.07	307210.78	
Adult Day Care-Half Day - FFS		Half Day	23	68.29	35.03	55020.57	
Adult Day Care - 15 Minutes - FFS		15 Minutes	1	1245.33	4.23	5267.75	
Day Habilitation Total:							44178737.97
Day Habilitation, ID Waiver, Per Day		Day	3901	102.59	76.67	30683609.25	
Day Habilitation, ID Waiver, 15 Minutes		15 Minutes	3186	1047.86	2.62	8746822.74	
Day Habilitation, ID Waiver, Per Day - FFS		Day	470	102.59	76.67	3696820.39	
Day Habilitation, ID Waiver, 15 Minutes - FFS		15 Minutes	383	1047.86	2.62	1051485.60	
Prevocational Services Total:							16944511.67
Prevocational Service, full day		Day	1647	110.52	62.33	11345708.01	
Prevocational Service, hour		Hour	1464	69.23	36.74	3723698.93	
Prevocational Service, full day - FFS		Day	205	110.52	62.33	1412185.88	
Prevocational Service, hour - FFS		Hour	182	69.23	36.74	462918.86	
GRAND TOTAL:							527552365.01
Total: Services included in capitation:							448353170.86
Total: Services not included in capitation:							79199194.15
Total Estimated Unduplicated Participants:							14345
Factor D (Divide total by number of participants):							36776.04
Services included in capitation:							31255.01
Services not included in capitation:							5521.03
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Residential Based Supported Community Living Total:							2966968.40
Residential Based Supported Community living - day		Day	49	192.89	290.22	2743046.25	
Residential Based Supported Community living - day - FFS		Day	4	192.89	290.22	223922.14	
Respite Total:							11640671.18
Respite Resident Camp-Weeklong		15 Minutes	284	130.28	4.91	181667.64	
Respite-RCF/ID		15 Minutes	1	204.00	3.90	795.60	
Respite-HHA Specialized		15 Minutes	2	558.00	11.41	12733.56	
Respite-ICF/ID		15 Minutes	2	170.67	3.84	1310.75	
Teen Day Camp - 13 to 21 Years Old		15 Minutes	6	755.00	3.88	17576.40	
Group Specialized Summer Day Camp		15 Minutes	65	137.40	11.32	101098.92	
Respite-Homa Care Agency & Non-Facility, Group		15 Minutes	1042	584.83	3.57	2175532.51	
Respite-Homa Care Agency & Non-Facility, Basic Individual		15 Minutes	2531	593.71	4.18	6281202.44	
Respite-Homa Care Agency & Non-Facility, Specialized		15 Minutes	10	772.67	9.71	75026.26	
Respite-Camp		15 Minutes	216	689.16	3.21	477835.98	
Respite-HHA Basic		15 Minutes	2	828.00	5.27	8727.12	
GRAND TOTAL:						527552365.01	
Total: Services included in capitation:						448353170.86	
Total: Services not included in capitation:						79199194.15	
Total Estimated Unduplicated Participants:						14345	
Factor D (Divide total by number of participants):						36776.04	
Services included in capitation:						31255.01	
Services not included in capitation:						5521.03	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Individual							
Group Summer Day Camp - Group Recreational		15 Minutes	13	687.20	3.88	34662.37	
Respite-Nursing Facility		15 Minutes	1	528.00	3.62	1911.36	
Respite Resident Camp-Weeklong - FFS		15 Minutes	69	130.28	4.91	44137.56	
Respite-RCF/ID - FFS		15 Minutes	0	204.00	3.90	0.00	
Respite-HHA Specialized - FFS		15 Minutes	0	558.00	11.41	0.00	
Respite-ICF/ID - FFS		15 Minutes	1	170.67	3.84	655.37	
Teen Day Camp - 13 to 21 Years Old - FFS		15 Minutes	2	755.00	3.88	5858.80	
Group Specialized Summer Day Camp - FFS		15 Minutes	16	137.40	11.32	24885.89	
Respite-Homa Care Agency & Non-Facility, Group - FFS		15 Minutes	253	584.83	3.57	528224.30	
Respite-Homa Care Agency & Non-Facility, Basic Individual - FFS		15 Minutes	613	593.71	4.18	1521286.88	
Respite-Homa Care Agency & Non-Facility, Specialized - FFS		15 Minutes	3	772.67	9.71	22507.88	
Respite-Camp - FFS		15 Minutes	52	689.16	3.21	115034.59	
Respite-HHA Basic Individual - FFS		15 Minutes	0	828.00	5.27	0.00	
Group Summer Day		15 Minutes	3	687.20	3.88	7999.01	
GRAND TOTAL:						527552365.01	
Total: Services included in capitation:						448353170.86	
Total: Services not included in capitation:						79199194.15	
Total Estimated Unduplicated Participants:						14345	
Factor D (Divide total by number of participants):						36776.04	
Services included in capitation:						31255.01	
Services not included in capitation:						5521.03	
Average Length of Stay on the Waiver:							353

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp - Group Recreational - FFS							
Respite-Nursing Facility - FFS		15 Minutes	0	528.00	3.62	0.00	
Supported Employment Total:							18826644.01
Maintain Employment - Individual		15 Minutes	660	18.12	67.63	808800.70	
Maintain Employment - Small Group		15 Minutes	826	1102.77	2.90	2641575.26	
Long Term Job Coaching		Month	2780	4.28	1151.76	13704101.18	
Maintain Employment - Individual - FFS		15 Minutes	38	18.12	67.63	46567.31	
Maintain Employment - Small Group - FFS		15 Minutes	106	1102.77	2.90	338991.50	
Long Term Job Coaching - FFS		Month	261	4.28	1151.76	1286608.06	
Home Health Aide Services Total:							201983.83
Home Health Aide Services		Hour	34	24.97	212.87	180722.37	
Home Health Aide Services - FFS		Hour	4	24.97	212.87	21261.46	
Nursing Total:							31246.08
Nursing Care in the Home/LPN; Per Hour		Visit	15	12.00	25.28	4550.40	
Nursing Care in the Home/RN; Per Hour		Visit	5	12.00	25.28	1516.80	
Nursing Care in the Home/LPN; Per Hour - FFS		Visit	64	12.00	25.28	19415.04	
Nursing Care in the		Visit	19	12.00	25.28	5763.84	
GRAND TOTAL:							527552365.01
Total: Services included in capitation:							448353170.86
Total: Services not included in capitation:							79199194.15
Total Estimated Unduplicated Participants:							14345
Factor D (Divide total by number of participants):							36776.04
Services included in capitation:							31255.01
Services not included in capitation:							5521.03
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Home/RN; Per Hour - FFS							
Financial Management Services Total:							3584123.44
Financial Management Services		Month	222	9.59	182.31	388134.34	
Financial Management Services - FFS		Month	1828	9.59	182.31	3195989.10	
Independent Support Broker Total:							354460.78
Independent Support Broker		Month	222	9.59	18.03	3885.51	
Independent Support Broker - FFS		Month	1828	9.59	18.03	316075.28	
Individual Directed Goods and Services Total:							1105453.69
Individual Directed Goods and Services		Month	222	9.59	56.23	119712.55	
Individual Directed Goods and Services - FFS		Month	1828	9.59	56.23	985741.14	
Self Directed Community Support and Employment Total:							15880747.51
Self Directed Community Support and Employment		Month	222	9.59	807.79	1719768.75	
Self Directed Community Support and Employment - FFS		Month	1828	9.59	807.79	14160978.75	
Self Directed Personal Care Total:							14761532.17
Self Directed Personal Care		Month	222	9.59	750.86	1598565.92	
Self Directed Personal Care		Month	1828	9.59	750.86	13162966.25	
GRAND TOTAL:							527552365.01
Total: Services included in capitation:							448353170.86
Total: Services not included in capitation:							79199194.15
Total Estimated Unduplicated Participants:							14345
Factor D (Divide total by number of participants):							36776.04
Services included in capitation:							31255.01
Services not included in capitation:							5521.03
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
- FFS							
Consumer Directed Attendant Care (CDAC) - skilled Total:							783755.86
CDAC - Individual - 15 Minutes		15 Minutes	31	4389.30	3.67	499370.66	
CDAC - Agency - 15 Minutes		15 Minutes	21	1195.82	5.52	138619.45	
CDAC - Individual - 15 Minutes - FFS		15 Minutes	7	4389.30	3.67	112761.12	
CDAC - Agency - 15 Minutes - FFS		15 Minutes	5	1195.82	5.52	33004.63	
Consumer Directed Attendant Care (CDAC) - unskilled Total:							6929959.52
CDAC- Individual - 15 Minutes		15 Minutes	273	4389.30	3.67	4397683.56	
CDAC- Agency - 15 Minutes		15 Minutes	182	1195.82	5.52	1201368.60	
CDAC- Individual - 15 Minutes - FFS		15 Minutes	65	4389.30	3.67	1047067.52	
CDAC- Agency - 15 Minutes - FFS		15 Minutes	43	1195.82	5.52	283839.84	
Enabling Technology for Remote Support Total:							2421225.00
1 job		1 job	600	9.00	425.00	2295000.00	
FFS 1 job		1 job	33	9.00	425.00	126225.00	
Home and Vehicle Modification Total:							143070.91
Home and Vehicle Modifications		Occurrence	42	1.02	2337.76	100149.64	
Home and						42921.27	
GRAND TOTAL:							527552365.01
Total: Services included in capitation:							448353170.86
Total: Services not included in capitation:							79199194.15
Total Estimated Unduplicated Participants:							14345
Factor D (Divide total by number of participants):							36776.04
Services included in capitation:							31255.01
Services not included in capitation:							5521.03
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Vehicle Modifications - FFS		Occurrence	18	1.02	2337.76		
Interim Medical Monitoring and Treatment Total:							459823.82
IMMT - Nurse		15 Minutes	0	2720.00	8.71	0.00	
IMMT - Aide or CNA		15 Minutes	16	1824.67	7.03	205238.88	
IMMT - Nurse - FFS		15 Minutes	1	2720.00	8.71	23691.20	
IMMT - Aide or CNA - FFS		15 Minutes	18	1824.67	7.03	230893.74	
Medical Day Care for Children Total:							7900200.00
15 min unit		15 Minutes	110	5600.00	8.55	5266800.00	
FFS 15 min unit		15 Minutes	55	5600.00	8.55	2633400.00	
Personal Emergency Response or Portable Locator System Total:							126725.66
Personal Emergency Response-Ongoing		Installation	222	10.52	45.02	105141.51	
Personal Emergency Response-Initial		Installation	60	1.00	226.25	13575.00	
Personal Emergency Response-Ongoing - FFS		Installation	15	10.52	45.02	7104.16	
Personal Emergency Response-Initial - FFS		Installation	4	1.00	226.25	905.00	
Supported Community Living Total:							359887684.40
Supported Community Living, Per Day		Day	4548	323.27	194.36	285754283.75	
GRAND TOTAL:							527552365.01
Total: Services included in capitation:							448353170.86
Total: Services not included in capitation:							79199194.15
Total Estimated Unduplicated Participants:							14345
Factor D (Divide total by number of participants):							36776.04
Services included in capitation:							31255.01
Services not included in capitation:							5521.03
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Supported Community Living, 15 Minutes		15 Minutes	5148	1038.78	8.31	44438883.75	
Supported Community Living, Per Day - FFS		Day	409	323.27	194.36	25697779.69	
Supported Community Living, 15 Minutes - FFS		15 Minutes	463	1038.78	8.31	3996737.21	
Transportation Total:							14158545.10
Per Trip		Trip	3118	1098.90	2.53	8668716.61	
Per Mile		Mile	1456	3873.13	0.63	3552744.69	
Per Trip - FFS		Trip	494	1098.90	2.53	1373427.20	
Per Mile - FFS		Mile	231	3873.13	0.63	563656.61	
GRAND TOTAL:						527552365.01	
Total: Services included in capitation:						448353170.86	
Total: Services not included in capitation:						79199194.15	
Total Estimated Unduplicated Participants:						14345	
Factor D (Divide total by number of participants):						36776.04	
Services included in capitation:						31255.01	
Services not included in capitation:						5521.03	
Average Length of Stay on the Waiver:							353

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (7 of 9)

d. Estimate of Factor D.

ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 3

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							4435796.79
GRAND TOTAL:						552528377.66	
Total: Services included in capitation:						469903826.71	
Total: Services not included in capitation:						82624550.95	
Total Estimated Unduplicated Participants:						14488	
Factor D (Divide total by number of participants):						38136.97	
Services included in capitation:						32434.00	
Services not included in capitation:						5702.96	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care-Full Day		Day	382	130.87	68.41	3419975.98	
Adult Day Care-Half Day		Half Day	248	68.29	35.73	605120.42	
Adult Day Care - 15 Minutes		15 Minutes	5	1245.33	4.32	26899.13	
Adult Day Care-Full Day - FFS		Day	36	130.87	68.41	322301.40	
Adult Day Care-Half Day - FFS		Half Day	23	68.29	35.73	56120.04	
Adult Day Care - 15 Minutes - FFS		15 Minutes	1	1245.33	4.32	5379.83	
Day Habilitation Total:							45966978.57
Day Habilitation, ID Waiver, Per Day		Day	3979	102.59	78.21	31925760.76	
Day Habilitation, ID Waiver, 15 Minutes		15 Minutes	3253	1047.86	2.67	9101198.51	
Day Habilitation, ID Waiver, Per Day - FFS		Day	479	102.59	78.21	3843287.11	
Day Habilitation, ID Waiver, 15 Minutes - FFS		15 Minutes	392	1047.86	2.67	1096732.19	
Prevocational Services Total:							17630310.69
Prevocational Service, full day		Day	1680	110.52	63.58	11805127.49	
Prevocational Service, hour		Hour	1493	69.23	37.48	3873947.42	
Prevocational Service, full day - FFS		Day	209	110.52	63.58	1468614.07	
Prevocational Service, hour - FFS		Hour	186	69.23	37.48	482621.71	
Residential Based Supported							3140567.47
GRAND TOTAL:							552528377.66
Total: Services included in capitation:							469903826.71
Total: Services not included in capitation:							82624550.95
Total Estimated Unduplicated Participants:							14488
Factor D (Divide total by number of participants):							38136.97
Services included in capitation:							32434.00
Services not included in capitation:							5702.96
Average Length of Stay on the Waiver:							353

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Community Living Total:							
Residential Based Supported Community living - day		Day	50	192.89	296.03	2855061.34	
Residential Based Supported Community living - day - FFS		Day	5	192.89	296.03	285506.13	
Respite Total:							12130072.03
Respite Resident Camp-Weeklong		15 Minutes	290	130.28	5.00	188906.00	
Respite-RCF/ID		15 Minutes	1	204.00	3.97	809.88	
Respite-HHA Specialized		15 Minutes	2	558.00	11.64	12990.24	
Respite-ICF/ID		15 Minutes	2	170.67	3.91	1334.64	
Teen Day Camp - 13 to 21 Years Old		15 Minutes	6	755.00	3.95	17893.50	
Group Specialized Summer Day Camp		15 Minutes	66	137.40	11.55	104740.02	
Respite-Homa Care Agency & Non-Facility, Group		15 Minutes	1063	584.83	3.64	2262894.42	
Respite-Homa Care Agency & Non-Facility, Basic Individual		15 Minutes	2582	593.71	4.27	6545735.87	
Respite-Homa Care Agency & Non-Facility, Specialized		15 Minutes	11	772.67	9.90	84143.76	
Respite-Camp		15 Minutes	221	689.16	3.28	499558.30	
Respite-HHA Basic Individual		15 Minutes	2	828.00	5.38	8909.28	
Group Summer Day		15 Minutes				38002.16	
GRAND TOTAL:						552528377.66	
Total: Services included in capitation:						469903826.71	
Total: Services not included in capitation:						82624550.95	
Total Estimated Unduplicated Participants:						14488	
Factor D (Divide total by number of participants):						38136.97	
Services included in capitation:						32434.00	
Services not included in capitation:						5702.96	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp - Group Recreational			14	687.20	3.95		
Respite-Nursing Facility		15 Minutes	1	528.00	3.69	1948.32	
Respite Resident Camp-Weeklong - FFS		15 Minutes	70	130.28	5.00	45598.00	
Respite-RCF/ID - FFS		15 Minutes	0	204.00	3.97	0.00	
Respite-HHA Specialized - FFS		15 Minutes	0	558.00	11.64	0.00	
Respite-ICF/ID - FFS		15 Minutes	1	170.67	3.91	667.32	
Teen Day Camp - 13 to 21 Years Old - FFS		15 Minutes	2	755.00	3.95	5964.50	
Group Specialized Summer Day Camp - FFS		15 Minutes	16	137.40	11.55	25391.52	
Respite-Homa Care Agency & Non-Facility, Group - FFS		15 Minutes	258	584.83	3.64	549225.55	
Respite-Homa Care Agency & Non-Facility, Basic Individual - FFS		15 Minutes	625	593.71	4.27	1584463.56	
Respite-Homa Care Agency & Non-Facility, Specialized - FFS		15 Minutes	3	772.67	9.90	22948.30	
Respite-Camp - FFS		15 Minutes	53	689.16	3.28	119803.57	
Respite-HHA Basic Individual - FFS		15 Minutes	0	828.00	5.38	0.00	
Group Summer Day Camp - Group Recreational - FFS		15 Minutes	3	687.20	3.95	8143.32	

GRAND TOTAL:						552528377.66	
Total: Services included in capitation:						469903826.71	
Total: Services not included in capitation:						82624550.95	
Total Estimated Unduplicated Participants:						14488	
Factor D (Divide total by number of participants):						38136.97	
Services included in capitation:						32434.00	
Services not included in capitation:						5702.96	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite-Nursing Facility - FFS		15 Minutes	0	528.00	3.69	0.00	
Supported Employment Total:							19581441.17
Maintain Employment - Individual		15 Minutes	673	18.12	68.98	841194.54	
Maintain Employment - Small Group		15 Minutes	843	1102.77	2.96	2751719.93	
Long Term Job Coaching		Month	2835	4.28	1174.80	14254788.24	
Maintain Employment - Individual - FFS		15 Minutes	39	18.12	68.98	48746.79	
Maintain Employment - Small Group - FFS		15 Minutes	108	1102.77	2.96	352533.51	
Long Term Job Coaching - FFS		Month	265	4.28	1174.80	1332458.16	
Home Health Aide Services Total:							206025.97
Home Health Aide Services		Hour	34	24.97	217.13	184339.03	
Home Health Aide Services - FFS		Hour	4	24.97	217.13	21686.94	
Nursing Total:							32482.80
Nursing Care in the Home/LPN; Per Hour		Visit	15	12.00	25.78	4640.40	
Nursing Care in the Home/RN; Per Hour		Visit	5	12.00	25.78	1546.80	
Nursing Care in the Home/LPN; Per Hour - FFS		Visit	65	12.00	25.78	20108.40	
Nursing Care in the Home/RN; Per Hour - FFS		Visit	20	12.00	25.78	6187.20	
Financial							3728998.23
GRAND TOTAL:							552528377.66
Total: Services included in capitation:							469903826.71
Total: Services not included in capitation:							82624550.95
Total Estimated Unduplicated Participants:							14488
Factor D (Divide total by number of participants):							38136.97
Services included in capitation:							32434.00
Services not included in capitation:							5702.96
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Management Services Total:							
Financial Management Services		Month	227	9.59	185.96	404821.90	
Financial Management Services - FFS		Month	1864	9.59	185.96	3324176.33	
Independent Support Broker Total:							368768.97
Independent Support Broker		Month	227	9.59	18.39	40033.74	
Independent Support Broker - FFS		Month	1864	9.59	18.39	328735.23	
Individual Directed Goods and Services Total:							1150222.30
Individual Directed Goods and Services		Month	227	9.59	57.36	124868.70	
Individual Directed Goods and Services - FFS		Month	1864	9.59	57.36	1025353.59	
Self Directed Community Support and Employment Total:							16522413.93
Self Directed Community Support and Employment		Month	227	9.59	823.95	1793681.47	
Self Directed Community Support and Employment - FFS		Month	1864	9.59	823.95	14728732.45	
Self Directed Personal Care Total:							15357954.22
Self Directed Personal Care		Month	227	9.59	765.88	1667267.15	
Self Directed Personal Care - FFS		Month	1864	9.59	765.88	13690687.07	
Consumer Directed Attendant Care							800519.38
GRAND TOTAL:							552528377.66
Total: Services included in capitation:							469903826.71
Total: Services not included in capitation:							82624550.95
Total Estimated Unduplicated Participants:							14488
Factor D (Divide total by number of participants):							38136.97
Services included in capitation:							32434.00
Services not included in capitation:							5702.96
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
(CDAC) - skilled Total:							
CDAC - Individual - 15 Minutes		15 Minutes	31	4389.30	3.75	510256.12	
CDAC - Agency - 15 Minutes		15 Minutes	21	1195.82	5.63	141381.80	
CDAC - Individual - 15 Minutes - FFS		15 Minutes	7	4389.30	3.75	115219.12	
CDAC - Agency - 15 Minutes - FFS		15 Minutes	5	1195.82	5.63	33662.33	
Consumer Directed Attendant Care (CDAC) - unskilled Total:							7227124.19
CDAC- Individual - 15 Minutes		15 Minutes	279	4389.30	3.75	4592305.12	
CDAC- Agency - 15 Minutes		15 Minutes	186	1195.82	5.63	1252238.79	
CDAC- Individual - 15 Minutes - FFS		15 Minutes	66	4389.30	3.75	1086351.75	
CDAC- Agency - 15 Minutes - FFS		15 Minutes	44	1195.82	5.63	296228.53	
Enabling Technology for Remote Support Total:							2421225.00
1 job		1 job	600	9.00	425.00	2295000.00	
FFS 1 job		1 job	33	9.00	425.00	126225.00	
Home and Vehicle Modification Total:							148364.21
Home and Vehicle Modifications		Occurrence	42	1.02	2384.51	102152.41	
Home and Vehicle Modifications - FFS		Occurrence	19	1.02	2384.51	46211.80	
Interim Medical							468998.85
GRAND TOTAL:						552528377.66	
Total: Services included in capitation:						469903826.71	
Total: Services not included in capitation:						82624550.95	
Total Estimated Unduplicated Participants:						14488	
Factor D (Divide total by number of participants):						38136.97	
Services included in capitation:						32434.00	
Services not included in capitation:						5702.96	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Monitoring and Treatment Total:							
IMMT - Nurse		15 Minutes	0	2720.00	8.89	0.00	
IMMT - Aide or CNA		15 Minutes	16	1824.67	7.17	209326.14	
IMMT - Nurse - FFS		15 Minutes	1	2720.00	8.89	24180.80	
IMMT - Aide or CNA - FFS		15 Minutes	18	1824.67	7.17	235491.91	
Medical Day Care for Children Total:							7900200.00
15 min unit		15 Minutes	110	5600.00	8.55	5266800.00	
FFS 15 min unit		15 Minutes	55	5600.00	8.55	2633400.00	
Personal Emergency Response or Portable Locator System Total:							131652.71
Personal Emergency Response-Ongoing		Installation	225	10.52	45.92	108692.64	
Personal Emergency Response-Initial		Installation	62	1.00	230.77	14307.74	
Personal Emergency Response-Ongoing - FFS		Installation	16	10.52	45.92	7729.25	
Personal Emergency Response-Initial - FFS		Installation	4	1.00	230.77	923.08	
Supported Community Living Total:							378322144.17
Supported Community Living, Per Day		Day	4687	323.27	198.25	300381756.64	
Supported Community Living, 15 Minutes		15 Minutes	5308	1038.78	8.48	46757399.16	
GRAND TOTAL:							552528377.66
Total: Services included in capitation:							469903826.71
Total: Services not included in capitation:							82624550.95
Total Estimated Unduplicated Participants:							14488
Factor D (Divide total by number of participants):							38136.97
Services included in capitation:							32434.00
Services not included in capitation:							5702.96
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Supported Community Living, Per Day - FFS		Day	421	323.27	198.25	26981164.83	
Supported Community Living, 15 Minutes - FFS		15 Minutes	477	1038.78	8.48	4201823.55	
Transportation Total:							14856115.99
Per Trip		Trip	3188	1098.90	2.58	9038496.46	
Per Mile		Mile	1503	3873.13	0.65	3783854.35	
Per Trip - FFS		Trip	506	1098.90	2.58	1434591.97	
Per Mile - FFS		Mile	238	3873.13	0.65	599173.21	
GRAND TOTAL:						552528377.66	
Total: Services included in capitation:						469903826.71	
Total: Services not included in capitation:						82624550.95	
Total Estimated Unduplicated Participants:						14488	
Factor D (Divide total by number of participants):						38136.97	
Services included in capitation:						32434.00	
Services not included in capitation:						5702.96	
Average Length of Stay on the Waiver:							353

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (8 of 9)

d. Estimate of Factor D.

ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 4

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							4624030.30
Adult Day Care-Full Day		Day	390	130.87	69.77	3561011.96	
GRAND TOTAL:						570063147.92	
Total: Services included in capitation:						484538121.76	
Total: Services not included in capitation:						85525026.17	
Total Estimated Unduplicated Participants:						14633	
Factor D (Divide total by number of participants):						38957.37	
Services included in capitation:						33112.70	
Services not included in capitation:						5844.67	
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care-Half Day		Half Day	252	68.29	36.44	627098.88	
Adult Day Care - 15 Minutes		15 Minutes	6	1245.33	4.40	32876.71	
Adult Day Care-Full Day - FFS		Day	37	130.87	69.77	337839.60	
Adult Day Care-Half Day - FFS		Half Day	24	68.29	36.44	59723.70	
Adult Day Care - 15 Minutes - FFS		15 Minutes	1	1245.33	4.40	5479.45	
Day Habilitation Total:							47832471.16
Day Habilitation, ID Waiver, Per Day		Day	4058	102.59	79.77	33209066.25	
Day Habilitation, ID Waiver, 15 Minutes		15 Minutes	3314	1047.86	2.73	9480219.95	
Day Habilitation, ID Waiver, Per Day - FFS		Day	489	102.59	79.77	4001782.50	
Day Habilitation, ID Waiver, 15 Minutes - FFS		15 Minutes	399	1047.86	2.73	1141402.46	
Prevocational Services Total:							18343784.43
Prevocational Service, full day		Day	1714	110.52	64.85	12284618.51	
Prevocational Service, hour		Hour	1524	69.23	38.22	4032459.19	
Prevocational Service, full day - FFS		Day	213	110.52	64.85	1526618.29	
Prevocational Service, hour - FFS		Hour	189	69.23	38.22	500088.44	
Residential Based Supported Community Living Total:							3261615.59
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Residential Based Supported Community living - day		Day	51	192.89	301.95	2970399.91	
Residential Based Supported Community living - day - FFS		Day	5	192.89	301.95	291215.68	
Respite Total:							12602472.76
Respite Resident Camp-Weeklong		15 Minutes	295	130.28	5.10	196006.26	
Respite-RCF/ID		15 Minutes	1	204.00	4.05	826.20	
Respite-HHA Specialized		15 Minutes	2	558.00	11.87	13246.92	
Respite-ICF/ID		15 Minutes	2	170.67	3.99	1361.95	
Teen Day Camp - 13 to 21 Years Old		15 Minutes	6	755.00	4.03	18255.90	
Group Specialized Summer Day Camp		15 Minutes	68	137.40	11.78	110062.90	
Respite-Homa Care Agency & Non-Facility, Group		15 Minutes	1085	584.83	3.71	2354145.44	
Respite-Homa Care Agency & Non-Facility, Basic Individual		15 Minutes	2633	593.71	4.35	6800087.17	
Respite-Homa Care Agency & Non-Facility, Specialized		15 Minutes	11	772.67	10.10	85843.64	
Respite-Camp		15 Minutes	225	689.16	3.34	517903.74	
Respite-HHA Basic Individual		15 Minutes	2	828.00	5.49	9091.44	
Group Summer Day Camp - Group		15 Minutes	14	687.20	4.03	38771.82	
GRAND TOTAL:						570063147.92	
Total: Services included in capitation:						484538121.76	
Total: Services not included in capitation:						85525026.17	
Total Estimated Unduplicated Participants:						14633	
Factor D (Divide total by number of participants):						38957.37	
Services included in capitation:						33112.70	
Services not included in capitation:						5844.67	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Recreational							
Respite-Nursing Facility		15 Minutes	1	528.00	3.77	1990.56	
Respite Resident Camp-Weeklong - FFS		15 Minutes	72	130.28	5.10	47838.82	
Respite-RCF/ID - FFS		15 Minutes	0	204.00	4.05	0.00	
Respite-HHA Specialized - FFS		15 Minutes	0	558.00	11.87	0.00	
Respite-ICF/ID - FFS		15 Minutes	1	170.67	3.99	680.97	
Teen Day Camp - 13 to 21 Years Old - FFS		15 Minutes	2	755.00	4.03	6085.30	
Group Specialized Summer Day Camp - FFS		15 Minutes	16	137.40	11.78	25897.15	
Respite-Homa Care Agency & Non-Facility, Group - FFS		15 Minutes	263	584.83	3.71	570636.18	
Respite-Homa Care Agency & Non-Facility, Basic Individual - FFS		15 Minutes	638	593.71	4.35	1647723.36	
Respite-Homa Care Agency & Non-Facility, Specialized - FFS		15 Minutes	3	772.67	10.10	23411.90	
Respite-Camp - FFS		15 Minutes	54	689.16	3.34	124296.90	
Respite-HHA Basic Individual - FFS		15 Minutes	0	828.00	5.49	0.00	
Group Summer Day Camp - Group Recreational - FFS		15 Minutes	3	687.20	4.03	8308.25	
Respite-						0.00	
GRAND TOTAL:						570063147.92	
Total: Services included in capitation:						484538121.76	
Total: Services not included in capitation:						85525026.17	
Total Estimated Unduplicated Participants:						14633	
Factor D (Divide total by number of participants):						38957.37	
Services included in capitation:						33112.70	
Services not included in capitation:						5844.67	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Nursing Facility - FFS		15 Minutes	0	528.00	3.77		
Supported Employment Total:							20362241.77
Maintain Employment - Individual		15 Minutes	686	18.12	70.36	874597.32	
Maintain Employment - Small Group		15 Minutes	860	1102.77	3.01	2854630.42	
Long Term Job Coaching		Month	2892	4.28	1198.29	14832146.03	
Maintain Employment - Individual - FFS		15 Minutes	40	18.12	70.36	50996.93	
Maintain Employment - Small Group - FFS		15 Minutes	110	1102.77	3.01	365127.15	
Long Term Job Coaching - FFS		Month	270	4.28	1198.29	1384743.92	
Home Health Aide Services Total:							215674.13
Home Health Aide Services		Hour	35	24.97	221.47	193553.71	
Home Health Aide Services - FFS		Hour	4	24.97	221.47	22120.42	
Nursing Total:							33769.20
Nursing Care in the Home/LPN; Per Hour		Visit	16	12.00	26.30	5049.60	
Nursing Care in the Home/RN; Per Hour		Visit	5	12.00	26.30	1578.00	
Nursing Care in the Home/LPN; Per Hour - FFS		Visit	66	12.00	26.30	20829.60	
Nursing Care in the Home/RN; Per Hour - FFS		Visit	20	12.00	26.30	6312.00	
Financial Management							387993.55
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Services Total:							
Financial Management Services		Month	231	9.59	189.68	420196.21	
Financial Management Services - FFS		Month	1902	9.59	189.68	3459797.34	
Independent Support Broker Total:							383744.62
Independent Support Broker		Month	231	9.59	18.76	41558.84	
Independent Support Broker - FFS		Month	1902	9.59	18.76	342185.78	
Individual Directed Goods and Services Total:							1196645.00
Individual Directed Goods and Services		Month	231	9.59	58.50	129594.46	
Individual Directed Goods and Services - FFS		Month	1902	9.59	58.50	1067050.53	
Self Directed Community Support and Employment Total:							17191186.10
Self Directed Community Support and Employment		Month	231	9.59	840.42	1861774.02	
Self Directed Community Support and Employment - FFS		Month	1902	9.59	840.42	15329412.08	
Self Directed Personal Care Total:							15979813.16
Self Directed Personal Care		Month	231	9.59	781.20	1730584.55	
Self Directed Personal Care - FFS		Month	1902	9.59	781.20	14249228.62	
Consumer Directed Attendant Care (CDAC) -							839246.10
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
skilled Total:							
CDAC - Individual - 15 Minutes		15 Minutes	31	4389.30	3.82	519780.91	
CDAC - Agency - 15 Minutes		15 Minutes	22	1195.82	5.74	151008.15	
CDAC - Individual - 15 Minutes - FFS		15 Minutes	8	4389.30	3.82	134137.01	
CDAC - Agency - 15 Minutes - FFS		15 Minutes	5	1195.82	5.74	34320.03	
Consumer Directed Attendant Care (CDAC) - unskilled Total:							7498302.82
CDAC- Individual - 15 Minutes		15 Minutes	283	4389.30	3.82	4745096.66	
CDAC- Agency - 15 Minutes		15 Minutes	190	1195.82	5.74	1304161.29	
CDAC- Individual - 15 Minutes - FFS		15 Minutes	68	4389.30	3.82	1140164.57	
CDAC- Agency - 15 Minutes - FFS		15 Minutes	45	1195.82	5.74	308880.31	
Enabling Technology for Remote Support Total:							2421225.00
1 job		1 job	600	9.00	425.00	2295000.00	
FFS 1 job		1 job	33	9.00	425.00	126225.00	
Home and Vehicle Modification Total:							156293.17
Home and Vehicle Modifications		Occurrence	44	1.02	2432.20	109157.14	
Home and Vehicle Modifications - FFS		Occurrence	19	1.02	2432.20	47136.04	
Interim Medical Monitoring and							491485.02
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Treatment Total:							
IMMT - Nurse		15 Minutes	0	2720.00	9.06	0.00	
IMMT - Aide or CNA		15 Minutes	17	1824.67	7.31	226751.74	
IMMT - Nurse - FFS		15 Minutes	1	2720.00	9.06	24643.20	
IMMT - Aide or CNA - FFS		15 Minutes	18	1824.67	7.31	240090.08	
Medical Day Care for Children Total:							7900200.00
15 min unit		15 Minutes	110	5600.00	8.55	5266800.00	
FFS 15 min unit		15 Minutes	55	5600.00	8.55	2633400.00	
Personal Emergency Response or Portable Locator System Total:							136991.30
Personal Emergency Response-Ongoing		Installation	230	10.52	46.84	113334.06	
Personal Emergency Response-Initial		Installation	63	1.00	235.39	14829.57	
Personal Emergency Response-Ongoing - FFS		Installation	16	10.52	46.84	7884.11	
Personal Emergency Response-Initial - FFS		Installation	4	1.00	235.89	943.56	
Supported Community Living Total:							389365910.80
Supported Community Living, Per Day		Day	4729	323.27	202.21	309127289.86	
Supported Community Living, 15 Minutes		15 Minutes	5356	1038.78	8.65	48126054.13	
Supported Community		Day				27781581.35	
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Living, Per Day - FFS			425	323.27	202.21		
Supported Community Living, 15 Minutes - FFS		15 Minutes	482	1038.78	8.65	4330985.45	
Transportation Total:							15346051.95
Per Trip		Trip	3244	1098.90	2.63	9375507.11	
Per Mile		Mile	1515	3873.13	0.66	3872742.69	
Per Trip - FFS		Trip	514	1098.00	2.63	1484298.36	
Per Mile - FFS		Mile	240	3873.13	0.66	613503.79	
GRAND TOTAL:							570063147.92
Total: Services included in capitation:							484538121.76
Total: Services not included in capitation:							85525026.17
Total Estimated Unduplicated Participants:							14633
Factor D (Divide total by number of participants):							38957.37
Services included in capitation:							33112.70
Services not included in capitation:							5844.67
Average Length of Stay on the Waiver:							353

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (9 of 9)

d. Estimate of Factor D.

ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 5

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care Total:							4806550.19
Adult Day Care-Full Day		Day	398	130.87	71.17	3706979.12	
Adult Day Care-Half Day		Half Day	258	68.29	37.17	654891.54	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
Total: Services not included in capitation:							88938402.02
Total Estimated Unduplicated Participants:							14780
Factor D (Divide total by number of participants):							40143.22
Services included in capitation:							34125.73
Services not included in capitation:							6017.48
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care - 15 Minutes		15 Minutes	6	1245.33	4.49	33549.19	
Adult Day Care-Full Day - FFS		Day	37	130.87	71.17	344618.66	
Adult Day Care-Half Day - FFS		Half Day	24	68.29	37.17	60920.14	
Adult Day Care - 15 Minutes - FFS		15 Minutes	1	1245.33	4.49	5591.53	
Day Habilitation Total:							49748579.99
Day Habilitation, ID Waiver, Per Day		Day	4140	102.59	81.37	34559677.96	
Day Habilitation, ID Waiver, 15 Minutes		15 Minutes	3380	1047.86	2.78	9846111.70	
Day Habilitation, ID Waiver, Per Day - FFS		Day	498	102.59	81.37	4157178.65	
Day Habilitation, ID Waiver, 15 Minutes - FFS		15 Minutes	407	1047.86	2.78	1185611.68	
Prevocational Services Total:							19081552.71
Prevocational Service, full day		Day	1748	110.52	66.15	12779449.70	
Prevocational Service, hour		Hour	1554	69.23	38.99	4194677.55	
Prevocational Service, full day - FFS		Day	217	110.52	66.15	1586464.87	
Prevocational Service, hour - FFS		Hour	193	69.23	38.99	520960.60	
Residential Based Supported Community Living Total:							3386156.95
Residential Based Supported Community		Day	52	192.89	307.98	3089125.63	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
Total: Services not included in capitation:							88938402.02
Total Estimated Unduplicated Participants:							14780
Factor D (Divide total by number of participants):							40143.22
Services included in capitation:							34125.73
Services not included in capitation:							6017.48
Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
living - day							
Residential Based Supported Community living - day - FFS		Day	5	192.89	307.98	297031.31	
Respite Total:							13074697.46
Respite Resident Camp-Weeklong		15 Minutes	302	130.28	5.21	204985.16	
Respite-RCF/ID		15 Minutes	1	204.00	4.13	842.52	
Respite-HHA Specialized		15 Minutes	2	558.00	12.11	13514.76	
Respite-ICF/ID		15 Minutes	2	170.67	4.07	1389.25	
Teen Day Camp - 13 to 21 Years Old		15 Minutes	7	755.00	4.11	21721.35	
Group Specialized Summer Day Camp		15 Minutes	69	137.40	12.01	113862.01	
Respite-Homa Care Agency & Non-Facility, Group		15 Minutes	1107	584.83	3.73	2414827.40	
Respite-Homa Care Agency & Non-Facility, Basic Individual		15 Minutes	2685	593.71	4.44	7077854.39	
Respite-Homa Care Agency & Non-Facility, Specialized		15 Minutes	11	772.67	10.30	87543.51	
Respite-Camp		15 Minutes	229	689.16	3.41	538158.15	
Respite-HHA Basic Individual		15 Minutes	2	828.00	5.60	9273.60	
Group Summer Day Camp - Group Recreational		15 Minutes	14	687.20	4.11	39541.49	
Respite-Nursing Facility		15 Minutes	1	528.00	3.84	2027.52	
GRAND TOTAL:						593316759.70	
Total: Services included in capitation:						504378357.68	
Total: Services not included in capitation:						88938402.02	
Total Estimated Unduplicated Participants:						14780	
Factor D (Divide total by number of participants):						40143.22	
Services included in capitation:						34125.73	
Services not included in capitation:						6017.48	
Average Length of Stay on the Waiver:						353	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite Resident Camp-Weeklong - FFS		15 Minutes	73	130.28	5.21	49549.39	
Respite-RCF/ID - FFS		15 Minutes	0	204.00	4.13	0.00	
Respite-HHA Specialized - FFS		15 Minutes	0	558.00	12.11	0.00	
Respite-ICF/ID - FFS		15 Minutes	1	170.67	4.07	694.63	
Teen Day Camp - 13 to 21 Years Old - FFS		15 Minutes	2	755.00	4.11	6206.10	
Group Specialized Summer Day Camp - FFS		15 Minutes	17	137.40	12.01	28052.96	
Respite-Homa Care Agency & Non-Facility, Group - FFS		15 Minutes	268	584.83	3.73	584619.46	
Respite-Homa Care Agency & Non-Facility, Basic Individual - FFS		15 Minutes	651	593.71	4.44	1716083.13	
Respite-Homa Care Agency & Non-Facility, Specialized - FFS		15 Minutes	3	772.67	10.30	23875.50	
Respite-Camp - FFS		15 Minutes	56	689.16	3.41	131601.99	
Respite-HHA Basic Individual - FFS		15 Minutes	0	828.00	5.60	0.00	
Group Summer Day Camp - Group Recreational - FFS		15 Minutes	3	687.20	4.11	8473.18	
Respite-Nursing Facility - FFS		15 Minutes	0	528.00	3.84	0.00	
Supported Employment Total:							21186698.99

GRAND TOTAL:						593316759.70
Total: Services included in capitation:						504378357.68
Total: Services not included in capitation:						88938402.02
Total Estimated Unduplicated Participants:						14780
Factor D (Divide total by number of participants):						40143.22
Services included in capitation:						34125.73
Services not included in capitation:						6017.48
Average Length of Stay on the Waiver:						353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Maintain Employment - Individual		15 Minutes	700	18.12	71.77	910330.68	
Maintain Employment - Small Group		15 Minutes	877	1102.77	3.07	2969086.92	
Long Term Job Coaching		Month	2950	4.28	1222.26	15432254.76	
Maintain Employment - Individual - FFS		15 Minutes	40	18.12	71.77	52018.90	
Maintain Employment - Small Group - FFS		15 Minutes	112	1102.77	3.07	379176.44	
Long Term Job Coaching - FFS		Month	276	4.28	1222.26	1443831.29	
Home Health Aide Services Total:							225628.92
Home Health Aide Services		Hour	36	24.97	225.90	203066.03	
Home Health Aide Services - FFS		Hour	4	24.97	225.90	22562.89	
Nursing Total:							35080.56
Nursing Care in the Home/LPN; Per Hour		Visit	16	12.00	26.82	5149.44	
Nursing Care in the Home/RN; Per Hour		Visit	5	12.00	26.82	1609.20	
Nursing Care in the Home/LPN; Per Hour - FFS		Visit	67	12.00	26.82	21563.28	
Nursing Care in the Home/RN; Per Hour - FFS		Visit	21	12.00	26.82	6758.64	
Financial Management Services Total:							4037301.00
Financial Management Services		Month	236	9.59	193.47	437869.04	
Financial						3599431.96	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
Total: Services not included in capitation:							88938402.02
Total Estimated Unduplicated Participants:							14780
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Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Management Services - FFS		Month	1940	9.59	193.47		
Independent Support Broker Total:							399410.46
Independent Support Broker		Month	236	9.59	19.14	43318.41	
Independent Support Broker - FFS		Month	1940	9.59	19.14	356092.04	
Individual Directed Goods and Services Total:							1245184.01
Individual Directed Goods and Services		Month	236	9.59	59.67	135047.53	
Individual Directed Goods and Services - FFS		Month	1940	9.59	59.67	1110136.48	
Self Directed Community Support and Employment Total:							17888538.48
Self Directed Community Support and Employment		Month	236	9.59	857.23	1940117.23	
Self Directed Community Support and Employment - FFS		Month	1940	9.59	857.23	15948421.26	
Self Directed Personal Care Total:							16627912.27
Self Directed Personal Care		Month	236	9.59	796.82	1803394.90	
Self Directed Personal Care - FFS		Month	1940	9.59	796.82	14824517.37	
Consumer Directed Attendant Care (CDAC) - skilled Total:							873933.44
CDAC - Individual - 15 Minutes		15 Minutes	32	4389.30	3.90	547784.64	
CDAC -						154165.11	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
Total: Services not included in capitation:							88938402.02
Total Estimated Unduplicated Participants:							14780
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Average Length of Stay on the Waiver:							353

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Agency - 15 Minutes		15 Minutes	22	1195.82	5.86		
CDAC - Individual - 15 Minutes - FFS		15 Minutes	8	4389.30	3.90	136946.16	
CDAC - Agency - 15 Minutes - FFS		15 Minutes	5	1195.82	5.86	35037.53	
Consumer Directed Attendant Care (CDAC) - unskilled Total:							7803134.40
CDAC- Individual - 15 Minutes		15 Minutes	289	4389.30	3.90	4947180.03	
CDAC- Agency - 15 Minutes		15 Minutes	193	1195.82	5.86	1352448.50	
CDAC- Individual - 15 Minutes - FFS		15 Minutes	69	4389.30	3.90	1181160.63	
CDAC- Agency - 15 Minutes - FFS		15 Minutes	46	1195.82	5.86	322345.24	
Enabling Technology for Remote Support Total:							2421225.00
1 job		1 job	600	9.00	425.00	2295000.00	
FFS 1 job		1 job	33	9.00	425.00	126225.00	
Home and Vehicle Modification Total:							161949.89
Home and Vehicle Modifications		Occurrence	44	1.02	2480.85	111340.55	
Home and Vehicle Modifications - FFS		Occurrence	20	1.02	2480.85	50609.34	
Interim Medical Monitoring and Treatment Total:							515166.18
IMMT - Nurse		15 Minutes	0	2720.00	9.24	0.00	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
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Total Estimated Unduplicated Participants:							14780
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Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
IMMT - Aide or CNA		15 Minutes	17	1824.67	7.46	231404.65	
IMMT - Nurse - FFS		15 Minutes	1	2720.00	9.24	25132.80	
IMMT - Aide or CNA - FFS		15 Minutes	19	1824.67	7.46	258628.73	
Medical Day Care for Children Total:							7900200.00
15 min unit		15 Minutes	110	5600.00	8.55	5266800.00	
FFS 15 min unit		15 Minutes	55	5600.00	8.55	2633400.00	
Personal Emergency Response or Portable Locator System Total:							142490.17
Personal Emergency Response-Ongoing		Installation	235	10.52	47.78	118121.72	
Personal Emergency Response-Initial		Installation	64	1.00	240.09	15365.76	
Personal Emergency Response-Ongoing - FFS		Installation	16	10.52	47.78	8042.33	
Personal Emergency Response-Initial - FFS		Installation	4	1.00	240.09	960.36	
Supported Community Living Total:							405781264.27
Supported Community Living, Per Day		Day	4833	323.27	206.26	322253180.08	
Supported Community Living, 15 Minutes		15 Minutes	5460	1038.78	8.82	50024736.22	
Supported Community Living, Per Day - FFS		Day	435	323.27	206.26	29004786.54	
Supported Community Living, 15		15 Minutes	491	1038.78	8.82	4498561.44	
GRAND TOTAL:						593316759.70	
Total: Services included in capitation:						504378357.68	
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Factor D (Divide total by number of participants):						40143.22	
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Average Length of Stay on the Waiver:							353

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Minutes - FFS							
Transportation Total:							15974104.36
Per Trip		Trip	3313	1098.90	2.68	9756957.28	
Per Mile		Mile	1554	3873.13	0.67	4032625.49	
Per Trip - FFS		Trip	525	1098.90	2.68	1546152.30	
Per Mile - FFS		Mile	246	3873.13	0.67	638369.29	
GRAND TOTAL:							593316759.70
Total: Services included in capitation:							504378357.68
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