

## Appendix J: Cost Neutrality Demonstration

### J-1: Composite Overview and Demonstration of Cost-Neutrality Formula

**Composite Overview.** Complete the fields in Cols. 3, 5 and 6 in the following table for each waiver year. The fields in Cols. 4, 7 and 8 are auto-calculated based on entries in Cols 3, 5, and 6. The fields in Col. 2 are auto-calculated using the Factor D data from the J-2-d Estimate of Factor D tables. Col. 2 fields will be populated ONLY when the Estimate of Factor D tables in J-2-d have been completed.

**Level(s) of Care: Nursing Facility, ICF/IID**

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Year	Factor D	Factor D'	Total: D+D'	Factor G	Factor G'	Total: G+G'	Difference (Col 7 less Column4)
1	26220.00	18644.54	44864.54	42700.00	14777.00	57477.00	12612.46
2	26696.29	19017.43	45713.72	43981.00	15221.00	59202.00	13488.28
3	27159.09	19397.78	46556.87	45300.00	15677.00	60977.00	14420.13
4	27587.62	19785.73	47373.35	46659.00	16148.00	62807.00	15433.65
5	28044.99	20181.45	48226.44	48059.00	16632.00	64691.00	16464.56

## Appendix J: Cost Neutrality Demonstration

### J-2: Derivation of Estimates (1 of 9)

**a. Number Of Unduplicated Participants Served.** Enter the total number of unduplicated participants from Item B-3-a who will be served each year that the waiver is in operation. When the waiver serves individuals under more than one level of care, specify the number of unduplicated participants for each level of care:

**Table: J-2-a: Unduplicated Participants**

Waiver Year	Total Unduplicated Number of Participants (from Item B-3-a)	Distribution of Unduplicated Participants by Level of Care (if applicable)	
		Level of Care:	Level of Care:
		Nursing Facility	ICF/IID
Year 1	1502	1454	48
Year 2	1560	1510	50
Year 3	1620	1568	52
Year 4	1682	1628	54
Year 5	1747	1691	56

## Appendix J: Cost Neutrality Demonstration

### J-2: Derivation of Estimates (2 of 9)

**b. Average Length of Stay.** Describe the basis of the estimate of the average length of stay on the waiver by participants in item J-2-a.

The average length of stay (ALOS) is expected to increase by 1% annually through the five years of the waiver. The fiscal data for each HCBS Waiver 372 is based on each designated waiver statistical year. Increasing ALOS at 1% each year with a year one ALOS of 347 is based on historical data along with assumptions provided by the State's actuary. The CMS 372 reports used to develop and report ALOS are dated July 1, 2012 – June 30, 2017, incorporating historical ALOS back to 07/01/12.

In this waiver renewal, unduplicated participant values were increased by 4% each waiver year based on current enrollment (1,464) in the BI waiver as of June 30, 2019 and considering historical enrollment trends and actuarial assumptions. The increase in the number of unduplicated participants also reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting and considers the CMS approved enrollment cap of 1,509.

Limitation on the Number of Participants Served at any Point in Time were projected at 2% growth each year for all services except Supported Community Living which is anticipated to grow by 5% based on historical growth, current trends, and average monthly costs per recipient on the waiver.

## Appendix J: Cost Neutrality Demonstration

### J-2: Derivation of Estimates (3 of 9)

**c. Derivation of Estimates for Each Factor.** Provide a narrative description for the derivation of the estimates of the following factors.

**i. Factor D Derivation.** The estimates of Factor D for each waiver year are located in Item J-2-d. The basis and methodology for these estimates is as follows:

Factor D is impacted by the transition from a fee-for-service program to a managed care capitation rate program. In the prior waiver period, Factor D was adjusted due to the transition to managed care. In this submission, the post-managed care number of unduplicated participants were increased by 4% each waiver year. The increase in the number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

The new participants are not expected to change the characteristics (risk profile) of the population. The underlying capitation rates reflect the risk profile of those qualifying for the HCBS waiver, which are reflected in Factor D and Factor D'.

The 2% trend used in the Factor D estimates were a combination of assumptions provided by the State's actuary and historical trends based on the average of the five most recent 372 submissions dating back to October 1, 2012 through September 30, 2017.

Under this waiver renewal, Factor D increased at a 2.0% trend annually. The projected increase was based on an estimate of future increases to capitation rates under the managed care program, assumptions provided by the State's actuary, and historical trends based on the five most recent 372 submissions. The dates of the 372 submissions used were for the five years from July 1, 2012 to June 30, 2017.

The WY1 renewal Factor D cost was estimated utilizing the WY5 cost in the prior submission (October 1, 2018 through September 30, 2019) as a baseline for the projection. The prior Appendix J submission illustrates an estimated Factor D cost of \$24,203.64 in WY5. The WY5 costs are based on a blend of the Factor D cost included in the IA Health Link capitation rates (for IA Health Link members) and historical FFS costs for the residual members not enrolled in IA Health Link. Most Brain Injury (BI) Waiver members are enrolled in the IA Health Link program.

**ii. Factor D' Derivation.** The estimates of Factor D' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor D' is impacted by the transition from a fee-for-service program to a managed care capitation rate program. In the prior waiver period, Factor D' was adjusted due to the transition to managed care. In this submission, the post-managed care number of unduplicated participants were increased by 4% each waiver year. The increase in the number of unduplicated participants reflects the managed care program's incentive to move individuals from the institutional setting to the HCBS waiver community setting.

The new participants are not expected to change the characteristics (risk profile) of the population. The underlying capitation rates reflect the risk profile of those qualifying for the HCBS waiver, which are reflected in Factor D and Factor D'.

The 2% trend used in the Factor D' estimates were a combination of assumptions provided by the State's actuary and historical trends based on the average of the five most recent 372 submissions dating back to October 1, 2012 through September 30, 2017.

Under this waiver renewal, Factor D' increased at a 2.0% trend annually. The projected increase was based on an estimate of future increases to capitation rates under the managed care program, assumptions provided by the State's actuary, and historical trends based on the five most recent 372 submissions. The dates of the 372 submissions used were for the five years from July 1, 2012 to June 30, 2017.

The WY1 renewal Factor D' cost was estimated utilizing the WY5 cost in the prior submission (October 1, 2018 through September 30, 2019) as a baseline for the projection. The prior Appendix J submission illustrates an estimated Factor D' cost of \$14,352.71 in WY5. The WY5 costs are based on a blend of the Factor D' cost included in the IA Health Link capitation rates (for IA Health Link members) and historical FFS costs for the residual members not enrolled in IA Health Link. Most Brain Injury (BI) Waiver members are enrolled in the IA Health Link program.

- iii. Factor G Derivation.** The estimates of Factor G for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor G - The annual trend factor was 3% annually for Factor G and G'. Consideration was given to historical trends between waiver years for the BI waiver and the actuarial assumptions accounting for the transition to managed care from the State's actuary when estimating the trend factor. The five most recent 372 (MMIS) reports dated July 1, 2012 through June 30, 2017 were also considered in the trend factor and corresponding estimates.

The change in the number of lives does not have any influence over the calculation of Factor G and/or Factor G' and were established based on historical data and were carried forward based on an average of the five years from the prior 1915(c) waiver filing.

- iv. Factor G' Derivation.** The estimates of Factor G' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

Factor G' - The annual trend factor was 3% annually for Factor G and G'. Consideration was given to historical trends between waiver years for the BI waiver and the actuarial assumptions accounting for the transition to managed care from the State's actuary when estimating the trend factor. The five most recent 372 (MMIS) reports dated July 1, 2012 through June 30, 2017 were also considered in the trend factor and corresponding estimates.

The change in the number of lives does not have any influence over the calculation of Factor G and/or Factor G' and were established based on historical data and were carried forward based on an average of the five years from the prior 1915(c) waiver filing.

## Appendix J: Cost Neutrality Demonstration

### J-2: Derivation of Estimates (4 of 9)

**Component management for waiver services.** If the service(s) below includes two or more discrete services that are reimbursed separately, or is a bundled service, each component of the service must be listed. Select "*manage components*" to add these components.

Waiver Services	
Adult Day Care	
Case Management	
Prevocational Services	
Respite	
Supported Employment	
Specialized Medical Equipment	
Financial Management Service	
Independent Support Broker	
Individual Directed Goods and Services	
Self Directed Community Support and Employment	
Self Directed Personal Care	
Behavioral Programming	
Consumer Directed Attendant Care (CDAC) unskilled	
Consumer Directed Attendant Care - Skilled	
Enabling Technology for Remote Support	
Family Counseling and Training Services	
Home and Vehicle Modification	
Interim Medical Monitoring and Treatment (IMMT)	
Medical Day Care for Children	
Personal Emergency Response System or Portable Locator System	
Supported Community Living	
Transportation	

**Appendix J: Cost Neutrality Demonstration**

**J-2: Derivation of Estimates (5 of 9)**

**d. Estimate of Factor D.**

ii. **Concurrent §1915(b)/§1915(c) Waivers, or other authorities utilizing capitated arrangements (i.e., 1915(a), 1932(a), Section 1937).** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

**Waiver Year: Year 1**

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Adult Day Care Total:</b>							<b>796487.13</b>
Adult Day Care - Extended Day		Extended Day	4	180.80	65.82	47601.02	
<b>GRAND TOTAL:</b>							<b>39382440.31</b>
Total: Services included in capitation:							31277661.69
Total: Services not included in capitation:							8104778.62
<b>Total Estimated Unduplicated Participants:</b>							<b>1502</b>
<b>Factor D (Divide total by number of participants):</b>							<b>26220.00</b>
Services included in capitation:							20824.01
Services not included in capitation:							5395.99
<b>Average Length of Stay on the Waiver:</b>							<b>347</b>

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Adult Day Care - 15 minutes		15 minutes	1	1245.30	4.12	5130.64	
Adult Day Care - Half Day		Half Day	32	52.90	37.50	63480.00	
Adult Day Care - Full Day		Full Day	92	125.90	58.10	672960.68	
FFS Adult Day Care - Extended Day		Extended Day	0	180.80	65.82	0.00	
FFS Adult Day Care - 15 minutes		15 Minutes	0	1245.30	4.12	0.00	
FFS Adult Day Care - Half Day		Half Day	0	52.90	37.50	0.00	
FFS Adult Day Care - Full Day		Full Day	1	125.90	58.10	7314.79	
<b>Case Management Total:</b>							<b>3480108.45</b>
Case Management 15 minutes		15 Minutes	1169	45.50	57.90	3079672.05	
FFS Case Management 15 minutes		15 Minutes	152	45.50	57.90	400436.40	
<b>Prevocational Services Total:</b>							<b>453904.22</b>
Prevocational Service, Hour		Hour	46	482.00	12.23	271163.56	
Prevocational services, Career Exploration , hour		Hour	22	482.00	12.23	129686.92	
FFS Prevocational Service, Hour		Hour	6	482.00	12.23	35369.16	
FFS Prevocational services, Career Exploration, Hour		Hour	3	482.00	12.23	17684.58	
<b>Respite Total:</b>							<b>2988354.05</b>
Respite - ICF/ID		15 Minutes	1	960.00	7.16	6873.60	
Respite - HHA specialized		15 Minutes	9	803.00	9.66	69812.82	
<b>GRAND TOTAL:</b>						<b>39382440.31</b>	
Total: Services included in capitation:						31277661.69	
Total: Services not included in capitation:						8104778.62	
<b>Total Estimated Unduplicated Participants:</b>						<b>1502</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26220.00</b>	
Services included in capitation:						20824.01	
Services not included in capitation:						5395.99	
<b>Average Length of Stay on the Waiver:</b>						<b>347</b>	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite - Child Care		15 Minutes	2	200.60	7.59	3045.11	
Respite Group Specialized Summer Day Camp		15 Minutes	7	163.20	6.98	7973.95	
Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	9	80.00	96.96	69811.20	
Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	66	482.20	6.99	222458.15	
Respite Adult Day Care		15 Minutes	1	50.00	14.26	713.00	
Respite Teen Day Camp - 13 to 21 years old		15 Minutes	1	271.00	11.18	3029.78	
Respite Resident Camp - Weeklong		15 Minutes	2	115.00	5.50	1265.00	
Respite - hospital or nursing facility/skilled		15 Minutes	1	552.00	7.58	4184.16	
Respite - RCF		15 Minutes	1	960.00	7.16	6873.60	
Respite - Foster Group Care		15 Minutes	1	2.00	7.65	15.30	
Respite - HHA basic individual		15 Minutes	71	525.00	8.23	306773.25	
Respite - Camp		15 Minutes	1	4.00	7.01	28.04	
Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	290	658.80	9.22	1761499.44	
Weekend On-Site Respite - Camp		15 Minutes	2	704.00	7.32	10306.56	
FFS - Respite ICF/ID		15 Minutes	0	960.00	7.16	0.00	
FFS Respite - HHA Specialized		15 Minutes	2	803.00	9.66	15513.96	
<b>GRAND TOTAL:</b>						<b>39382440.31</b>	
Total: Services included in capitation:						31277661.69	
Total: Services not included in capitation:						8104778.62	
<b>Total Estimated Unduplicated Participants:</b>						<b>1502</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26220.00</b>	
Services included in capitation:						20824.01	
Services not included in capitation:						5395.99	
<b>Average Length of Stay on the Waiver:</b>						<b>347</b>	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
FFS - Child Care		15 Minutes	1	200.60	7.59	1522.55	
FFS - Respite Group Specialized Summer Day Camp		15 Minutes	2	163.20	6.98	2278.27	
FFS - Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	2	80.00	96.96	15513.60	
FFS - Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	13	482.20	6.99	43817.51	
FFS - Respite Adult Day Care		15 Minutes	0	50.00	14.26	0.00	
FFS - Respite Teen Day Camp - 13 to 21 years old		15 Minutes	0	271.00	11.18	0.00	
FFS - Respite Resident Camp - Weeklong		15 Minutes	1	115.00	5.50	632.50	
FFS - Respite - hospital or nursing facility/skilled		15 Minutes	0	552.00	7.58	0.00	
FFS - Respite - RCF		15 Minutes	0	960.00	7.16	0.00	
FFS- Respite - Foster Group Care		15 Minutes	0	2.00	7.65	0.00	
FFS - Respite - HHA basic individual		15 Minutes	15	525.00	8.23	64811.25	
FFS - Respite - Camp		15 Minutes	0	4.00	7.01	0.00	
FFS - Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	60	658.80	9.22	364448.16	
FFS- Respite Weekend On-Site Respite - Camp		15 Minutes	1	704.00	7.32	5153.28	
<b>Supported Employment Total:</b>							<b>1154793.96</b>
Small Group						<b>122400.00</b>	
<b>GRAND TOTAL:</b>						<b>39382440.31</b>	
Total: Services included in capitation:						31277661.69	
Total: Services not included in capitation:						8104778.62	
<b>Total Estimated Unduplicated Participants:</b>						<b>1502</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26220.00</b>	
Services included in capitation:						20824.01	
Services not included in capitation:						5395.99	
<b>Average Length of Stay on the Waiver:</b>						<b>347</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Supported Employment 15 Minutes		15 Minutes	30	2040.00	2.00		
Individual Supported Employment Hour		Hour	68	12.00	581.60	474585.60	
Long Term Job Coaching - Monthly		Month	96	62.75	70.28	423366.72	
Long Term Job Coaching Hour		Hour	18	60.00	69.02	74541.60	
FFS Small Group Supported Employment 15 Minutes		15 Minutes	0	2040.00	2.00	0.00	
FFS Individual Supported Employment hour		Hour	1	12.00	581.60	6979.20	
FFS Long Term Job Coaching - Monthly		Month	12	62.75	70.28	52920.84	
FFS Long Term Job Coaching Hour		Hour	0	60.00	69.02	0.00	
<b>Specialized Medical Equipment Total:</b>							53330.44
Specialized Medical Equipment		Occurence	7	1.70	697.13	8295.85	
FFS, Specialized Medical Equipment, item		Occurence	38	1.70	697.13	45034.60	
<b>Financial Management Service Total:</b>							318552.76
Financial Management Service		Month	2	9.20	95.65	1759.96	
FFS Financial Management Service		Month	360	9.20	95.65	316792.80	
<b>Independent Support Broker Total:</b>							54019.09
Independent Support						298.45	
<b>GRAND TOTAL:</b>							39382440.31
Total: Services included in capitation:							31277661.69
Total: Services not included in capitation:							8104778.62
Total Estimated Unduplicated Participants:							1502
Factor D (Divide total by number of participants):							26220.00
Services included in capitation:							20824.01
Services not included in capitation:							5395.99
Average Length of Stay on the Waiver:							347



Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Broker		Month	2	9.20	16.22		
FFS Independent Support Broker		Month	360	9.20	16.22	53720.64	
<b>Individual Directed Goods and Services Total:</b>							398748.79
Self Directed Goods and Services		Month	2	9.20	119.73	2203.03	
FFS Self Directed Goods and Services		Month	360	9.20	119.73	396545.76	
<b>Self Directed Community Support and Employment Total:</b>							1637224.64
Self Directed Community Support and Employment		Month	2	9.20	491.60	9045.44	
FFS Self Directed Community Support and Employment		Month	360	9.20	491.60	1628179.20	
<b>Self Directed Personal Care Total:</b>							2994662.38
Self Directed Personal Care - Consumer Choices Option		Month	2	9.20	899.19	16545.10	
FFS Self Directed Personal Care - Consumer Choices Option		Month	360	9.20	899.19	2978117.28	
<b>Behavioral Programming Total:</b>							824.40
Behavioral Programming		15 minutes	5	12.00	11.45	687.00	
FFS Behavioral Programming		15 Minutes	1	12.00	11.45	137.40	
<b>Consumer Directed Attendant Care (CDAC)</b>							3686260.24
<b>GRAND TOTAL:</b>							39382440.31
Total: Services included in capitation:							31277661.69
Total: Services not included in capitation:							8104778.62
<b>Total Estimated Unduplicated Participants:</b>							1502
<b>Factor D (Divide total by number of participants):</b>							26220.00
Services included in capitation:							20824.01
Services not included in capitation:							5395.99
Average Length of Stay on the Waiver:							347

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>unskilled Total:</b>							
CDAC - Unskilled Agency		15 Minutes	119	1440.00	5.63	964756.80	
CDAC - Unskilled Individual		15 Minutes	145	4577.00	3.64	2415740.60	
FFS - CDAC - Unskilled Agency		15 Minutes	11	1440.00	5.63	89179.20	
FFS - CDAC - Unskilled Individual		15 Minutes	13	4577.00	3.64	216583.64	
<b>Consumer Directed Attendant Care - Skilled Total:</b>							396725.56
CDAC Skilled Individual 15 minutes		15 Minutes	16	4577.00	3.64	266564.48	
CDAC Skilled Agency 15 minutes		15 Minutes	13	1440.00	5.63	105393.60	
FFS CDAC Skilled Individual 15 minutes		15 Minutes	1	4577.00	3.64	16660.28	
FFS CDAC Skilled Agency 15 minutes		15 Minutes	1	1440.00	5.63	8107.20	
<b>Enabling Technology for Remote Support Total:</b>							99450.00
1 job		1 job	25	9.00	425.00	95625.00	
FFS 1 Job		1 Job	1	9.00	425.00	3825.00	
<b>Family Counseling and Training Services Total:</b>							68215.61
Family Counseling and Training Services, 15 min		15 Minutes	23	238.80	12.42	68215.61	
FFS - Family Counseling and Training Services, 15 min		15 Minutes	0	238.80	12.42	0.00	
<b>Home and Vehicle</b>							171011.09
<b>GRAND TOTAL:</b>							39382440.31
Total: Services included in capitation:							31277661.69
Total: Services not included in capitation:							8104778.62
Total Estimated Unduplicated Participants:							1502
Factor D (Divide total by number of participants):							26220.00
Services included in capitation:							20824.01
Services not included in capitation:							5395.99
Average Length of Stay on the Waiver:							347

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Modification Total:</b>							
Home and Vehicle Modification		Occurrence	49	1.20	2639.06	155176.73	
FFS - Home and Vehicle Modification		Occurrence	5	1.20	2639.06	15834.36	
<b>Interim Medical Monitoring and Treatment (IMMT) Total:</b>							19924.50
IMMT, RN		15 Minutes	0	718.00	5.55	0.00	
IMMT, HHA		15 Minutes	0	718.00	5.55	0.00	
FFS - IMMT, RN		15 Minutes	4	718.00	5.55	15939.60	
FFS - IMMT, HHA		15 Minutes	1	718.00	5.55	3984.90	
<b>Medical Day Care for Children Total:</b>							1723680.00
15 min unit		15 Minutes	24	5600.00	8.55	1149120.00	
FFS 15 min unit		15 Minutes	12	5600.00	8.55	574560.00	
<b>Personal Emergency Response System or Portable Locator System Total:</b>							58203.65
Personal Emergency Response - Ongoing		Month	154	9.90	36.38	55464.95	
Personal Emergency Response - Initial		Installation	18	1.40	51.51	1298.05	
FFS, Personal Emergency Response - Ongoing		Month	4	9.90	36.38	1440.65	
FFS, Personal Emergency Response - Initial		Installation	0	1.40	51.51	0.00	
<b>Supported Community Living Total:</b>							18290505.87
<b>GRAND TOTAL:</b>							39382440.31
Total: Services included in capitation:							31277661.69
Total: Services not included in capitation:							8104778.62
<b>Total Estimated Unduplicated Participants:</b>							1502
<b>Factor D (Divide total by number of participants):</b>							26220.00
Services included in capitation:							20824.01
Services not included in capitation:							5395.99
<b>Average Length of Stay on the Waiver:</b>							347

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Supported Community Living - 15 Minutes		15 Minutes	496	881.30	8.97	3921009.46	
Supported Community Living - Daily		Day	204	1024.12	66.30	13851427.82	
FFS - Supported Community Living - 15 Minutes		15 Minutes	14	881.30	8.97	110673.65	
FFS - Supported Community Living - Daily		Day	6	1024.12	66.30	407394.94	
<b>Transportation Total:</b>							537453.49
Transportation - Trip		Trip	31	71.30	10.29	22743.99	
Transportation - Mile		Mile	140	325.80	7.17	327038.04	
FFS Transportation - Trip		Trip	17	71.30	10.29	12472.51	
FFS Transportation - Mile		Mile	75	325.80	7.17	175198.95	
<b>GRAND TOTAL:</b>						39382440.31	
Total: Services included in capitation:						31277661.69	
Total: Services not included in capitation:						8104778.62	
<b>Total Estimated Unduplicated Participants:</b>						1502	
<b>Factor D (Divide total by number of participants):</b>						26220.00	
Services included in capitation:						20824.01	
Services not included in capitation:						5395.99	
Average Length of Stay on the Waiver:							347

## Appendix J: Cost Neutrality Demonstration

### J-2: Derivation of Estimates (6 of 9)

#### d. Estimate of Factor D.

**ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements.** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

**Waiver Year: Year 2**

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Adult Day Care Total:</b>							<b>836799.00</b>
Adult Day Care - Extended Day		Extended Day	4	180.80	67.14	48555.65	
Adult Day Care - 15 minutes		15 minutes	1	1245.30	4.20	5230.26	
Adult Day Care - Half Day		Half day	33	52.90	38.25	66773.02	
Adult Day Care - Full Day		Full Day	95	125.90	59.26	708779.23	
FFS Adult Day Care - Extended Day		Extended Day	0	180.80	67.14	0.00	
FFS Adult Day Care - 15 minutes		15 minutes	0	1245.30	4.20	0.00	
FFS Adult Day Care - Half Day		Half Day	0	52.90	38.25	0.00	
FFS Adult Day Care - Full Day		Full Day	1	125.90	59.26	7460.83	
<b>Case Management Total:</b>							<b>3630447.73</b>
Case Management 15 minutes		15 Minutes	1196	45.50	59.06	3213927.08	
FFS Case Management 15 minutes		15 Minutes	155	45.50	59.06	416520.65	
<b>Prevocational Services Total:</b>							<b>474832.66</b>
Prevocational Service, Hour		Hour	47	482.00	12.47	282495.38	
Prevocational services, Career Exploration , hour		Hour	23	482.00	12.47	138242.42	
FFS Prevocational Service, Hour		Hour	6	482.00	12.47	36063.24	
FFS Prevocational services, Career Exploration, Hour		Hour	3	482.00	12.47	18031.62	
<b>Respite Total:</b>							<b>3112105.71</b>
<b>GRAND TOTAL:</b>							<b>41646217.46</b>
Total: Services included in capitation:							33077024.98
Total: Services not included in capitation:							8569192.49
<b>Total Estimated Unduplicated Participants:</b>							<b>1560</b>
<b>Factor D (Divide total by number of participants):</b>							<b>26696.29</b>
Services included in capitation:							21203.22
Services not included in capitation:							5493.07
<b>Average Length of Stay on the Waiver:</b>							<b>350</b>

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite - ICF/ID		15 Minutes	1	960.00	7.30	7008.00	
Respite - HHA specialized		15 Minutes	9	803.00	9.85	71185.95	
Respite - Child Care		15 Minutes	2	200.60	7.74	3105.29	
Respite Group Specialized Summer Day Camp		15 Minutes	7	163.20	7.12	8133.89	
Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	9	80.00	98.90	71208.00	
Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	67	482.20	7.13	230351.76	
Respite Adult Day Care		15 Minutes	1	50.00	14.55	727.50	
Respite Teen Day Camp - 13 to 21 years old		15 Minutes	1	271.00	11.40	3089.40	
Respite Resident Camp - Weeklong		15 Minutes	2	115.00	5.61	1290.30	
Respite - hospital or nursing facility/skilled		15 Minutes	1	552.00	7.73	4266.96	
Respite - RCF		15 Minutes	1	960.00	7.30	7008.00	
Respite - Foster Group Care		15 Minutes	1	2.00	7.80	15.60	
Respite - HHA basic individual		15 Minutes	73	525.00	8.39	321546.75	
Respite - Camp		15 Minutes	1	4.00	7.15	28.60	
Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	297	658.80	9.40	1839237.84	
Weekend On-Site Respite - Camp		15 Minutes	2	704.00	7.47	10517.76	
<b>GRAND TOTAL:</b>						<b>41646217.46</b>	
Total: Services included in capitation:						33077024.98	
Total: Services not included in capitation:						8569192.49	
<b>Total Estimated Unduplicated Participants:</b>						<b>1560</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26696.29</b>	
Services included in capitation:						21203.22	
Services not included in capitation:						5493.07	
<b>Average Length of Stay on the Waiver:</b>						<b>350</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
FFS - Respite ICF/ID		15 Minutes	0	960.00	7.30	0.00	
FFS Respite - HHA Specialized		15 Minutes	2	803.00	9.85	15819.10	
FFS - Child Care		15 Minutes	1	200.60	7.74	1552.64	
FFS - Respite Group Specialized Summer Day Camp		15 Minutes	2	163.20	7.12	2323.97	
FFS - Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	2	80.00	98.90	15824.00	
FFS - Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	14	482.20	7.13	48133.20	
FFS - Respite Adult Day Care		15 Minutes	0	50.00	14.55	0.00	
FFS - Respite Teen Day Camp - 13 to 21 years old		15 Minutes	0	271.00	11.40	0.00	
FFS - Respite Resident Camp - Weeklong		15 Minutes	1	115.00	5.61	645.15	
FFS - Respite - hospital or nursing facility/skilled		15 Minutes	0	552.00	7.73	0.00	
FFS - Respite - RCF		15 Minutes	0	960.00	7.30	0.00	
FFS - Respite - Foster Group Care		15 Minutes	0	2.00	7.80	0.00	
FFS - Respite - HHA basic individual		15 Minutes	15	525.00	8.39	66071.25	
FFS - Respite - Camp		15 Minutes	0	4.00	7.15	0.00	
FFS - Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	61	658.80	9.40	377755.92	
FFS- Respite Weekend On-Site Respite -		15 Minutes	1	704.00	7.47	5258.88	
<b>GRAND TOTAL:</b>						<b>41646217.46</b>	
Total: Services included in capitation:						33077024.98	
Total: Services not included in capitation:						8569192.49	
<b>Total Estimated Unduplicated Participants:</b>						<b>1560</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26696.29</b>	
Services included in capitation:						21203.22	
Services not included in capitation:						5493.07	
<b>Average Length of Stay on the Waiver:</b>						<b>350</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp							
<b>Supported Employment Total:</b>							1223307.98
Small Group Supported Employment 15 Minutes		15 Minutes	31	2040.00	2.04	129009.60	
Individual Supported Employment Hour		Hour	70	12.00	593.23	498313.20	
Long Term Job Coaching - Monthly		Month	102	62.75	71.69	458851.84	
Long Term Job Coaching Hour		Hour	18	60.00	70.40	76032.00	
FFS Small Group Supported Employment 15 Minutes		15 Minutes	0	2040.00	2.04	0.00	
FFS Individual Supported Employment hour		Hour	1	12.00	593.23	7118.76	
FFS Long Term Job Coaching - Monthly		Month	12	62.75	71.69	53982.57	
FFS Long Term Job Coaching Hour		Hour	0	60.00	70.40	0.00	
<b>Specialized Medical Equipment Total:</b>							55605.67
Specialized Medical Equipment		Occurrence	7	1.70	711.07	8461.73	
FFS, Specialized Medical Equipment, item		Occurrence	39	1.70	711.07	47143.94	
<b>Financial Management Service Total:</b>							332094.24
Financial Management Service		Month	2	9.20	97.56	1795.10	
FFS Financial Management Service		Month	368	9.20	97.56	330299.14	
<b>GRAND TOTAL:</b>							41646217.46
Total: Services included in capitation:							33077024.98
Total: Services not included in capitation:							8569192.49
<b>Total Estimated Unduplicated Participants:</b>							1560
<b>Factor D (Divide total by number of participants):</b>							26696.29
Services included in capitation:							21203.22
Services not included in capitation:							5493.07
<b>Average Length of Stay on the Waiver:</b>							350



Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Independent Support Broker Total:</b>							56302.16
Independent Support Broker		Month	2	9.20	16.54	304.34	
FFS Independent Support Broker		Month	368	9.20	16.54	55997.82	
<b>Individual Directed Goods and Services Total:</b>							415696.48
Self Directed Goods and Services		Month	2	9.20	122.12	2247.01	
FFS Self Directed Goods and Services		Month	368	9.20	122.12	413449.47	
<b>Self Directed Community Support and Employment Total:</b>							1706867.72
Self Directed Community Support and Employment		Month	2	9.20	501.43	9226.31	
FFS Self Directed Community Support and Employment		Month	368	9.20	501.43	1697641.41	
<b>Self Directed Personal Care Total:</b>							3122046.68
Self Directed Personal Care - Consumer Choices Option		Month	2	9.20	917.17	16875.93	
FFS Self Directed Personal Care - Consumer Choices Option		Month	368	9.20	917.17	3105170.75	
<b>Behavioral Programming Total:</b>							981.12
Behavioral Programming		15 Minutes	6	12.00	11.68	840.96	
FFS Behavioral Programming		15 Minutes	1	12.00	11.68	140.16	
<b>GRAND TOTAL:</b>							41646217.46
Total: Services included in capitation:							33077024.98
Total: Services not included in capitation:							8569192.49
<b>Total Estimated Unduplicated Participants:</b>							1560
<b>Factor D (Divide total by number of participants):</b>							26696.29
Services included in capitation:							21203.22
Services not included in capitation:							5493.07
<b>Average Length of Stay on the Waiver:</b>							350

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Consumer Directed Attendant Care (CDAC) unskilled Total:</b>							3833212.67
CDAC - Unskilled Agency		15 Minutes	122	1440.00	5.74	1008403.20	
CDAC - Unskilled Individual		15 Minutes	147	4577.00	3.71	2496158.49	
FFS - CDAC - Unskilled Agency		15 Minutes	11	1440.00	5.74	90921.60	
FFS - CDAC - Unskilled Individual		15 Minutes	14	4577.00	3.71	237729.38	
<b>Consumer Directed Attendant Care - Skilled Total:</b>							404389.79
CDAC Skilled Individual 15 minutes		15 Minutes	16	4577.00	3.71	271690.72	
CDAC Skilled Agency 15 minutes		15 Minutes	13	1440.00	5.74	107452.80	
FFS CDAC Skilled Individual 15 minutes		15 Minutes	1	4577.00	3.71	16980.67	
FFS CDAC Skilled Agency 15 minutes		15 Minutes	1	1440.00	5.74	8265.60	
<b>Enabling Technology for Remote Support Total:</b>							99450.00
1 job		1 job	25	9.00	425.00	95625.00	
FFS 1 Job		1 Job	1	9.00	425.00	3825.00	
<b>Family Counseling and Training Services Total:</b>							69588.71
Family Counseling and Training Services, 15 min		15 Minutes	23	238.80	12.67	69588.71	
FFS - Family Counseling and Training Services, 15		15 Minutes	0	238.80	12.67	0.00	
<b>GRAND TOTAL:</b>							41646217.46
Total: Services included in capitation:							33077024.98
Total: Services not included in capitation:							8569192.49
Total Estimated Unduplicated Participants:							1560
Factor D (Divide total by number of participants):							26696.29
Services included in capitation:							21203.22
Services not included in capitation:							5493.07
Average Length of Stay on the Waiver:							350

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
min							
<b>Home and Vehicle Modification Total:</b>							177661.44
Home and Vehicle Modification		Occurrence	50	1.20	2691.84	161510.40	
FFS - Home and Vehicle Modification		Occurrence	5	1.20	2691.84	16151.04	
<b>Interim Medical Monitoring and Treatment (IMMT) Total:</b>							20319.40
IMMT, RN		15 Minutes	0	718.00	5.66	0.00	
IMMT, HHA		15 Minutes	0	718.00	5.66	0.00	
FFS - IMMT, RN		15 Minutes	4	718.00	5.66	16255.52	
FFS - IMMT, HHA		15 Minutes	1	718.00	5.66	4063.88	
<b>Medical Day Care for Children Total:</b>							1723680.00
15 min unit		15 Minutes	24	5600.00	8.55	1149120.00	
FFS 15 min unit		15 Minutes	12	5600.00	8.55	574560.00	
<b>Personal Emergency Response System or Portable Locator System Total:</b>							60473.64
Personal Emergency Response - Ongoing		Month	157	9.90	37.11	57680.07	
Personal Emergency Response - Initial		Installation	18	1.40	52.54	1324.01	
FFS, Personal Emergency Response - Ongoing		Month	4	9.90	37.11	1469.56	
FFS, Personal Emergency Response - Initial		Installation	0	1.40	52.54	0.00	
<b>Supported</b>							19727337.66
<b>GRAND TOTAL:</b>							41646217.46
Total: Services included in capitation:							33077024.98
Total: Services not included in capitation:							8569192.49
<b>Total Estimated Unduplicated Participants:</b>							1560
<b>Factor D (Divide total by number of participants):</b>							26696.29
Services included in capitation:							21203.22
Services not included in capitation:							5493.07
<b>Average Length of Stay on the Waiver:</b>							350

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Community Living Total:</b>							
Supported Community Living - 15 Minutes		15 Minutes	506	881.30	9.15	4080330.87	
Supported Community Living - Daily		Day	214	1024.12	68.29	14966551.13	
FFS - Supported Community Living - 15 Minutes		15 Minutes	15	881.30	9.15	120958.42	
FFS - Supported Community Living - Daily		Day	8	1024.12	68.29	559497.24	
<b>Transportation Total:</b>							563017.01
Transportation - Trip		Trip	32	71.30	10.50	23956.80	
Transportation - Mile		Mile	144	325.80	7.31	342950.11	
FFS Transportation - Trip		Trip	17	71.30	10.50	12727.05	
FFS Transportation - Mile		Mile	77	325.80	7.31	183383.05	
<b>GRAND TOTAL:</b>						<b>41646217.46</b>	
Total: Services included in capitation:						33077024.98	
Total: Services not included in capitation:						8569192.49	
<b>Total Estimated Unduplicated Participants:</b>						<b>1560</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>26696.29</b>	
Services included in capitation:						21203.22	
Services not included in capitation:						5493.07	
<b>Average Length of Stay on the Waiver:</b>							<b>350</b>

**Appendix J: Cost Neutrality Demonstration**

**J-2: Derivation of Estimates (7 of 9)**

**d. Estimate of Factor D.**

**ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements.** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

**Waiver Year: Year 3**

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Adult Day Care Total:</b>							876439.13
Adult Day Care - Extended Day		Extended Day	4	180.80	68.48	49524.74	
Adult Day Care - 15 minutes		15 Minutes	1	1245.30	4.29	5342.34	
Adult Day Care - Half Day		Half Day	33	52.90	39.02	68117.21	
Adult Day Care - Full Day		Full Day	98	125.90	60.45	745844.19	
FFS Adult Day Care - Extended Day		Extended Day	0	180.80	68.48	0.00	
FFS Adult Day Care - 15 minutes		15 Minutes	0	1245.30	4.29	0.00	
FFS Adult Day Care - Half Day		Half Day	0	52.90	39.02	0.00	
FFS Adult Day Care - Full Day		Full Day	1	125.90	60.45	7610.66	
<b>Case Management Total:</b>							3771505.92
Case Management 15 minutes		15 Minutes	1218	45.50	60.24	3338440.56	
FFS Case Management 15 minutes		15 Minutes	158	45.50	60.24	433065.36	
<b>Prevocational Services Total:</b>							490483.20
Prevocational Service, Hour		Hour	48	482.00	12.72	294289.92	
Prevocational services, Career Exploration , hour		Hour	23	482.00	12.72	141013.92	
FFS Prevocational Service, Hour		Hour	6	482.00	12.72	36786.24	
FFS Prevocational services, Career Exploration, Hour		Hour	3	482.00	12.72	18393.12	
<b>Respite Total:</b>							3246037.65
<b>GRAND TOTAL:</b>							43997730.62
Total: Services included in capitation:							31647949.91
Total: Services not included in capitation:							12349780.72
<b>Total Estimated Unduplicated Participants:</b>							1620
<b>Factor D (Divide total by number of participants):</b>							27159.09
Services included in capitation:							19535.77
Services not included in capitation:							7623.32
<b>Average Length of Stay on the Waiver:</b>							354

Waiver Service/ Component	Capi- tation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite - ICF/ID		15 Minutes	1	960.00	7.45	7152.00	
Respite - HHA specialized		15 Minutes	9	803.00	10.05	72631.35	
Respite - Child Care		15 Minutes	2	200.60	7.90	3169.48	
Respite Group Specialized Summer Day Camp		15 Minutes	7	163.20	7.26	8293.82	
Respite - Home Care Agcy & Non- Facility, Specialized		15 Minutes	9	80.00	100.88	72633.60	
Respite - Home Care Agcy & Non- Facility, Group		15 Minutes	68	482.20	7.27	238380.39	
Respite Adult Day Care		15 Minutes	1	50.00	14.84	742.00	
Respite Teen Day Camp - 13 to 21 years old		15 Minutes	1	271.00	11.63	3151.73	
Respite Resident Camp - Weeklong		15 Minutes	2	115.00	5.72	1315.60	
Respite - hospital or nursing facility/skilled		15 Minutes	1	552.00	7.89	4355.28	
Respite - RCF		15 Minutes	1	960.00	7.45	7152.00	
Respite - Foster Group Care		15 Minutes	1	2.00	7.96	15.92	
Respite - HHA basic individual		15 Minutes	74	525.00	8.56	332556.00	
Respite - Camp		15 Minutes	1	4.00	7.29	29.16	
Respite - Home Care Agcy & Non- Facility, Basic Individual		15 Minutes	305	658.80	9.59	1926957.06	
Weekend On- Site Respite - Camp		15 Minutes	2	704.00	7.62	10728.96	
<b>GRAND TOTAL:</b>						43997730.62	
Total: Services included in capitation:						31647949.91	
Total: Services not included in capitation:						12349780.72	
<b>Total Estimated Unduplicated Participants:</b>						1620	
<b>Factor D (Divide total by number of participants):</b>						27159.09	
Services included in capitation:						19535.77	
Services not included in capitation:						7623.32	
<b>Average Length of Stay on the Waiver:</b>							354

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
FFS - Respite ICF/ID		15 Minutes	0	960.00	7.45	0.00	
FFS Respite - HHA Specialized		15 Minutes	2	803.00	10.05	16140.30	
FFS - Child Care		15 Minutes	1	200.60	7.90	1584.74	
FFS - Respite Group Specialized Summer Day Camp		15 Minutes	2	163.20	7.26	2369.66	
FFS - Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	2	80.00	100.88	16140.80	
FFS - Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	14	482.20	7.27	49078.32	
FFS - Respite Adult Day Care		15 Minutes	0	50.00	14.84	0.00	
FFS - Respite Teen Day Camp - 13 to 21 years old		15 Minutes	0	271.00	11.63	0.00	
FFS - Respite Resident Camp - Weeklong		15 Minutes	1	115.00	5.72	657.80	
FFS - Respite - hospital or nursing facility/skilled		15 Minutes	0	552.00	7.89	0.00	
FFS - Respite - RCF		15 Minutes	0	960.00	7.45	0.00	
FFS - Respite - Foster Group Care		15 Minutes	0	2.00	7.96	0.00	
FFS - Respite - HHA basic individual		15 Minutes	15	525.00	8.56	67410.00	
FFS - Respite - Camp		15 Minutes	0	4.00	7.29	0.00	
FFS - Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	63	658.80	9.59	398027.20	
FFS- Respite Weekend On-Site Respite -		15 Minutes	1	704.00	7.62	5364.48	
<b>GRAND TOTAL:</b>						<b>43997730.62</b>	
Total: Services included in capitation:						31647949.91	
Total: Services not included in capitation:						12349780.72	
<b>Total Estimated Unduplicated Participants:</b>						<b>1620</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>27159.09</b>	
Services included in capitation:						19535.77	
Services not included in capitation:						7623.32	
Average Length of Stay on the Waiver:							354

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp							
<b>Supported Employment Total:</b>							<b>1291045.80</b>
Small Group Supported Employment 15 Minutes		15 Minutes	31	2040.00	2.08	131539.20	
Individual Supported Employment Hour		Hour	71	12.00	605.10	515545.20	
Long Term Job Coaching - Monthly		Month	108	62.75	73.12	495534.24	
Long Term Job Coaching Hour		Hour	19	60.00	71.81	81863.40	
FFS Small Group Supported Employment 15 Minutes		15 Minutes	1	2040.00	2.08	4243.20	
FFS Individual Supported Employment hour		Hour	1	12.00	605.10	7261.20	
FFS Long Term Job Coaching - Monthly		Month	12	62.75	73.12	55059.36	
FFS Long Term Job Coaching Hour		Hour	0	60.00	71.81	0.00	
<b>Specialized Medical Equipment Total:</b>							<b>57950.67</b>
Specialized Medical Equipment		Occurence	7	1.70	725.29	8630.95	
FFS, Specialized Medical Equipment, item		Occurence	40	1.70	725.29	49319.72	
<b>Financial Management Service Total:</b>							<b>345140.48</b>
Financial Management Service		Month	2	9.20	99.51	1830.98	
FFS Financial Management Service		Month	375	9.20	99.51	343309.50	
<b>GRAND TOTAL:</b>							<b>43997730.62</b>
Total: Services included in capitation:							31647949.91
Total: Services not included in capitation:							12349780.72
<b>Total Estimated Unduplicated Participants:</b>							<b>1620</b>
<b>Factor D (Divide total by number of participants):</b>							<b>27159.09</b>
Services included in capitation:							19535.77
Services not included in capitation:							7623.32
<b>Average Length of Stay on the Waiver:</b>							<b>354</b>



Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Independent Support Broker Total:</b>							58546.59
Independent Support Broker		Month	2	9.20	16.88	310.59	
FFS Independent Support Broker		Month	375	9.20	16.88	58236.00	
<b>Individual Directed Goods and Services Total:</b>							432058.59
Self Directed Goods and Services		Month	2	9.20	124.57	2292.09	
FFS Self Directed Goods and Services		Month	375	9.20	124.57	429766.50	
<b>Self Directed Community Support and Employment Total:</b>							1773947.86
Self Directed Community Support and Employment		Month	2	9.20	511.46	9410.86	
FFS Self Directed Community Support and Employment		Month	375	9.20	511.46	1764537.00	
<b>Self Directed Personal Care Total:</b>							3244757.57
Self Directed Personal Care - Consumer Choices Option		Month	2	9.20	935.52	17213.57	
FFS Self Directed Personal Care - Consumer Choices Option		Month	375	9.20	935.52	3227544.00	
<b>Behavioral Programming Total:</b>							1143.36
Behavioral Programming		15 Minutes	7	12.00	11.91	1000.44	
FFS Behavioral Programming		15 Minutes	1	12.00	11.91	142.92	
<b>GRAND TOTAL:</b>							43997730.62
Total: Services included in capitation:							31647949.91
Total: Services not included in capitation:							12349780.72
<b>Total Estimated Unduplicated Participants:</b>							1620
<b>Factor D (Divide total by number of participants):</b>							27159.09
Services included in capitation:							19535.77
Services not included in capitation:							7623.32
<b>Average Length of Stay on the Waiver:</b>							354

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Consumer Directed Attendant Care (CDAC) unskilled Total:</b>							3984064.12
CDAC - Unskilled Agency		15 Minutes	11	1440.00	5.86	92822.40	
CDAC - Unskilled Individual		15 Minutes	14	4577.00	3.79	242855.62	
FFS - CDAC - Unskilled Agency		15 Minutes	124	1440.00	5.86	1046361.60	
FFS - CDAC - Unskilled Individual		15 Minutes	150	4577.00	3.79	2602024.50	
<b>Consumer Directed Attendant Care - Skilled Total:</b>							438818.94
CDAC Skilled Individual 15 minutes		15 Minutes	16	4577.00	3.79	277549.28	
CDAC Skilled Agency 15 minutes		15 Minutes	14	1440.00	5.86	118137.60	
FFS CDAC Skilled Individual 15 minutes		15 Minutes	2	4577.00	3.79	34693.66	
FFS CDAC Skilled Agency 15 minutes		15 Minutes	1	1440.00	5.86	8438.40	
<b>Enabling Technology for Remote Support Total:</b>							99450.00
1 job		1 job	25	9.00	425.00	95625.00	
FFS 1 Job		1 Job	1	9.00	425.00	3825.00	
<b>Family Counseling and Training Services Total:</b>							74104.42
Family Counseling and Training Services, 15 min		15 Minutes	24	238.80	12.93	74104.42	
FFS - Family Counseling and Training Services, 15		15 Minutes	0	238.80	12.93	0.00	
<b>GRAND TOTAL:</b>							43997730.62
Total: Services included in capitation:							31647949.91
Total: Services not included in capitation:							12349780.72
Total Estimated Unduplicated Participants:							1620
Factor D (Divide total by number of participants):							27159.09
Services included in capitation:							19535.77
Services not included in capitation:							7623.32
Average Length of Stay on the Waiver:							354

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
min							
<b>Home and Vehicle Modification Total:</b>							194394.14
Home and Vehicle Modification		Occurrence	54	1.20	2745.68	177920.06	
FFS - Home and Vehicle Modification		Occurrence	5	1.20	2745.68	16474.08	
<b>Interim Medical Monitoring and Treatment (IMMT) Total:</b>							20714.30
IMMT, RN		15 Minutes	0	718.00	5.77	0.00	
IMMT, HHA		15 Minutes	0	718.00	5.77	0.00	
FFS - IMMT, RN		15 Minutes	4	718.00	5.77	16571.44	
FFS - IMMT, HHA		15 Minutes	1	718.00	5.77	4142.86	
<b>Medical Day Care for Children Total:</b>							1723680.00
15 min unit		15 Minutes	24	5600.00	8.55	1149120.00	
FFS 15 min unit		15 Minutes	12	5600.00	8.55	574560.00	
<b>Personal Emergency Response System or Portable Locator System Total:</b>							63253.47
Personal Emergency Response - Ongoing		Month	160	9.90	37.85	59954.40	
Personal Emergency Response - Initial		Installation	18	1.40	53.59	1350.47	
FFS, Personal Emergency Response - Ongoing		Month	5	9.90	37.85	1873.58	
FFS, Personal Emergency Response - Initial		Installation	1	1.40	53.59	75.03	
<b>Supported</b>							21222727.49
<b>GRAND TOTAL:</b>							43997730.62
Total: Services included in capitation:							31647949.91
Total: Services not included in capitation:							12349780.72
<b>Total Estimated Unduplicated Participants:</b>							1620
<b>Factor D (Divide total by number of participants):</b>							27159.09
Services included in capitation:							19535.77
Services not included in capitation:							7623.32
<b>Average Length of Stay on the Waiver:</b>							354

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Community Living Total:</b>							
Supported Community Living - 15 Minutes		15 Minutes	516	881.30	9.33	4242824.96	
Supported Community Living - Daily		Day	224	1024.12	70.34	16136198.58	
FFS - Supported Community Living - 15 Minutes		15 Minutes	15	881.30	9.33	123337.94	
FFS - Supported Community Living - Daily		Day	10	1024.12	70.34	720366.01	
<b>Transportation Total:</b>							587466.92
Transportation - Trip		Trip	33	71.30	10.71	25199.56	
Transportation - Mile		Mile	147	325.80	7.46	357278.80	
FFS Transportation - Trip		Trip	17	71.30	10.71	12981.59	
FFS Transportation - Mile		Mile	79	325.80	7.46	192006.97	
<b>GRAND TOTAL:</b>						43997730.62	
Total: Services included in capitation:						31647949.91	
Total: Services not included in capitation:						12349780.72	
<b>Total Estimated Unduplicated Participants:</b>						1620	
<b>Factor D (Divide total by number of participants):</b>						27159.09	
Services included in capitation:						19535.77	
Services not included in capitation:						7623.32	
<b>Average Length of Stay on the Waiver:</b>							354

**Appendix J: Cost Neutrality Demonstration**

**J-2: Derivation of Estimates (8 of 9)**

**d. Estimate of Factor D.**

**ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements.** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

**Waiver Year: Year 4**

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Adult Day Care Total:</b>							<b>927130.14</b>
Adult Day Care - Extended Day		Extended Day	4	180.80	69.85	50515.52	
Adult Day Care - 15 minutes		15 Minutes	1	1245.30	4.37	5441.96	
Adult Day Care - Half Day		Half Day	34	52.90	39.80	71584.28	
Adult Day Care - Full Day		Full Day	102	125.90	61.66	791825.39	
FFS Adult Day Care - Extended Day		Extended Day	0	180.80	69.85	0.00	
FFS Adult Day Care - 15 minutes		15 Minutes	0	1245.30	4.37	0.00	
FFS Adult Day Care - Half Day		Half Day	0	52.90	39.80	0.00	
FFS Adult Day Care - Full Day		Full Day	1	125.90	61.66	7762.99	
<b>Case Management Total:</b>							<b>3927705.60</b>
Case Management 15 minutes		15 minutes	1244	45.50	61.44	3477626.88	
FFS Case Management 15 minutes		15 Minutes	161	45.50	61.44	450078.72	
<b>Prevocational Services Total:</b>							<b>513021.52</b>
Prevocational Service, Hour		Hour	49	482.00	12.98	306561.64	
Prevocational services, Career Exploration , hour		Hour	24	482.00	12.98	150152.64	
FFS Prevocational Service, Hour		Hour	6	482.00	12.98	37538.16	
FFS Prevocational services, Career Exploration, Hour		Hour	3	482.00	12.98	18769.08	
<b>Respite Total:</b>							<b>3363950.80</b>
<b>GRAND TOTAL:</b>							<b>46402373.95</b>
Total: Services included in capitation:							36908576.17
Total: Services not included in capitation:							9493797.79
<b>Total Estimated Unduplicated Participants:</b>							<b>1682</b>
<b>Factor D (Divide total by number of participants):</b>							<b>27587.62</b>
Services included in capitation:							21943.27
Services not included in capitation:							5644.35
<b>Average Length of Stay on the Waiver:</b>							<b>358</b>

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite - ICF/ID		15 Minutes	1	960.00	7.60	7296.00	
Respite - HHA specialized		15 Minutes	10	803.00	10.25	82307.50	
Respite - Child Care		15 Minutes	2	200.60	8.05	3229.66	
Respite Group Specialized Summer Day Camp		15 Minutes	8	163.20	7.41	9674.50	
Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	10	80.00	102.89	82312.00	
Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	70	482.20	7.42	250454.68	
Respite Adult Day Care		15 Minutes	1	50.00	15.13	756.50	
Respite Teen Day Camp - 13 to 21 years old		15 Minutes	1	271.00	11.86	3214.06	
Respite Resident Camp - Weeklong		15 Minutes	2	115.00	5.84	1343.20	
Respite - hospital or nursing facility/skilled		15 Minutes	1	552.00	8.04	4438.08	
Respite - RCF		15 Minutes	1	960.00	7.60	7296.00	
Respite - Foster Group Care		15 Minutes	1	2.00	8.12	16.24	
Respite - HHA basic individual		15 Minutes	76	525.00	8.73	348327.00	
Respite - Camp		15 Minutes	1	4.00	7.44	29.76	
Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	308	658.80	9.78	1984463.71	
Weekend On-Site Respite - Camp		15 Minutes	2	704.00	7.77	10940.16	
<b>GRAND TOTAL:</b>						<b>46402373.95</b>	
Total: Services included in capitation:						36908576.17	
Total: Services not included in capitation:						9493797.79	
<b>Total Estimated Unduplicated Participants:</b>						<b>1682</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>27587.62</b>	
Services included in capitation:						21943.27	
Services not included in capitation:						5644.35	
<b>Average Length of Stay on the Waiver:</b>						<b>358</b>	

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
FFS - Respite ICF/ID		15 Minutes	0	960.00	7.60	0.00	
FFS Respite - HHA Specialized		15 Minutes	2	803.00	10.25	16461.50	
FFS - Child Care		15 Minutes	1	200.60	8.05	1614.83	
FFS - Respite Group Specialized Summer Day Camp		15 Minutes	2	163.20	7.41	2418.62	
FFS - Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	2	80.00	102.89	16462.40	
FFS - Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	14	482.20	7.42	50090.94	
FFS - Respite Adult Day Care		15 Minutes	0	50.00	15.13	0.00	
FFS - Respite Teen Day Camp - 13 to 21 years old		15 Minutes	0	271.00	11.88	0.00	
FFS - Respite Resident Camp - Weeklong		15 Minutes	1	115.00	5.84	671.60	
FFS - Respite - hospital or nursing facility/skilled		15 Minutes	0	552.00	8.04	0.00	
FFS - Respite - RCF		15 Minutes	0	960.00	7.60	0.00	
FFS - Respite - Foster Group Care		15 Minutes	0	2.00	8.12	0.00	
FFS - Respite - HHA basic individual		15 Minutes	15	525.00	8.73	68748.75	
FFS - Respite - Camp		15 Minutes	0	4.00	7.44	0.00	
FFS - Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	63	658.80	9.78	405913.03	
FFS- Respite Weekend On-Site Respite -		15 Minutes	1	704.00	7.77	5470.08	
<b>GRAND TOTAL:</b>						<b>46402373.95</b>	
Total: Services included in capitation:						36908576.17	
Total: Services not included in capitation:						9493797.79	
<b>Total Estimated Unduplicated Participants:</b>						<b>1682</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>27587.62</b>	
Services included in capitation:						21943.27	
Services not included in capitation:						5644.35	
<b>Average Length of Stay on the Waiver:</b>						<b>358</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp							
<b>Supported Employment Total:</b>							1352300.24
Small Group Supported Employment 15 Minutes		15 Minutes	31	2040.00	2.12	134068.80	
Individual Supported Employment Hour		Hour	72	12.00	617.20	533260.80	
Long Term Job Coaching - Monthly		Month	114	62.75	74.59	533579.56	
Long Term Job Coaching Hour		Hour	19	60.00	73.24	83493.60	
FFS Small Group Supported Employment 15 Minutes		15 Minutes	1	2040.00	2.12	4324.80	
FFS Individual Supported Employment hour		Hour	1	12.00	617.20	7406.40	
FFS Long Term Job Coaching - Monthly		Month	12	62.75	74.59	56166.27	
FFS Long Term Job Coaching Hour		Hour	0	60.00	73.24	0.00	
<b>Specialized Medical Equipment Total:</b>							60367.68
Specialized Medical Equipment		Occurrence	7	1.70	739.80	8803.62	
FFS, Specialized Medical Equipment, item		Occurrence	41	1.70	739.80	51564.06	
<b>Financial Management Service Total:</b>							358579.20
Financial Management Service		Month	2	9.20	101.50	1867.60	
FFS Financial Management Service		Month	382	9.20	101.50	356711.60	
<b>GRAND TOTAL:</b>							46402373.95
Total: Services included in capitation:							36908576.17
Total: Services not included in capitation:							9493797.79
<b>Total Estimated Unduplicated Participants:</b>							1682
<b>Factor D (Divide total by number of participants):</b>							27587.62
Services included in capitation:							21943.27
Services not included in capitation:							5644.35
<b>Average Length of Stay on the Waiver:</b>							358



Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Independent Support Broker Total:</b>							60799.49
Independent Support Broker		Month	2	9.20	17.21	316.66	
FFS Independent Support Broker		Month	382	9.20	17.21	60482.82	
<b>Individual Directed Goods and Services Total:</b>							448877.57
Self Directed Goods and Services		Month	2	9.20	127.06	2337.90	
FFS Self Directed Goods and Services		Month	382	9.20	127.06	446539.66	
<b>Self Directed Community Support and Employment Total:</b>							1843026.43
Self Directed Community Support and Employment		Month	2	9.20	521.69	9599.10	
FFS Self Directed Community Support and Employment		Month	382	9.20	521.69	1833427.34	
<b>Self Directed Personal Care Total:</b>							3371103.74
Self Directed Personal Care - Consumer Choices Option		Month	2	9.20	954.23	17557.83	
FFS Self Directed Personal Care - Consumer Choices Option		Month	382	9.20	954.23	3353545.91	
<b>Behavioral Programming Total:</b>							1458.00
Behavioral Programming		15 Minutes	8	12.00	12.15	1166.40	
FFS Behavioral Programming		15 Minutes	2	12.00	12.15	291.60	
<b>GRAND TOTAL:</b>							46402373.95
Total: Services included in capitation:							36908576.17
Total: Services not included in capitation:							9493797.79
<b>Total Estimated Unduplicated Participants:</b>							1682
<b>Factor D (Divide total by number of participants):</b>							27587.62
Services included in capitation:							21943.27
Services not included in capitation:							5644.35
<b>Average Length of Stay on the Waiver:</b>							358

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Consumer Directed Attendant Care (CDAC) unskilled Total:</b>							4154451.36
CDAC - Unskilled Agency		15 Minutes	126	1440.00	5.97	1083196.80	
CDAC - Unskilled Individual		15 Minutes	154	4577.00	3.86	2720751.88	
FFS - CDAC - Unskilled Agency		15 Minutes	12	1440.00	5.97	103161.60	
FFS - CDAC - Unskilled Individual		15 Minutes	14	4577.00	3.86	247341.08	
<b>Consumer Directed Attendant Care - Skilled Total:</b>							446961.96
CDAC Skilled Individual 15 minutes		15 Minutes	16	4577.00	3.86	282675.52	
CDAC Skilled Agency 15 minutes		15 Minutes	14	1440.00	5.97	120355.20	
FFS CDAC Skilled Individual 15 minutes		15 Minutes	2	4577.00	3.86	35334.44	
FFS CDAC Skilled Agency 15 minutes		15 Minutes	1	1440.00	5.97	8596.80	
<b>Enabling Technology for Remote Support Total:</b>							99450.00
1 job		1 job	25	9.00	425.00	95625.00	
FFS 1 Job		1 Job	1	9.00	425.00	3825.00	
<b>Family Counseling and Training Services Total:</b>							75537.22
Family Counseling and Training Services, 15 min		15 Minutes	24	238.80	13.18	75537.22	
FFS - Family Counseling and Training Services, 15		15 Minutes	0	238.80	13.18	0.00	
<b>GRAND TOTAL:</b>							46402373.95
Total: Services included in capitation:							36908576.17
Total: Services not included in capitation:							9493797.79
Total Estimated Unduplicated Participants:							1682
Factor D (Divide total by number of participants):							27587.62
Services included in capitation:							21943.27
Services not included in capitation:							5644.35
Average Length of Stay on the Waiver:							358

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
min							
<b>Home and Vehicle Modification Total:</b>							201642.48
Home and Vehicle Modification		Occurrence	55	1.20	2800.59	184838.94	
FFS - Home and Vehicle Modification		Occurrence	5	1.20	2800.59	16803.54	
<b>Interim Medical Monitoring and Treatment (IMMT) Total:</b>							21145.10
IMMT, RN		15 Minutes	0	718.00	5.89	0.00	
IMMT, HHA		15 Minutes	0	718.00	5.89	0.00	
FFS - IMMT, RN		15 Minutes	4	718.00	5.89	16916.08	
FFS - IMMT, HHA		15 Minutes	1	718.00	5.89	4229.02	
<b>Medical Day Care for Children Total:</b>							1723680.00
15 min unit		15 Minutes	24	5600.00	8.55	1149120.00	
FFS 15 min unit		15 Minutes	12	5600.00	8.55	574560.00	
<b>Personal Emergency Response System or Portable Locator System Total:</b>							65670.11
Personal Emergency Response - Ongoing		Month	163	9.90	38.61	62304.96	
Personal Emergency Response - Initial		Installation	18	1.40	54.66	1377.43	
FFS, Personal Emergency Response - Ongoing		Month	5	9.90	38.61	1911.20	
FFS, Personal Emergency Response - Initial		Installation	1	1.40	54.66	76.52	
<b>Supported</b>							22774780.59
<b>GRAND TOTAL:</b>							46402373.95
Total: Services included in capitation:							36908576.17
Total: Services not included in capitation:							9493797.79
<b>Total Estimated Unduplicated Participants:</b>							1682
<b>Factor D (Divide total by number of participants):</b>							27587.62
Services included in capitation:							21943.27
Services not included in capitation:							5644.35
<b>Average Length of Stay on the Waiver:</b>							358

Waiver Service/Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Community Living Total:</b>							
Supported Community Living - 15 Minutes		15 Minutes	524	881.30	9.52	4396347.42	
Supported Community Living - Daily		Day	234	1024.12	72.45	17362213.60	
FFS - Supported Community Living - 15 Minutes		15 Minutes	15	881.30	9.52	125849.64	
FFS - Supported Community Living - Daily		Day	12	1024.12	72.45	890369.93	
<b>Transportation Total:</b>							610734.73
Transportation - Trip		Trip	34	71.30	10.92	26472.26	
Transportation - Mile		Mile	150	325.80	7.61	371900.70	
FFS Transportation - Trip		Trip	18	71.30	10.92	14014.73	
FFS Transportation - Mile		Mile	80	325.80	7.61	198347.04	
<b>GRAND TOTAL:</b>						<b>46402373.95</b>	
Total: Services included in capitation:						36908576.17	
Total: Services not included in capitation:						9493797.79	
<b>Total Estimated Unduplicated Participants:</b>						<b>1682</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>27587.62</b>	
Services included in capitation:						21943.27	
Services not included in capitation:						5644.35	
<b>Average Length of Stay on the Waiver:</b>						<b>358</b>	

**Appendix J: Cost Neutrality Demonstration**

**J-2: Derivation of Estimates (9 of 9)**

**d. Estimate of Factor D.**

**ii. Concurrent §1915(b)/§1915(c) Waivers, or other concurrent managed care authorities utilizing capitated payment arrangements.** Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. If applicable, check the capitation box next to that service. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

**Waiver Year: Year 5**

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Adult Day Care Total:</b>							979444.48
Adult Day Care - Extended Day		Extended Day	4	180.80	71.25	51528.00	
Adult Day Care - 15 minutes		15 Minutes	1	1245.30	4.46	5554.04	
Adult Day Care - Half Day		Half Day	35	52.90	40.59	75152.38	
Adult Day Care - Full Day		Full Day	106	125.90	62.89	839292.21	
FFS Adult Day Care - Extended Day		Extended Day	0	180.80	71.25	0.00	
FFS Adult Day Care - 15 minutes		15 Minutes	0	1245.30	4.46	0.00	
FFS Adult Day Care - Half Day		Half Day	0	52.90	40.59	0.00	
FFS Adult Day Care - Full Day		Full Day	1	125.90	62.89	7917.85	
<b>Case Management Total:</b>							4083326.52
Case Management 15 minutes		15 minutes	1267	45.50	62.67	3612831.50	
FFS Case Management 15 minutes		15 Minutes	165	45.50	62.67	470495.02	
<b>Prevocational Services Total:</b>							529679.44
Prevocational Service, Hour		Hour	50	482.00	13.24	319084.00	
Prevocational services, Career Exploration, hour		Hour	24	482.00	13.24	153160.32	
FFS Prevocational Service, Hour		Hour	6	482.00	13.24	38290.08	
FFS Prevocational services, Career Exploration, Hour		Hour	3	482.00	13.24	19145.04	
<b>Respite Total:</b>							3525226.58
<b>GRAND TOTAL:</b>							48994595.73
Total: Services included in capitation:							38991125.48
Total: Services not included in capitation:							10003470.25
<b>Total Estimated Unduplicated Participants:</b>							1747
<b>Factor D (Divide total by number of participants):</b>							28044.99
Services included in capitation:							22318.90
Services not included in capitation:							5726.08
<b>Average Length of Stay on the Waiver:</b>							361

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Respite - ICF/ID		15 Minutes	1	960.00	7.75	7440.00	
Respite - HHA specialized		15 Minutes	11	803.00	10.46	92393.18	
Respite - Child Care		15 Minutes	2	200.60	8.22	3297.86	
Respite Group Specialized Summer Day Camp		15 Minutes	8	163.20	7.56	9870.34	
Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	10	80.00	104.95	83960.00	
Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	72	482.20	7.57	262818.29	
Respite Adult Day Care		15 Minutes	1	50.00	15.44	772.00	
Respite Teen Day Camp - 13 to 21 years old		15 Minutes	1	271.00	12.10	3279.10	
Respite Resident Camp - Weeklong		15 Minutes	2	115.00	5.95	1368.50	
Respite - hospital or nursing facility/skilled		15 Minutes	1	552.00	8.20	4526.40	
Respite - RCF		15 Minutes	1	960.00	7.75	7440.00	
Respite - Foster Group Care		15 Minutes	1	2.00	8.28	16.56	
Respite - HHA basic individual		15 Minutes	78	525.00	8.91	364864.50	
Respite - Camp		15 Minutes	1	4.00	7.59	30.36	
Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	315	658.80	9.98	2071069.56	
Weekend On-Site Respite - Camp		15 Minutes	2	704.00	7.92	11151.36	
<b>GRAND TOTAL:</b>						<b>48994595.73</b>	
Total: Services included in capitation:						38991125.48	
Total: Services not included in capitation:						10003470.25	
<b>Total Estimated Unduplicated Participants:</b>						<b>1747</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>28044.99</b>	
Services included in capitation:						22318.90	
Services not included in capitation:						5726.08	
<b>Average Length of Stay on the Waiver:</b>						<b>361</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
FFS - Respite ICF/ID		15 Minutes	0	960.00	7.75	0.00	
FFS Respite - HHA Specialized		15 Minutes	2	803.00	10.46	16798.76	
FFS - Child Care		15 Minutes	1	200.60	8.22	1648.93	
FFS - Respite Group Specialized Summer Day Camp		15 Minutes	2	163.20	7.56	2467.58	
FFS - Respite - Home Care Agcy & Non-Facility, Specialized		15 Minutes	2	80.00	104.95	16792.00	
FFS - Respite - Home Care Agcy & Non-Facility, Group		15 Minutes	15	482.20	7.57	54753.81	
FFS - Respite Adult Day Care		15 Minutes	0	50.00	15.45	0.00	
FFS - Respite Teen Day Camp - 13 to 21 years old		15 Minutes	0	271.00	12.10	0.00	
FFS - Respite Resident Camp - Weeklong		15 Minutes	1	115.00	5.95	684.25	
FFS - Respite - hospital or nursing facility/skilled		15 Minutes	0	552.00	8.20	0.00	
FFS - Respite - RCF		15 Minutes	0	960.00	7.75	0.00	
FFS - Respite - Foster Group Care		15 Minutes	0	2.00	8.28	0.00	
FFS - Respite - HHA basic individual		15 Minutes	16	525.00	8.91	74844.00	
FFS - Respite - Camp		15 Minutes	0	4.00	7.59	0.00	
FFS - Respite - Home Care Agcy & Non-Facility, Basic Individual		15 Minutes	65	658.80	9.98	427363.56	
FFS- Respite Weekend On-Site Respite -		15 Minutes	1	704.00	7.92	5575.68	
<b>GRAND TOTAL:</b>						<b>48994595.73</b>	
Total: Services included in capitation:						38991125.48	
Total: Services not included in capitation:						10003470.25	
<b>Total Estimated Unduplicated Participants:</b>						<b>1747</b>	
<b>Factor D (Divide total by number of participants):</b>						<b>28044.99</b>	
Services included in capitation:						22318.90	
Services not included in capitation:						5726.08	
<b>Average Length of Stay on the Waiver:</b>						<b>361</b>	

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Camp							
<b>Supported Employment Total:</b>							1422930.84
Small Group Supported Employment 15 Minutes		15 Minutes	31	2040.00	2.16	136598.40	
Individual Supported Employment Hour		Hour	74	12.00	629.54	559031.52	
Long Term Job Coaching - Monthly		Month	120	62.75	76.08	572882.40	
Long Term Job Coaching Hour		Hour	19	60.00	74.71	85169.40	
FFS Small Group Supported Employment 15 Minutes		15 Minutes	1	2040.00	2.16	4406.40	
FFS Individual Supported Employment hour		Hour	1	12.00	629.54	7554.48	
FFS Long Term Job Coaching - Monthly		Month	12	62.75	76.08	57288.24	
FFS Long Term Job Coaching Hour		Hour	0	60.00	74.71	0.00	
<b>Specialized Medical Equipment Total:</b>							64141.00
Specialized Medical Equipment		Occurrence	8	1.70	754.60	10262.56	
FFS, Specialized Medical Equipment, item		Occurrence	42	1.70	754.60	53878.44	
<b>Financial Management Service Total:</b>							373370.59
Financial Management Service		Month	2	9.20	103.53	1904.95	
FFS Financial Management Service		Month	390	9.20	103.53	371465.64	
<b>GRAND TOTAL:</b>							48994595.73
Total: Services included in capitation:							38991125.48
Total: Services not included in capitation:							10003470.25
<b>Total Estimated Unduplicated Participants:</b>							1747
<b>Factor D (Divide total by number of participants):</b>							28044.99
Services included in capitation:							22318.90
Services not included in capitation:							5726.08
<b>Average Length of Stay on the Waiver:</b>							361



Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Independent Support Broker Total:</b>							63328.38
Independent Support Broker		Month	2	9.20	17.56	323.10	
FFS Independent Support Broker		Month	390	9.20	17.56	63005.28	
<b>Individual Directed Goods and Services Total:</b>							467389.44
Self Directed Goods and Services		Month	2	9.20	129.60	2384.64	
FFS Self Directed Goods and Services		Month	390	9.20	129.60	465004.80	
<b>Self Directed Community Support and Employment Total:</b>							1919037.57
Self Directed Community Support and Employment		Month	2	9.20	532.12	9791.01	
FFS Self Directed Community Support and Employment		Month	390	9.20	532.12	1909246.56	
<b>Self Directed Personal Care Total:</b>							3510145.18
Self Directed Personal Care - Consumer Choices Option		Month	2	9.20	973.31	17908.90	
FFS Self Directed Personal Care - Consumer Choices Option		Month	390	9.20	973.31	3492236.28	
<b>Behavioral Programming Total:</b>							1635.48
Behavioral Programming		15 Minutes	9	12.00	12.39	1338.12	
FFS Behavioral Programming		15 Minutes	2	12.00	12.39	297.36	
<b>GRAND TOTAL:</b>							48994595.73
Total: Services included in capitation:							38991125.48
Total: Services not included in capitation:							10003470.25
<b>Total Estimated Unduplicated Participants:</b>							1747
<b>Factor D (Divide total by number of participants):</b>							28044.99
Services included in capitation:							22318.90
Services not included in capitation:							5726.08
<b>Average Length of Stay on the Waiver:</b>							361

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Consumer Directed Attendant Care (CDAC) unskilled Total:</b>							4320221.58
CDAC - Unskilled Agency		15 Minutes	129	1440.00	6.09	1131278.40	
CDAC - Unskilled Individual		15 Minutes	157	4577.00	3.94	2831240.66	
FFS - CDAC - Unskilled Agency		15 Minutes	12	1440.00	6.09	105235.20	
FFS - CDAC - Unskilled Individual		15 Minutes	14	4577.00	3.94	252467.32	
<b>Consumer Directed Attendant Care - Skilled Total:</b>							456144.84
CDAC Skilled Individual 15 minutes		15 Minutes	16	4577.00	3.94	288534.08	
CDAC Skilled Agency 15 minutes		15 Minutes	14	1440.00	6.09	122774.40	
FFS CDAC Skilled Individual 15 minutes		15 Minutes	2	4577.00	3.94	36066.76	
FFS CDAC Skilled Agency 15 minutes		15 Minutes	1	1440.00	6.09	8769.60	
<b>Enabling Technology for Remote Support Total:</b>							99450.00
1 job		1 job	25	9.00	425.00	95625.00	
FFS 1 Job		1 Job	1	9.00	425.00	3825.00	
<b>Family Counseling and Training Services Total:</b>							80296.50
Family Counseling and Training Services, 15 min		15 Minutes	25	238.80	13.45	80296.50	
FFS - Family Counseling and Training Services, 15		15 Minutes	0	238.80	13.45	0.00	
<b>GRAND TOTAL:</b>							48994595.73
Total: Services included in capitation:							38991125.48
Total: Services not included in capitation:							10003470.25
Total Estimated Unduplicated Participants:							1747
Factor D (Divide total by number of participants):							28044.99
Services included in capitation:							22318.90
Services not included in capitation:							5726.08
Average Length of Stay on the Waiver:							361

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
min							
<b>Home and Vehicle Modification Total:</b>							209103.12
Home and Vehicle Modification		Occurrence	56	1.20	2856.60	191963.52	
FFS - Home and Vehicle Modification		Occurrence	5	1.20	2856.60	17139.60	
<b>Interim Medical Monitoring and Treatment (IMMT) Total:</b>							21575.90
IMMT, RN		15 Minutes	0	718.00	6.01	0.00	
IMMT, HHA		15 Minutes	0	718.00	6.01	0.00	
FFS - IMMT, RN		15 Minutes	4	718.00	6.01	17260.72	
FFS - IMMT, HHA		15 Minutes	1	718.00	6.01	4315.18	
<b>Medical Day Care for Children Total:</b>							1723680.00
15 min unit		15 Minutes	24	5600.00	8.55	1149120.00	
FFS 15 min unit		15 Minutes	12	5600.00	8.55	574560.00	
<b>Personal Emergency Response System or Portable Locator System Total:</b>							68227.68
Personal Emergency Response - Ongoing		Month	166	9.90	39.38	64717.09	
Personal Emergency Response - Initial		Installation	19	1.40	55.76	1483.22	
FFS, Personal Emergency Response - Ongoing		Month	5	9.90	39.38	1949.31	
FFS, Personal Emergency Response - Initial		Installation	1	1.40	55.76	78.06	
<b>Supported</b>							24440014.77
<b>GRAND TOTAL:</b>							48994595.73
Total: Services included in capitation:							38991125.48
Total: Services not included in capitation:							10003470.25
<b>Total Estimated Unduplicated Participants:</b>							1747
<b>Factor D (Divide total by number of participants):</b>							28044.99
Services included in capitation:							22318.90
Services not included in capitation:							5726.08
<b>Average Length of Stay on the Waiver:</b>							361

Waiver Service/ Component	Capitation	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
<b>Community Living Total:</b>							
Supported Community Living - 15 Minutes		15 Minutes	537	881.30	9.71	4595336.15	
Supported Community Living - Daily		Day	244	1024.12	74.62	18646439.59	
FFS - Supported Community Living - 15 Minutes		15 Minutes	15	881.30	9.71	128361.34	
FFS - Supported Community Living - Daily		Day	14	1024.12	74.62	1069877.68	
<b>Transportation Total:</b>							636225.83
Transportation - Trip		Trip	34	71.30	11.14	27005.59	
Transportation - Mile		Mile	153	325.80	7.76	386815.82	
FFS Transportation - Trip		Trip	19	71.30	11.14	15091.36	
FFS Transportation - Mile		Mile	82	325.80	7.76	207313.06	
<b>GRAND TOTAL:</b>						48994595.73	
Total: Services included in capitation:						38991125.48	
Total: Services not included in capitation:						10003470.25	
<b>Total Estimated Unduplicated Participants:</b>						1747	
<b>Factor D (Divide total by number of participants):</b>						28044.99	
Services included in capitation:						22318.90	
Services not included in capitation:						5726.08	
<b>Average Length of Stay on the Waiver:</b>							361