For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Iowa Department of Human Services

Report Series D-3 Administrative Use Only

Dependent Adult Abuse Program

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- · Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- · The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Intake						
Accepted	Rejected	In Progress	Total			
2729	3071	0	5800			

Column Name Definition

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

Rejected Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

In Progress Open cases where the intake has not been accepted or rejected.

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Evaluation or Assessment					
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total	
290	1803	118	484	2695	

Column Name Definition

Founded Total number of reports evaluated or assessed that were found to have occurred.

<u>Unfounded</u> Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

<u>In Progress</u> Open cases where the evaluation has not been accepted.

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	2221	1246	975	56.10 %	43.90 %
Denial of Critical Care Due to Adult's Actions	1567	852	715	54.37 %	45.63 %
Exploitation	1370	420	950	30.66 %	69.34 %
Personal Degradation	207	110	97	53.14 %	46.86 %
Physical Abuse	597	312	285	52.26 %	47.74 %
Sexual Abuse	122	33	89	27.05 %	72.95 %
Total Allegations	6084	2973	3111	48.87 %	51.13 %

Column Name Definition

Type of Abuse Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

<u>Total</u> Total number of accepted/rejected allegations made to the Department.

Total Accepted

The number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>% Accepted</u> The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

^{*} This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

For Division DHS Through Periods 7/1/2021 -- 12/31/2021

Types of Reporters					
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	4	2	2	50.00 %	50.00 %
Anonymous	0	0	0	0.00 %	0.00 %
Financial Institution - Permissive	608	18	590	2.96 %	97.04 %
Hospital Social Worker	149	100	49	67.11 %	32.89 %
Known Permissive	2073	850	1223	41.00 %	59.00 %
icensed Psychologist	4	3	1	75.00 %	25.00 %
Nurse	734	444	290	60.49 %	39.51 %
Other Medical Personnel	568	321	247	56.51 %	43.49 %
Other Mental Health Professional	140	83	57	59.29 %	40.71 %
Other Social Service Professional	905	562	343	62.10 %	37.90 %
Parole/Probation Officer	3	3	0	100.00 %	0.00 %
Physician	120	67	53	55.83 %	44.17 %
Residential Staff	22	12	10	54.55 %	45.45 %
School Personnel	21	15	6	71.43 %	28.57 %
Sheriff/Deputy/Police Officer	294	158	136	53.74 %	46.26 %
Social Worker – non DHS	297	166	131	55.89 %	44.11 %
Social Worker –DHS	69	51	18	73.91 %	26.09 %
Total Reporters	6011	2855	3156	47.50 %	52.50 %

Column Name Definition

Type of Reporter Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

For Division DHS Through Periods 7/1/2021 -- 12/31/2021

<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in lowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	4	2	2	50.00 %	50.00 %
Hospital Social Worker	149	100	49	67.11 %	32.89 %
Licensed Psychologist	4	3	1	75.00 %	25.00 %
Nurse	734	444	290	60.49 %	39.51 %
Other Medical Personnel	568	321	247	56.51 %	43.49 %
Other Mental Health Professional	140	83	57	59.29 %	40.71 %
Other Social Service Professional	905	562	343	62.10 %	37.90 %
Parole/Probation Officer	3	3	0	100.00 %	0.00 %
Physician	120	67	53	55.83 %	44.17 %
Residential Staff	22	12	10	54.55 %	45.45 %
School Personnel	21	15	6	71.43 %	28.57 %
Sheriff/Deputy/Police Officer	294	158	136	53.74 %	46.26 %
Social Worker – non DHS	297	166	131	55.89 %	44.11 %
Social Worker –DHS	69	51	18	73.91 %	26.09 %
Totals Mandatory Reporters	3330	1987	1343	59.67 %	40.33 %

Column Name Definition

Type of Mandatory The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

<u>Total</u> Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

<u>Total Accepted</u> Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

<u>Total Rejected</u> Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

<u>% Accepted</u> Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

% Rejected Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Outcomes of Accepted Allegations for Evaluation or Assessment

Type of Abuse	Founded		Unfou	nded	Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage
Physical Abuse	12	4.3%	243	87.7%	22	7.9%
Denial of Critical Care	73	6.8%	903	84.2%	96	9.0%
Sexual Abuse	5	20.0%	20	80.0%	0	0.0%
Exploitation	52	16.0%	273	84.0%	0	0.0%
Denial of Critical Care Due to Adult's Actions	145	21.5%	529	78.5%	0	0.0%
Personal Degradation	13	13.3%	83	84.7%	2	2.0%
Total	300		2051		120	

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Founded Total The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

<u>Confirmed, Not Registered Percentage</u> Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

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Recommendations For Services

Service	Total
Care/case management services (includes AAA/ADRC)	190
Caregiver support services	51
Education, employment and training services	4
Emergency assistance and material aid services	7
Financial planning services	16
In-home assistance services	222
Legal services referral	20
Medical and dental services	79
Mental health services	65
Public assistance benefits	37
Substance use services	9
Transportation	20
Victim services	3
Recommended service is unavailable in the subject's area	0
Periodic Visits	48
Other Services	200
None	1464

For Division DHS
Through Periods 7/1/2021 -- 12/31/2021

Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	5
Recommendation of restraining order on perpetrator regarding the client	17
Recommendation of eviction of perpetrator	4
Recommendation of restitution by perpetrator	10
Recommendation of other legal remedy	17
No crime committed	868
None	1295

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Through Periods 7/1/2021 -- 12/31/2021

Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	450
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	27
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	22
State Medicaid Fraud Control (MFCU)	2
Long Term Care Ombudsman Program	0
Office of the Substitute Decision Maker	5
AAA/ADRC	34
Crime Victim Assistance Program	1
Child Action Center	1
Iowa Workforce Development, Division of Labor Services	0
State Fire Marshall's Office	0
None	1575
Other	87